



# **OPEN IPTV FORUM RELEASE 1 SPECIFICATION**

## **VOLUME 7 – AUTHENTICATION, CONTENT PROTECTION AND SERVICE PROTECTION**

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## Foreword

This Technical Specification (TS) has been produced by the Open IPTV Forum.

This specification provides multiple options for some features. The Open IPTV Forum Profiles specification complements the Release 1 specifications by defining the Open IPTV Forum implementation and deployment profiles. Any implementation based on Open IPTV Forum specifications that does not follow the Profiles specification cannot claim Open IPTV Forum compliance.

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## Introduction

The Open IPTV Forum Release 1 Specification consists of seven Volumes:

- Volume 1 - Overview,
- Volume 2 - Media Formats,
- Volume 3 - Content Metadata,
- Volume 4 - Protocols,
- Volume 5 - Declarative Application Environment,
- Volume 6 - Procedural Application Environment,
- Volume 7 - Authentication, Content Protection and Service Protection (the present document).

The present document, the Authentication, Content Protection and Service Protection Specification (Volume 7), specifies the Authentication, Content and Service Protection functionality of the OIPF Release 1 solution.

The requirements for this functionality are derived from the following sources:

- Open IPTV Forum Service and Platform Requirement for R1, see [REQS];
- Open IPTV Forum Functional Architecture for R1, see [ARCH].

# 1 Scope

For the system-wide scope, refer to [OVIEW] section 1.

The scope of the present Volume is content protection, service protection, service access protection, user identification, user authentication, and user authorisation.

The following sections contain features for which the criteria that determine under which circumstances these features are implemented are out of the scope of the present document or contain conditional normative statements referring to other volumes of the Open IPTV Forum specifications:

- 4.1 Terminal-Centric Approach
- 4.1.4 Protected File Formats
- 4.1.5 Protection of MPEG-2 Transport Streams
- 4.2.3 CI+ based Gateway
- 4.2.3.6 Protected Streaming and File Formats
- 4.2.3.7 Personal Video Recorder
- 4.2.3.8 Time Shifting
- 4.2.4 DTCP-IP based Gateway
- 4.2.4.5 Protected Streaming and File Formats
- 5.4.4 GBA Authentication used by OITF



## 2 References

### 2.1 Normative References

[3GPP24.109]	3GPP, TS 24.109, “Bootstrapping interface (Ub) and network application function interface (Ua); Protocol details”.
[3GPP24.229]	3GPP, TS 24.229, “3GPP; Technical Specification Group Core Network and Terminals; Internet Protocol (IP) multimedia call control protocol based on Session Initiation Protocol (SIP) and Session Description Protocol (SDP); Stage 3 (Release 8)”.
[3GPP33.203]	3GPP, TS 33.203, “3GPP; Technical Specification Group Services and System Aspects; 3G security; Access security for IP-based services (Release 8)”.
[3GPP33.220]	3GPP, TS 33.220, “Generic Authentication Architecture (GAA); Generic bootstrapping architecture”.
[CEA2014A]	CEA, CEA-2014-A “Web-based Protocol and Framework for Remote User Interface on UPnP Networks and the Internet (Web4CE)” (including the August 2008 Errata).
[CI+]	CI Plus LLP, CI Plus Specification, “Content Security Extensions to the Common Interface”, V1.3 (2011-01).
[DTCP]	Hitachi, Intel, Matsushita, Sony, Toshiba, “Digital Transmission Content Protection Specification, Volume 1 (Informational Version)”, Revision 1.51.
[DTCP-AA]	DTLA, DTCP Adopter Agreement, “Digital Transmission Protection License Agreement”.
[DTCP-IP]	Hitachi, Intel, Matsushita, Sony, Toshiba, “DTCP Volume 1 Supplement E, Mapping DTCP to IP, (Informational Version)”, Revision 1.2.
[DVB-CA]	ETSI, ETR 289, “Digital Video Broadcasting (DVB); Support for the use of scrambling and Conditional Access (CA) within digital broadcasting systems”, October 1996.
[DVB-CI]	ETSI, EN 50221, “Common Interface Specification for Conditional Access and other Digital Video Broadcasting Decoder Applications”, February 1997, and ETSI, TS 101 699 V1.1.1, “Digital Video Broadcasting (DVB); Extensions to the Common Interface Specification”.
[DVB-SC]	ETSI, TS 103 197 V1.5.1, “Digital Video Broadcasting (DVB); Head-end implementation of DVB SimulCrypt”, March 2007.
[EN300468]	ETSI, EN 300 468, “Digital Video Broadcasting (DVB); Specification for Service Information (SI) in DVB systems”, version 1.9.1.
[DVB-SRM]	DVB, Bluebook A135, “Digital Video Broadcasting (DVB); System Renewability Messages (SRM) in DVB systems”
[HDCP]	Digital Content Protection LLC, “High-bandwidth Digital Content Protection System”, Revision 1.3.
[IEC62455]	IEC, IEC 62455, “Internet protocol (IP) and transport stream (TS) based service access”.
[MPEG2TS]	ISO/IEC, ISO/IEC 13818-1, “Information technology – Generic coding of moving pictures and associated audio information: Systems”.
[MRL BBTS]	Marlin Developer Community, “Marlin Broadband Transport Stream Specification”, Version 1.0
[MRL BNSP]	Marlin Developer Community, “Marlin – Broadband Network Service Profile Specification”, Version 1.1.
[MRL CORE]	Marlin Developer Community, “Marlin - Core System Specification”, Version 1.3
[MRL FF]	Marlin Developer Community, “Marlin – File Formats Specification”, Version 1.1
[OMARLIN]	Marlin Developer Community, “OMArLin Specification”, Version 1.0
[RFC2109]	IETF, RFC 2109, “HTTP State Management Mechanism”.
[RFC2119]	IETF, RFC 2119, “Key words for use in RFCs to Indicate Requirement Levels”.
[RFC2617]	IETF, RFC 2617, “HTTP Authentication: Basic and Digest Access Authentication”.
[RFC3261]	IETF, RFC 3261, “SIP: Session Initiation Protocol”.
[RFC5746]	IETF, RFC 5746, “Transport Layer Security (TLS) Renegotiation Indication Extension”.
[SAMLCORE]	OASIS, “Assertions and Protocols for the OASIS Security Markup Language (SAML) V2.0”.
[SAMLPROF]	OASIS, “Profiles for the OASIS Security Assertion Markup Language (SAML) V2.0”.
[ATIS-IDSA]	ATIS, ATIS-0800006, IIF Default Scrambling Algorithm (IDSA)

## 2.2 Open IPTV Forum References

<b>[REQS]</b>	Open IPTV Forum, "Service and Platform Requirements", V1.1, July 2008.
<b>[ARCH]</b>	Open IPTV Forum, "Functional Architecture", V1.2, January 2009.
<b>[MEDIA]</b>	Open IPTV Forum, "Release 1 Solution Specification, Volume 2 - Media Formats", V1.2, August 2012.
<b>[META]</b>	Open IPTV Forum, "Release 1 Solution Specification, Volume 3 - Content Metadata", V1.2, August 2012.
<b>[PROT]</b>	Open IPTV Forum, "Release 1 Solution Specification, Volume 4 - Protocols", V1.2, August 2012.
<b>[DAE]</b>	Open IPTV Forum, "Release 1 Solution Specification, Volume 5 - Declarative Application Environment", V1.2, August 2012.

## 2.3 Informative References

<b>[OVIEW]</b>	Open IPTV Forum, "Release 1 Solution Specification, Volume 1 - Overview", V1.2, August 2012.
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## 3 Conventions and Terminology

### 3.1 Conventions

The key words “MUST”, “MUST NOT”, “REQUIRED”, “SHALL”, “SHALL NOT”, “SHOULD”, “SHOULD NOT”, “RECOMMENDED”, “MAY”, and “OPTIONAL” in this document are to be interpreted as described in [RFC2119].

All sections and appendixes, except “Scope” and “Introduction”, are normative, unless they are explicitly indicated to be informative.

### 3.2 Definitions

<i>Term</i>	<i>Definition</i>
<b>Business Token</b>	collection of information defined in [MRL BNSP] that contains the service specific information for a given business model
<b>Content and Service Protection Gateway</b>	optional gateway function that provides a conversion from a (proprietary) content and service protection solution in the network to one that is supported by an OITF, as defined in this specification
<b>Client Function</b>	function that interacts with the Marlin Client Function in a Content and Service Protection
<b>Content and Service Key Management Function</b>	entity responsible for storing and providing Service, Program, Content Keys and ECM attached information  This function MAY be physically co-located with other functions (e.g. the Content Delivery Network Controller for Content on Demand services), see [ARCH].  This entity has been identified in release 1 just to illustrate informatively the separation between content encryption which is part of content preparation and content delivery.
<b>Content on Demand Encryption Management Function</b>	back office Content on Demand function in charge of launching Content on Demand encryption.  This entity has been identified in release 1 just to illustrate informatively the separation between content encryption which is part of content preparation and content delivery.
<b>Content and Service Protection</b>	function that handles service protection and content protection for the client in the OITF
<b>CSP-G Server</b>	functional entity in the network that handles content protection and service protection for the Content and Service Protection Gateway (CSPG) in the residential network
<b>CSP-T Server</b>	functional entity in the network that handles service protection and content protection for the CSP-T client in the OITF
<b>Marlin Action Token</b>	token defined in [MRL BNSP] that is used to trigger the Marlin Protocols from the Marlin Client Function in CSP, and from which some information (e.g., business token) is used in the Marlin protocols
<b>Marlin Client Function</b>	compliant implementation of the Marlin Client that is defined in [MRL BNSP] and that enables secure communications (Marlin Protocols) with the Marlin Server Function in a CSP-T Server
<b>Marlin Configuration Token</b>	token defined in [MRL BNSP] that includes the location information of the Marlin Server Function in CSP-T Server with which the CSP communicates
<b>Marlin Server Function</b>	compliant implementation of the Marlin Server that is defined in [MRL BNSP] and that enables secure communications (Marlin Protocols) with the Marlin Client Function in a CSP
<b>Output Control Information</b>	Output Control Information as defined in [MRL BNSP] and [MRL BBTS]
<b>Program Key</b>	symmetric key defined in [IEC62455] that encrypts an ECM
<b>Scheduled Content</b>	an IPTV service where the playout schedule is fixed by an entity other than the User. The content is delivered to the user for immediate consumption
<b>Scramble Key</b>	symmetric key that scrambles the content
<b>Server Function</b>	function that interacts with the Marlin Server Function in a CSP-T Server
<b>serviceBaseCID</b>	the part of the Content ID that is the same for all content in a service

<i>Term</i>	<i>Definition</i>
<b>Service Key</b>	symmetric key defined in [IEC62455] that encrypts an ECM or a Program Key
<b>Single Sign-On</b>	method of service access control that enables the user to authenticate once and gain access to the resources of multiple services

### 3.3 Abbreviations

In addition to the Abbreviations provided in Volume 1, the following abbreviations are used in this Volume.

<i>Abbreviation</i>	<i>Definition</i>
<b>3GPP</b>	Third Generation Partnership Project
<b>AES</b>	Advanced Encryption Standard
<b>AKE</b>	Authentication and Key Exchange
<b>APDU</b>	Application Protocol Data Unit
<b>ATIS</b>	Alliance for Telecommunications Industry Solutions
<b>BBTS</b>	Broadband Transport Stream – MPEG-2 transport stream as defined by [MRL BBTS]
<b>BNS</b>	Broadband Network Service
<b>BSF</b>	Bootstrapping Server Function
<b>bslbf</b>	bit string, left bit first
<b>B-TID</b>	Bootstrapping Transaction Identifier
<b>CA</b>	Conditional Access
<b>CAD</b>	Content Access Descriptor
<b>CAM</b>	Conditional Access Module
<b>CAT</b>	Conditional Access Table
<b>CBC</b>	Cipher-Block Chaining
<b>CE-HTML</b>	Consumer Electronics – HTML
<b>CI</b>	Common Interface
<b>CSKMF</b>	Content and Service Key Management Function
<b>CSPG-CI+</b>	CSPG – CI+ based
<b>CSPG-DTCP</b>	CSPG – DTCP-IP based
<b>CSP-T</b>	Content and Service Protection – Terminal-Centric Approach
<b>DCF</b>	DRM Content Format
<b>DTCP</b>	Digital Transmission Content Protection
<b>DTLA</b>	Digital Transmission Licensing Administrator
<b>DVB</b>	Digital Video Broadcasting
<b>ECM</b>	Entitlement Control Message
<b>EMM</b>	Entitlement Management Message
<b>ETSI</b>	European Telecommunications Standards Institute
<b>FQDN</b>	Fully Qualified Domain Name
<b>GAA</b>	Generic Authentication Architecture
<b>HDCP</b>	High-bandwidth Digital Content Protection
<b>HDD</b>	Hard Disk Drive
<b>HNI-AMNI</b>	Home Network Interface – Additional Managed Network Interface
<b>HNI-CSP</b>	Home Network Interface – Content and Service Protection

<b>Abbreviation</b>	<b>Definition</b>
<b>HNI-IGI</b>	Home Network Interface – IMS Gateway Interface
<b>HNI-INI</b>	Home Network Interface – ITF (IPTV Terminal Function) Network Interface
<b>HTML</b>	HyperText Markup Language
<b>ID</b>	Identity
<b>IDSA</b>	IIF Default Scrambling Algorithm
<b>IEC</b>	International Electrotechnical Commission
<b>IETF</b>	Internet Engineering Task Force
<b>IIF</b>	IPTV Interoperability Forum
<b>IMPI</b>	IP Multimedia Private Identity
<b>IMPU</b>	IP Multimedia Public Identity
<b>IPMC</b>	IP Multicast
<b>IPMP</b>	Intellectual Property Management Protocol
<b>ISIM</b>	IP Multimedia Services Identity Module
<b>ISO</b>	International Organization for Standardization
<b>IV</b>	Initialization Vector
<b>KDF</b>	Key Derivation Function
<b>KSM</b>	Key Stream Message
<b>M-CID</b>	Marlin Content ID
<b>MIME</b>	Multipurpose Internet Mail Extensions
<b>MP4</b>	MPEG-4
<b>MPEG</b>	Moving Pictures Experts Group
<b>NAF</b>	Network Application Function
<b>NPI</b>	Network Provider Interface
<b>OASIS</b>	Organization for the Advancement of Structured Information Standards
<b>PCMCIA</b>	Personal Computer Memory Card International Association
<b>PCP</b>	Protected Content Packet
<b>PDCF</b>	Packetized DRM Content Format
<b>PES</b>	Packetized Elementary Stream
<b>PID</b>	Packet Identifier
<b>PIN</b>	Personal Identification Number
<b>PKI</b>	Public Key Infrastructure
<b>PMT</b>	Program Map Table
<b>SAML</b>	Security Assertion Markup Language
<b>SAS</b>	Specific Application Support
<b>SDP</b>	Session Description Protocol
<b>SPP</b>	Service Platform Provider
<b>SRM</b>	System Renewability Message
<b>SSL</b>	Secure Sockets Layer
<b>TEK</b>	Traffic Encryption Key
<b>TISPAN</b>	Telecoms & Internet converged Services & Protocols for Advanced Networks
<b>TLS</b>	Transport Layer Security

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<b><i>Abbreviation</i></b>	<b><i>Definition</i></b>
<b>TLV</b>	Type Length Value
<b>TS</b>	Transport Stream
<b>uimsbf</b>	unsigned integer most significant bit first
<b>UNIS-CSP-G</b>	User Network Interface Specific – Content and Service Protection Gateway
<b>UPnP</b>	Universal Plug and Play
<b>URI</b>	Usage Rule Information
<b>URI</b>	Uniform Resource Identifier

## 4 Content and Service Protection

This section specifies the Content and Service protection functionality for OIPF Release 1.

It consists of a specification of

- the Terminal-Centric Approach, see section 4.1, and
- the Gateway-Centric Approach, see section 4.2.

### 4.1 Terminal-Centric Approach

This section specifies the functionality for the OIPF Terminal-Centric Approach to Content & Service Protection. In order to do this, this section provides a mapping from all relevant functions and interfaces from [ARCH] to specific sections of Marlin specifications [MRL BNSP].

All normative statements in this section and its sub-sections apply only in case the Terminal-Centric Approach is supported by the OITF.

OITFs that support the OIPF Terminal-Centric Approach to Content & Service Protection SHALL be compliant with [MRL BNSP].

NOTE: The criteria that determine under which circumstances the Terminal-Centric Approach is implemented are out of the scope of the present document.

NOTE: The criteria that determine under which circumstances the support for Marlin metering for content or rights owner settlement is implemented in the OITF are out of the scope of the present document.

#### 4.1.1 Interfaces for CSP and CSP-T Server

This section describes the interfaces related to a CSP and CSP-T Server in the Functional Architecture described in [ARCH].

##### 4.1.1.1 Scope

The main scope of this section is to describe CSP interfaces (CSP-1, UNIS-CSP-T) and CSP-T Server interfaces (NPI-CSP<sub>x</sub>, x = 1, 2, 3). CSP-1 is the interface between CSP and OITF Functions. NSP-CSP<sub>x</sub>, x = 1, 2, 3, are the interfaces between the CSP-T Server and Providers Network Functions. This section informatively touches upon the Marlin License Evaluation and Content Encryption.

Only the UNIS-CSP-T interface and the interface to DAE in CSP-1 are normative. The other interfaces are informatively described for comprehension.

Figure 1 shows the message flow overview.

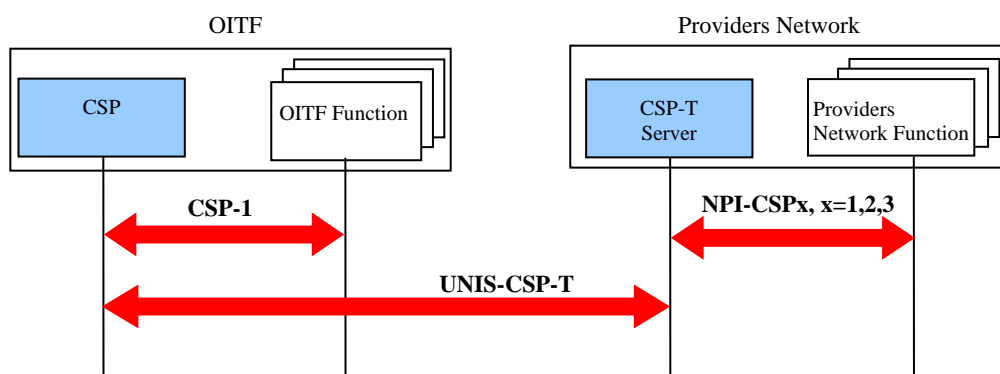


Figure 1: CSP-T System Overview

The four functional entities in Figure 1 are described below:

- CSP in this document consists of Marlin Client Function and a part of the Client Function which deals with Marlin elements.

- CSP-T Server in this document consists of Marlin Server Function and a part of the Server Function which deals with Marlin elements.
- OITF Function is the function in the OITF that interacts with the CSP. The OITF Function also interacts with a Providers Network Function to acquire the necessary information for the CSP. How the Providers Network Function is called in this document depends on the process to be performed.
- Providers Network Function is the function in the Providers Network that interacts with the CSP-T Server. How the Providers Network Function is called in this document depends on the process to be performed.

Note that the OITF Function with which the CSP communicates is not limited as described in this document and may vary depending on the implementation of the OITF.

#### 4.1.1.2 Interface CSP – CSP-T Server (UNIS-CSP-T)

When requested from a native application or from the DAE application to handle a Marlin Action Token or a MIPPVControlMessage, see section 4.1.7.3, the CSP SHALL act as a Marlin DRM Client and SHALL perform Marlin Protocols as specified in [MRL BNSP]. Furthermore if there are no available rights when trying to use content, the CSP SHALL comply with [MRL BBTS] and [OMARLIN] and SHALL try to use the URL specified in the content to acquire new rights.

In both cases, the CSP-T Server SHALL comply with Marlin Protocols as specified in [MRL BNSP].

These protocols are:

- Marlin registration: Node acquisition and Link acquisition.
- Marlin de-registration.
- Marlin License acquisition.

Marlin Protocols are described in section 4.1.1.4.

#### 4.1.1.3 Interface CSP-OITF Function (CSP-1)

The DAE DRM Agent API, as defined in [DAE] section 7.6.1, triggers handling of DRM Message, e.g. Marlin Action Token, MIPPVControlMessage or Marlin License. When the sendDRMMessage API is called for a DRMSystemID set to the value defined for Marlin, OITF SHALL forward the DRM Message to the CSP function. The result of calling sendDRMMessage is notified through the onDRMMessageResult event handler.

Typical DRM events SHALL be triggered by CSP to DAE via A/V or video/broadcast object when content cannot be played, recorded or time shifted, due to a lack of rights (no license, invalid license) or parental control locking (cf. 4.1.3). These events are defined in [DAE] sections 7.13.6 and 7.14.6. The DRMSystemID of these events SHALL be set to the value defined for Marlin.

A DAE application or native application MAY use DRMControlInformation, defined as an extension to PurchaseItem in [META], present in the BCG and SD&S retrieved by the metadata client. SilentRightsURL, PreviewRightsURL and RightsIssuerURL in DRMControlInformation MAY be used to get updated rights. If the DRMSystemID in DRMControlInformation is set to the value defined for Marlin, the application SHALL forward the DRMPrivateData, if present, to the CSP. A DAE application SHALL use sendDRMMessage, defined in [DAE] section 7.6.1.2, to forward the DRMPrivateData.

All objects defined in [DAE] that are requested to handle a content-access descriptor, defined in [DAE] SHALL check if the content-access descriptor includes DRMControlInformation. These objects or the underlying functions SHALL forward the available DRMPrivateData in the DRMControlInformation to the CSP if the DRMSystemID is set to the value defined for Marlin.

NOTE: The DRMSystemID is defined in section 4.1.7.1 for Marlin.

#### 4.1.1.4 Marlin Protocol Sequences (informative)

##### 4.1.1.4.1 Marlin Registration (informative)

Marlin Registration provides functions which enable a Marlin Client Function in CSP to register to a Marlin domain. Marlin Registration consists of Node Acquisition and Link Acquisition.



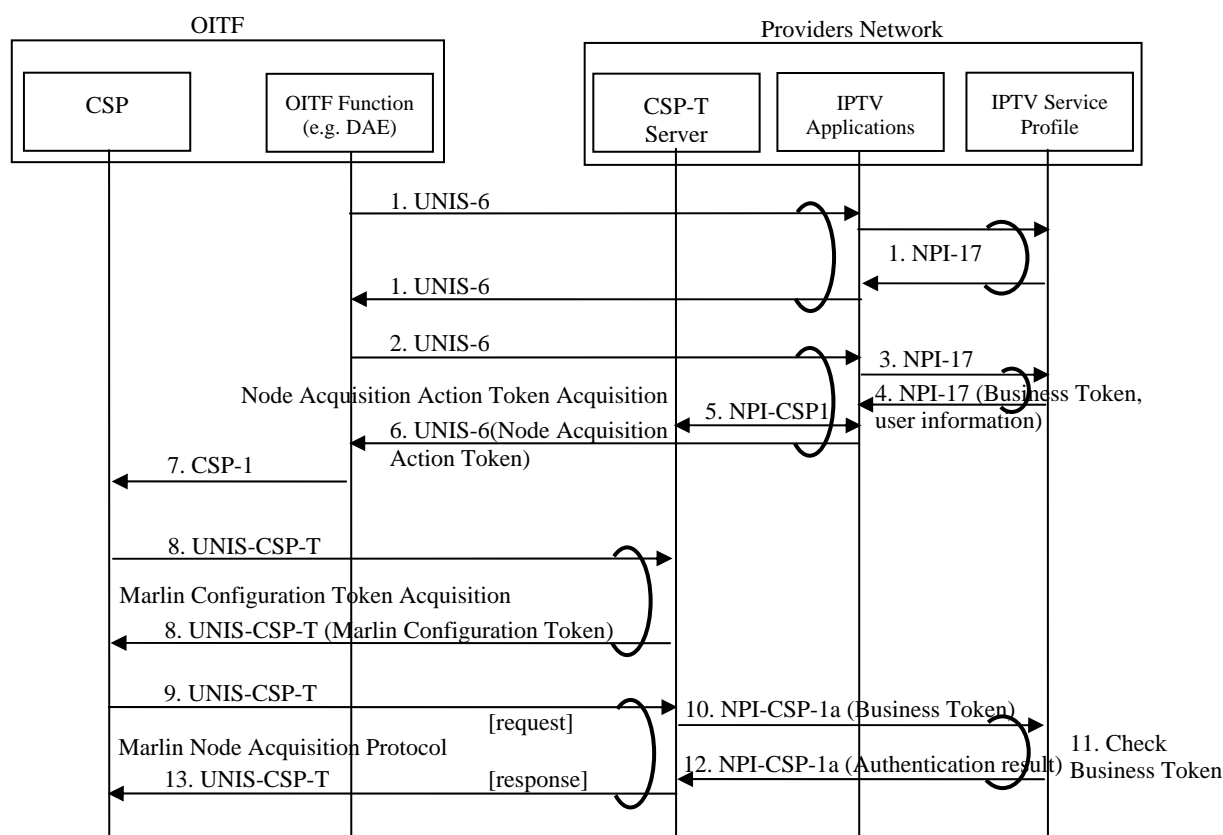
#### 4.1.1.4.1.1 Node Acquisition (informative)

Node Acquisition provides an Octopus Node Object from a Marlin Server Function in CSP-T Server to a Marlin Client Function in CSP.

Note that Node Acquisition is performed prior to the respective Link Acquisition to provide the Octopus Node Objects necessary for the Link Acquisition.

Marlin Node Acquisition Protocol is triggered by a Marlin Action Token for Node Acquisition (hereafter Node Acquisition Action Token) from CSP. The OITF Function acquires the Node Acquisition Action Token and then the OITF Function feeds it to CSP. After CSP acquires corresponding Marlin Configuration Token from CSP-T Server, CSP executes Marlin Node Acquisition Protocol with CSP-T Server. Note that Marlin Node Acquisition Protocol is to provide one Octopus Node Object per its request and response.

The message flow in case of Node Acquisition is shown in Figure 2.



**Figure 2: Node Acquisition Sequence**

In Node Acquisition Sequence, the following steps are performed:

1. The OITF Function (e.g. DAE) communicates with IPTV Applications and IPTV Service Profile function via UNIS-6 and NPI-17 for Node Acquisition<sup>1</sup>.
2. Given the Marlin Action Token URL (e.g. embedded into the webpage obtained in step 1), the OITF Function (e.g. DAE application) sends the request for the Node Acquisition Action Token to the IPTV Applications by UNIS-6.
3. When receiving the request from the OITF Function, the IPTV Applications sends a request to the IPTV Service Profile function via NPI-17 to get the necessary information to generate the Node Acquisition Action Token.

<sup>1</sup> Note that, although NPI-17 is assumed as the interface for communication between IPTV Applications and IPTV Service Profile, in the case of the managed network model, NPI-2 and NPI-6 may be used instead.

4. Receiving the request from IPTV Applications, the IPTV Service Profile function sends Business Token and user information to IPTV Applications.
5. Given the information from the IPTV Applications, when there is no Octopus Node for the given user information, the CSP-T Server generates Octopus Node and correlates user information with the Octopus Node, so that CSP-T Server can check for the existence of the Octopus Node next time from the user information. Then the CSP-T Server correlates the Business Token with Octopus Node so that the CSP-T Server can provide the corresponding Octopus Node from the Business Token included in the (Marlin Node Acquisition Protocol) request.
6. IPTV Applications sends the Node Acquisition Action Token to the OITF Function by UNIS-6.
7. The OITF Function sends the Node Acquisition Action Token to the CSP by CSP-1.
8. When the CSP does not have a corresponding Marlin Configuration Token, the CSP gets the Marlin Configuration Token from the CSP-T Server by referring to the URL specified in the Node Acquisition Action Token.
9. Given the Node Acquisition Action Token, the CSP sends a (Marlin Node Acquisition Protocol) request to CSP-T Server by UNIS-CSP-T.
10. To check the request from the CSP, the CSP-T Server sends the Business Token (and possibly other client data such as client version, model, etc... extracted from the request) to the IPTV Service Profile function.
11. The IPTV Service Profile function validates the data received from the CSP-T Server.
12. If validation succeeds, the IPTV Service returns to CSP-T Server the data necessary to fulfil the CSP request. If validation fails, an error is returned to the CSP-T Server.
13. The CSP-T Server sends a Marlin (Node Acquisition) response message to the CSP. This response includes either the Octopus Node correlated to the Business Token sent in the original CSP request, or an error message as defined in [MRL BNSP].

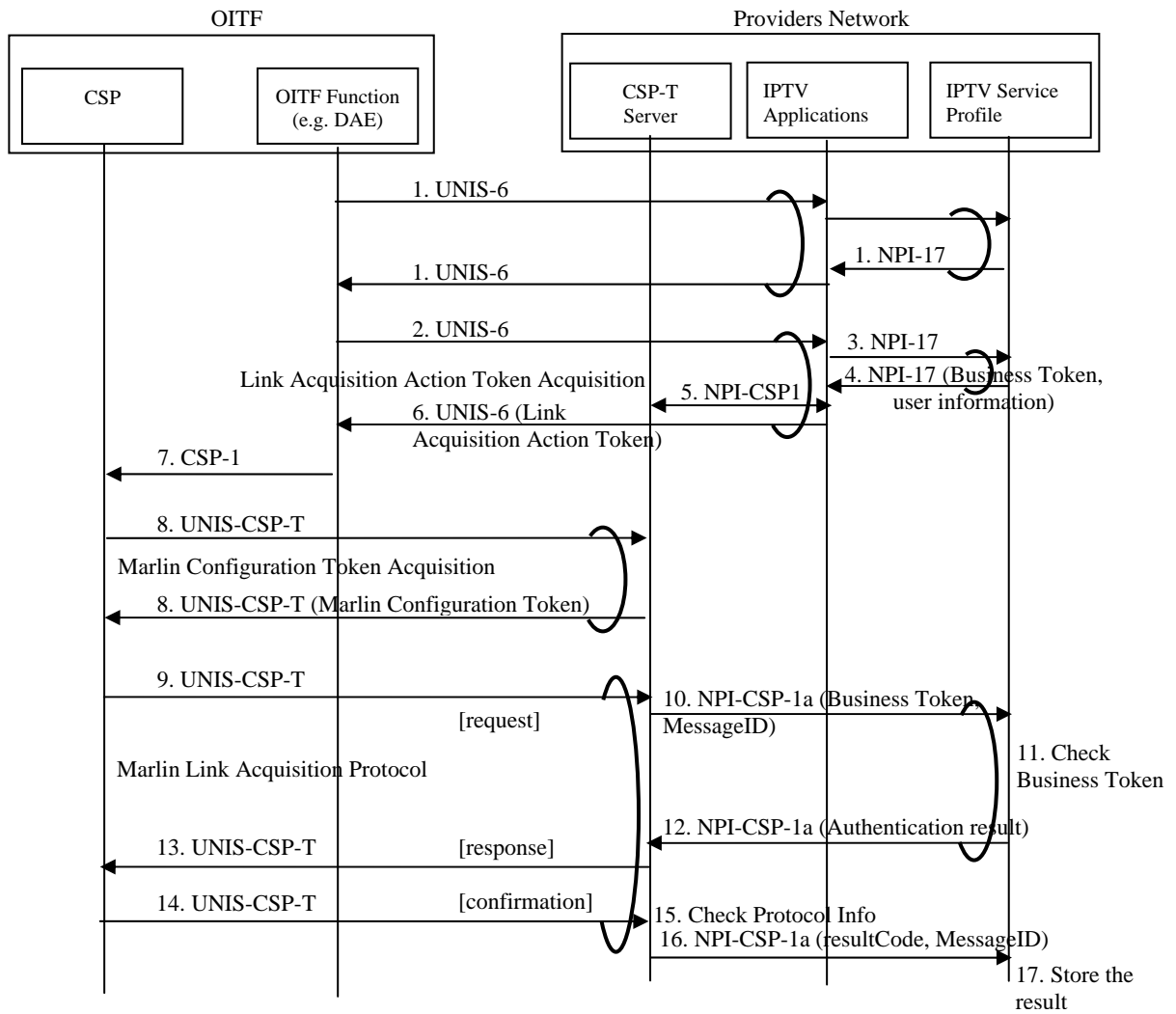
**4.1.1.4.1.2 Link Acquisition (informative)**

Link Acquisition provides an Octopus Link from Marlin Server Function in CSP-T Server to Marlin Client Function in CSP.

Note that this sequence assumes that the corresponding Node Acquisition has already been performed between the CSP and CSP-T Server.

Marlin Link Acquisition Protocol is triggered by a Marlin Action Token for Link Acquisition (hereafter Link Acquisition Action Token) from CSP. The OITF Function acquires the Link Acquisition Action Token, and then the OITF Function feeds it to CSP. After CSP acquires corresponding Marlin Configuration Token from CSP-T Server, CSP executes Marlin Link Acquisition Protocol with CSP-T Server.

The message flow in case of Link Acquisition is shown in Figure 3.



**Figure 3: Link Acquisition Sequence**

In Link Acquisition Sequence, the following steps are performed:

1. The OITF function (e.g. DAE) communicates with IPTV Applications and IPTV Service Profile function via UNIS-6 and NPI-17 for Link Acquisition<sup>2</sup>.

<sup>2</sup> Note that, although NPI-17 is assumed as the interface for communication between IPTV Applications and IPTV Service Profile, in the case of the managed network model, NPI-2 and NPI-6 may be used instead.

2. Given the Marlin Action Token URL (e.g. embedded into the webpage obtained in step 1), the OITF Function (e.g. DAE application) sends the request for the Link Acquisition Action Token to IPTV Applications by UNIS-6.
3. When receiving the request from the OITF Function, the IPTV Applications sends a request to the IPTV Service Profile function by NPI-17 to get necessary information to generate the Link Acquisition Action Token,
4. Receiving the request from IPTV Applications, the IPTV Service Profile function sends Business Token and user information to IPTV Applications.
5. Given the user information from the IPTV Applications, the CSP-T Server finds the information of Octopus Node which corresponds to “From Node” and “To Node”. Then the CSP-T Server correlates the Business Token with “From Node” and “To Node” so that the CSP-T Server can check the information in (Marlin Link Acquisition Protocol) request.
6. IPTV Applications sends the Link Acquisition Action Token to the OITF Function by UNIS-6.
7. Given the Link Acquisition Action Token, the OITF Function sends it to the CSP by CSP-1.
8. When the CSP does not have a corresponding Marlin Configuration Token, the CSP gets the Marlin Configuration Token from the CSP-T Server by referring to the URL specified in the Link Acquisition Action Token by UNIS-CSP-T.
9. Given the Link Acquisition Action Token, the CSP sends a (Marlin Link Acquisition Protocol) request to the CSP-T Server.
10. To check the request from the CSP, when the request includes the correct combination of Business Token, “From Node”, and “To Node”, the CSP-T Server sends a Business Token and MessageID to the IPTV Service Profile function by NPI-CSP-1a. The MessageID is a unique id, and the same MessageID is set among request, response, and confirmation, so that IPTV Service Profile function can use the MessageID to correlate request, response, and confirmation.
11. The IPTV Service Profile function validates the data received from the CSP-T Server.
12. If validation succeeds, the IPTV Service Profile function returns to CSP-T Server the data necessary to fulfil the CSP request. If validation fails, an error is returned to the CSP-T Server.
13. The CSP-T Server sends a Marlin (Registration) response message to the CSP. This response includes either the registration agent correlated to the Business Token sent in the original CSP request, or a fault message as defined in [MRL BNSP].
14. The CSP sends a (Marlin Link Acquisition Protocol) Confirmation to the CSP-T Server by UNIS-CSP-T.
15. The CSP-T Server checks the resultCode (i.e. success or failure for registration in CSP), and then stores the “From Node” and “To Node” information by correlating with the user information so that CSP-T Server can manage Marlin domain information for the user.
16. The CSP-T Server sends the resultCode and the MessageID to the IPTV Service Profile function by NPI-CSP-1a.
17. The IPTV Service Profile function stores the resultCode in connection with the user information from step 4.

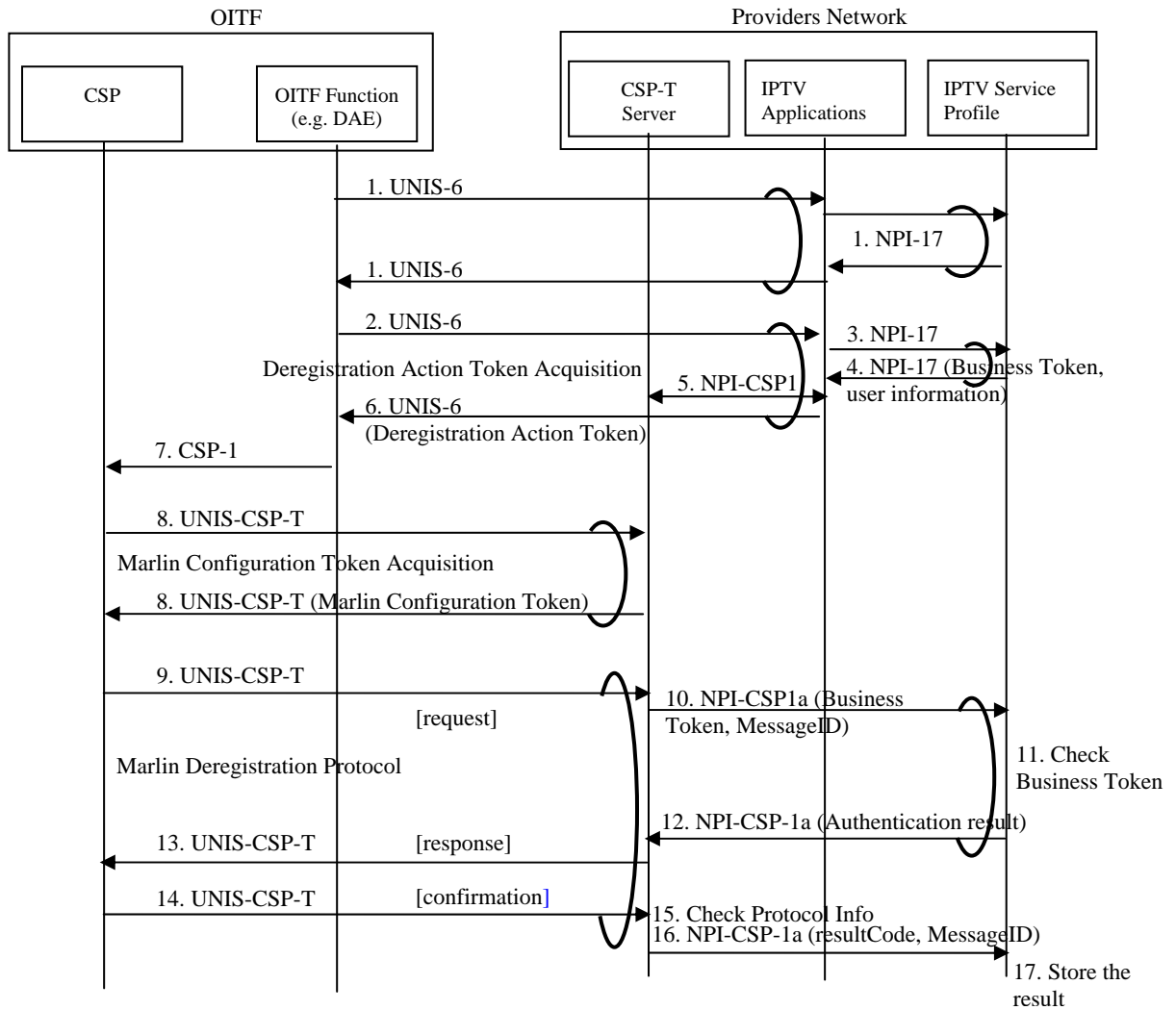
**4.1.1.4.2 Marlin Deregistration (informative)**

Marlin Deregistration provides functions which enable Marlin Client Function in CSP to deregister from a Marlin domain.

Note that this sequence assumes that the corresponding Node Acquisition and Link Acquisition have already been performed between the CSP and CSP-T Server.

Marlin Deregistration Protocol is triggered by a Marlin Action Token for Deregistration (hereafter Deregistration Action Token) from CSP. The OITF Function acquires the Deregistration Action Token, and then the OITF Function feeds it to CSP. After CSP acquires corresponding Marlin Configuration Token from CSP-T Server, CSP executes Marlin Deregistration Protocol with CSP-T Server.

The sequence of deregistration messages is shown in Figure 4.



**Figure 4: Deregistration Sequence**

In this deregistration sequence, the following steps are performed:

1. The OITF function (e.g. DAE) communicates with IPTV Applications and IPTV Service Profile function via UNIS-6 and NPI-17 for Marlin Deregistration<sup>3</sup>.

<sup>3</sup> Note that, although NPI-17 is assumed as the interface for communication between IPTV Applications and IPTV Service Profile, in the case of the managed network model, NPI-2 and NPI-6 may be used instead.

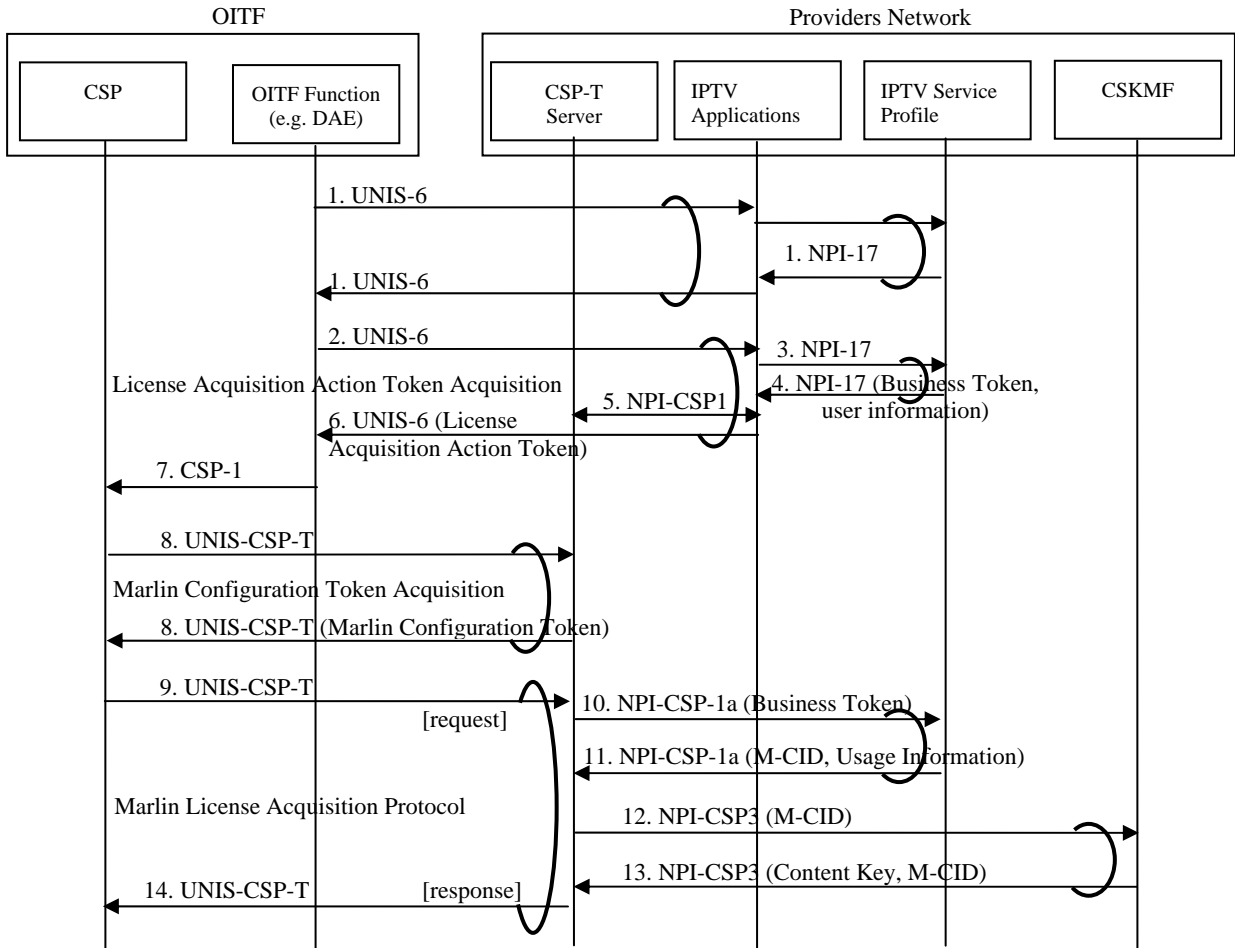
2. Given the Marlin Action Token URL (e.g. embedded into the web page obtained in step 1), the OITF Function (e.g. DAE application) sends the request for the Deregistration Action Token to the IPTV Applications by UNIS-6.
3. When receiving the request from the OITF Function, the IPTV Applications sends a request to the IPTV Service Profile function by NPI-17 to get necessary information to generate the Deregistration Action Token.
4. Receiving the request from IPTV Applications, the IPTV Service Profile function sends Business Token and user information to IPTV Applications.
5. Given the user information from the IPTV Applications, the CSP-T Server finds the information of Octopus Node which corresponds to “From Node” and “To Node”. Then the CSP-T Server correlates the Business Token with “From Node” and “To Node” so that the CSP-T Server can check the information in (Marlin Deregistration Protocol) request.
6. IPTV Applications sends the Deregistration Action Token to the OITF Function by UNIS-6.
7. Given the Deregistration Action Token, the OITF Function sends it to the CSP by CSP-1.
8. When the CSP does not have a corresponding Marlin Configuration Token, the CSP gets the Marlin Configuration Token from the CSP-T Server by referring to the URL specified in the Deregistration Action Token.
9. Given the Deregistration Action Token, the CSP sends a (Marlin Deregistration Protocol) request to the CSP-T Server by UNIS-CSP-T.
10. To check the request from the CSP by the IPTV Service Profile function, when the request includes the correct combination of Business Token, “From Node”, and “To Node”, the CSP-T Server sends a Business Token and MessageID to the IPTV Service Profile function by NPI-CSP-1a. The MessageID is a unique id and the same MessageID is set among request, response, and confirmation so that IPTV Service Profile function can use the MessageID to correlate request, response, and confirmation.
11. The IPTV Service Profile function validates the data received from the CSP-T Server.
12. If validation succeeds, the IPTV Service Profile function returns to CSP-T Server the data necessary to fulfil the CSP request. If validation fails, an error is returned to the CSP-T Server.
13. The CSP-T Server sends a Marlin (Deregistration) response message to the CSP. This response includes either the deregistration agent correlated to the Business Token sent in the original CSP request, or an error message as defined in [MRL BNSP].
14. The CSP sends a (Marlin Deregistration Protocol) Confirmation to the CSP-T Server by UNIS-CSP-T.
15. The CSP-T Server checks the resultCode (i.e. success or failure for deregistration in CSP) and Message ID, and then stores the “From Node” and “To Node” information by correlating it with the user information, so that CSP-T Server can manage Marlin domain information for the user.
16. The CSP-T Server sends the resultCode and the MessageID to the IPTV Service Profile function by NPI-CSP-1a.
17. The IPTV Service Profile function stores the resultCode in connection with the user information from step 4.

**4.1.1.4.3 Marlin License Acquisition (informative)**

License Acquisition provides functions which enable Marlin Client Function in CSP to obtain a Marlin License.

Marlin License Acquisition Protocol is triggered by a Marlin Action Token for License Acquisition (hereafter License Acquisition Action Token) from CSP. The OITF Function acquires the License Acquisition Action Token, and then the OITF Function feeds it to the CSP. After CSP acquires corresponding Marlin Configuration Token from CSP-T Server, CSP executes Marlin License Acquisition Protocol with CSP-T Server.

The sequence of license acquisition messages is shown in Figure 5.



**Figure 5: License Acquisition Sequence**

In this sequence, the following steps are performed:

1. The OITF Function (e.g. DAE) communicates with IPTV Applications and IPTV Service Profile function via UNIS-6 and NPI-17 for License Acquisition<sup>4</sup>.
2. Given the Marlin Action Token URL (e.g. embedded into the webpage obtained in step1), the OITF Function (e.g. DAE application) sends the request for the License Acquisition Action Token to the IPTV Applications by UNIS-6.
3. When receiving the request from the OITF Function, the IPTV Applications sends a request to the IPTV Service Profile function by NPI-17 to get the information necessary to generate the License Acquisition Action Token.
4. Receiving the request from IPTV Applications, the IPTV Service Profile function sends Business Token and user information to IPTV Applications. This user information for License Acquisition also indicates “Bound to Node” of the Marlin License.

<sup>4</sup> Note that, although NPI-17 is assumed as the interface for communication between IPTV Applications and IPTV Service Profile, in the case of the managed network model, NPI-2 and NPI-6 may be used instead.

5. Given the information from the IPTV Applications, the CSP-T Server correlates the Business Token with the “Bound to Node” so that the CSP-T Server can check the information in a (Marlin License Acquisition Protocol) request.
6. IPTV Applications sends the License Acquisition Action Token to the OITF Function by UNIS-6.
7. Given the License Acquisition Action Token, the OITF Function sends it to the CSP by CSP-1.
8. When the CSP does not have a corresponding Marlin Configuration Token, the CSP obtains the Marlin Configuration Token from the CSP-T Server by referring to the URL specified in the License Acquisition Action Token.
9. Given the License Acquisition Action Token, the CSP sends a (Marlin License Acquisition Protocol) request to the CSP-T Server by UNIS-CSP-T.
10. To check the request from the CSP, when the request includes the correct combination of Business Token and “Bound to Node”, the CSP-T Server sends a Business Token to the IPTV Service Profile function by NPI-CSP-1a.
11. The IPTV Service Profile function validates the data received from the CSP-T Server. If validation fails, an error is returned to the CSP-T Server. If validation succeeds, the IPTV Service Profile function returns to CSP-T Server the data necessary to generate the Marlin License, consisting at a minimum of:
  - M-CID (Marlin Content ID)
  - Usage Information which includes the content usage rules
12. To get the corresponding Content Key, the CSP-T Server sends the M-CID to the CSKMF by NPI-CSP3.
13. When receiving the information, the CSKMF looks for the corresponding Content Key (\*) by M-CID, and then sends the Content Key (\*) and M-CID to the CSP-T Server by NPI-CSP3.
14. The CSP-T Server sends a Marlin (License) response message to the CSP. This response includes either the License correlated to the Business Token sent in the original CSP request, or an error message as defined in [MRL BNSP].

\* When the content is protected by Scramble Key and Service Key (or Program Key), the Service Key (or Program Key) is provided from CSKMF to CSP-T Server instead of Content Key. See section 4.1.3, for a brief explanation of such encryption scheme.

## 4.1.2 Protected Content Usages

Protected content usages include: playback, recording, time shifting.

Protected content can be played from a native application or from a DAE application using A/V plug-in or video/broadcast object as defined in [DAE]

Protected content can be time-shifted from a native application or from a DAE application using video/broadcast object as defined in [DAE]

Protected content can be recorded from a native application or from a DAE application video/broadcast object as defined in [DAE]

CSP SHALL control protected content usages as defined in [MRL BNSP] for License evaluation and [MRL BBTS] for ECM control. See also section 4.1.3 for an overview.

If usages are not allowed, CSP SHALL block the consumption of program (i.e. stop descrambling) and SHALL generate the appropriate event (no rights, parental control locking) to the calling application, i.e. native application or DAE object. The DAE AV or video/broadcast object SHALL trigger the event to the calling DAE application as specified in 4.1.1.3.

For MPEG-2 TS, usages SHALL be controlled at each ECM change. For other file formats, usages are controlled only when requesting the usage.

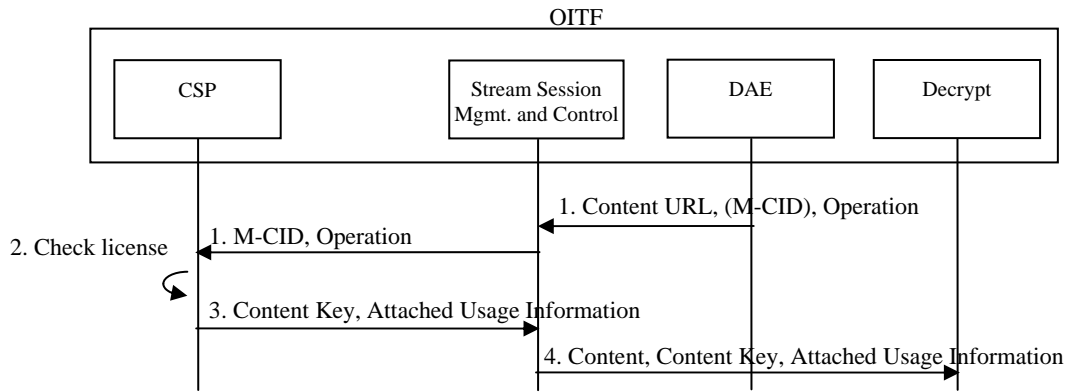
### 4.1.2.1 Marlin License Evaluation (informative)

#### 4.1.2.1.1 License Evaluation (for (P)DCF [OMARLIN] or Marlin IPMP [MRL FF]) (informative)

This section describes the informative overview of how Marlin data objects acquired via Marlin Protocols are used for consumption of protected contents, such as rendering or exporting.

Figure 6 shows the message flow of License Evaluation.





**Figure 6: License Evaluation Sequence**

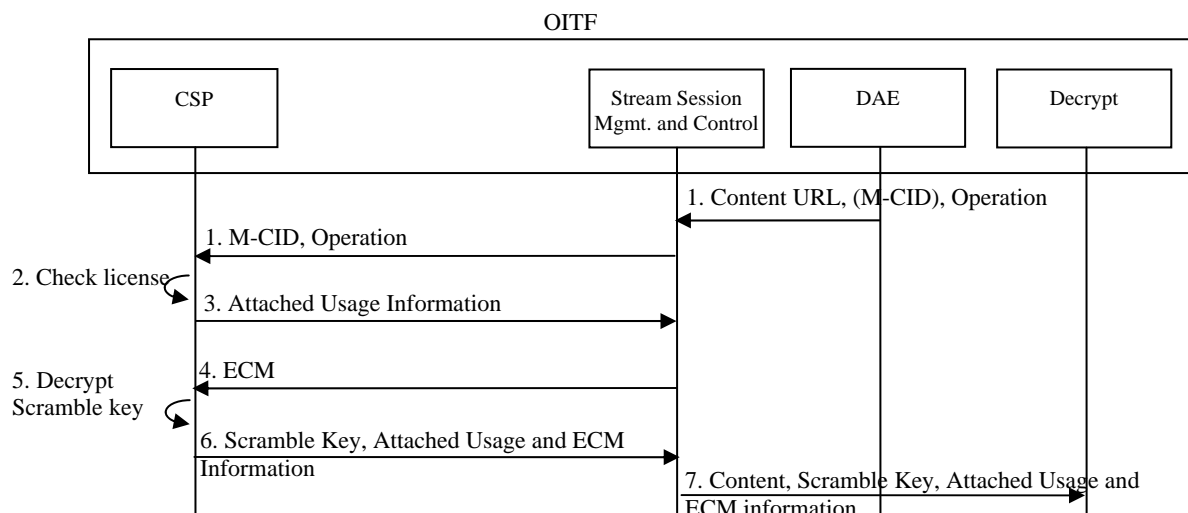
In order to gain access to a protected content, steps below are performed:

1. OITF Function such as DAE<sup>5</sup> triggers the evaluation of a corresponding Marlin License at CSP via Stream Session Management and Control by providing following information:
  - Content URL: the protected content to be accessed.
  - Optionally, M-CID (Marlin Content ID): Id of the protected content to be accessed. The M-CID can also be retrieved from the content, ContentAccessDescriptor [DAE], BCG, or SD&S [META].
  - Operation: operation to perform with the protected content (e.g., render, export).
2. The Marlin Client Function in CSP is required to check the following:
  - The PKI signatures on the Marlin data objects related to the protected content are validated. For trust management of Marlin, see section 9 of [MRL CORE].
  - The usage rule specified in the Marlin data objects for the protected content is valid for CSP.
3. If the license evaluation succeeds, the CSP returns the corresponding Content Key and attached usage information such as Output Control Information (if any) to the Stream Session Management and Control. Otherwise, the CSP responds with an error.
4. The Stream Session Management sends the received Content, Content Key and attached usage information, to the Decrypt function.

<sup>5</sup> Note that, although DAE is used as a function to trigger the License Evaluation, this is only for illustrative purposes and other OITF function can be used, such as OITF embedded application depending on the design of the OITF.

#### 4.1.2.1.2 License Evaluation (for MPEG-2 Transport Stream) (informative)

Figure 7 shows the message flow of License Evaluation with Scramble Key Decryption.



**Figure 7: Scramble Key Decryption Sequence**

When the content is encrypted by Scramble Key, License Evaluation and Scramble Key Decryption sequence below is followed:

1. OITF Function such as DAE<sup>6</sup> triggers the evaluation of a corresponding Marlin License at CSP via Stream Session Management and Control by providing following information:
  - Content URL: the protected content to be accessed (Local or remote URL).
  - Optionally, M-CID (Marlin Content ID): Id of the protected content to be accessed. The M-CID can also be retrieved from the content, ContentAccessDescriptor [DAE], BCG, or SD&S [META].
  - Operation: operation to perform with the protected content (e.g., render, export).
2. The Marlin Client Function in CSP is required to check the following:
  - The PKI signatures on the Marlin data objects related to the protected content are validated (signature O.K. and certificate chain is successfully chained up to the Marlin Trust Anchors).
  - The usage rule specified in the Marlin data objects for the protected content is valid for CSP.
3. If the license evaluation succeeds, the CSP returns attached usage information such as Output Control Information (if any) to the Stream Session Management and Control. Otherwise, the CSP responds with an error.
4. The Stream Session Management and Control provides an ECM to the CSP. The ECM includes a Scramble Key encrypted by a Service or Program key and attached ECM information including the Encryption Algorithm Type, Parental Control Information, recording control Information and Output Control Information.
5. The CSP checks the ECM on integrity. If this is OK, the CSP decrypts encrypted Scramble Key with the appropriate key, and, based on the combined Output Control Information in the ECM and license, the CSP determines updated Output Control Information as specified in [MRL BBTS].
6. The CSP sends Scramble Key and attached usage and ECM information to the Stream Session Management and Control.
7. The Stream Session Management sends the received Content, Scramble Key and attached usage and ECM information to the Decrypt.

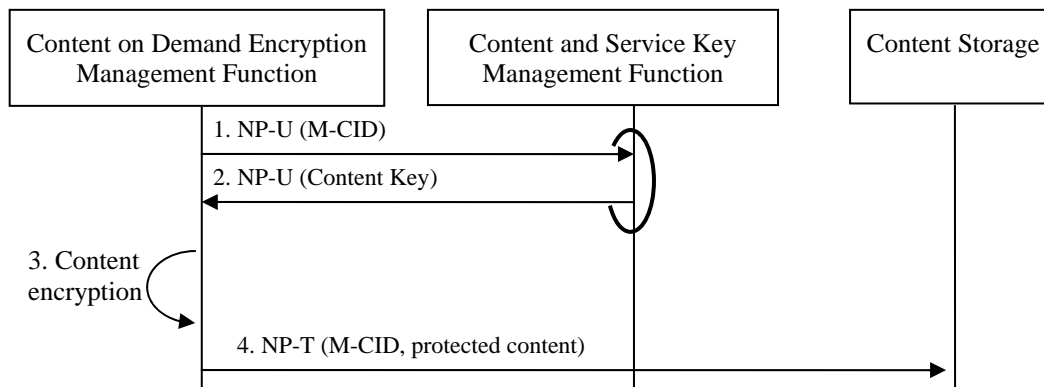
Note that the sequence assumes that Stream Session Management and Control is trusted by the CSP and that the Scramble Key, permission to perform the requested operation and attached usage information are transferred over a secure channel.

<sup>6</sup> Note that, although DAE is used as a function to trigger the License Evaluation, this is only for illustrative purposes and can be performed by another OITF function, such as OITF embedded application, depending on the design of the OITF.

### 4.1.3 Content Encryption (informative)

This section contains an informative overview of Content Encryption to clarify sequences related to Content Key, Service or Program key, and Scramble Key in section 4.1.1.4.3 and 4.1.2.1.

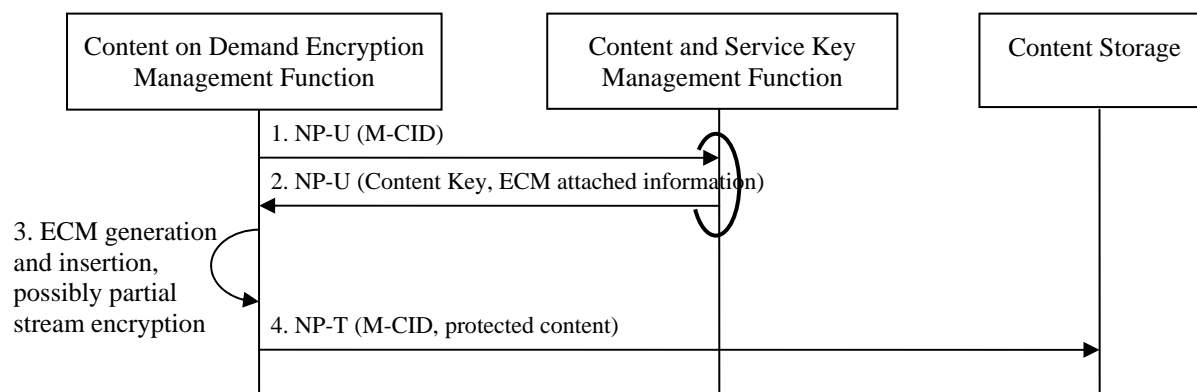
Figure 8, Figure 9 and Figure 10 show the message flows of Content Encryption.



**Figure 8: Content on Demand Encryption Sequence using Content Key (for (P)DCF [OMARLIN] or Marlin IPMP [MRL FF])**

When (P)DCF [OMARLIN] or Marlin IPMP [MRL FF] Content On Demand is encrypted using Content Key, the following steps are performed in Content Encryption sequence:

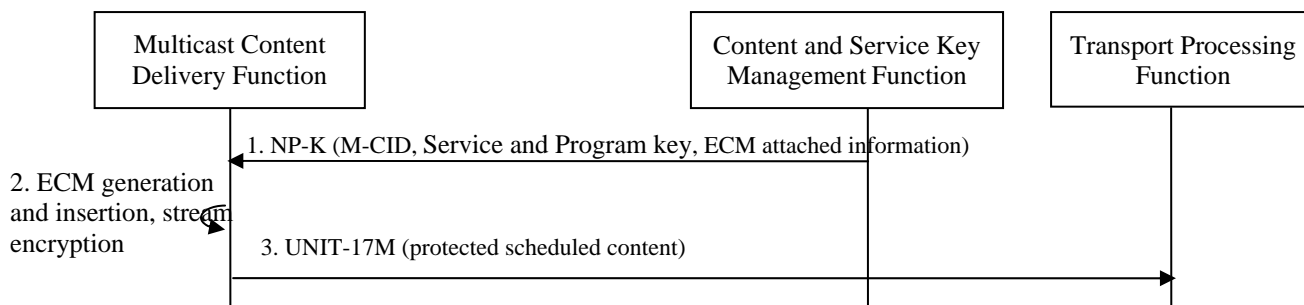
1. The Content on Demand Management requests for a content specified by M-CID (Marlin Content ID), the Content Key to use.
2. The Content and Service Key Management function returns the Content Key.
3. The Content on Demand Encryption Management Function launches encryption of the content in the clear using the M-CID (Marlin Content ID) and Content Key. The protected content is generated.
4. The Content on Demand Management stores the protected content in the Content Storage.



**Figure 9: Content on Demand Encryption Sequence using Content Key (for MPEG-2 TS)**

When MPEG-2 TS Content on Demand is encrypted using Content Key, the following steps are performed in Content Encryption Sequence:

1. The Content on Demand Management requests for the content item specified by M-CID (Marlin Content ID), the Content Key and ECM attached information, including the Encryption Algorithm, Parental Control Information and Output Control Information, to use.
2. The Content and Service Key Management function returns the Content Key and ECM attached information.
3. The Content on Demand Encryption Management Function launches encryption of the content in the clear. Scramble Keys are generated and the content is encrypted using these Scramble Keys. The Scramble Keys are encrypted using the Content Key. ECMs that include Scramble Keys and provided ECM attached information are inserted into the protected content.
4. The Content on Demand Encryption Management Function stores the protected content in the Content Storage.



**Figure 10: Content Encryption Sequence using Scramble Key (for Scheduled MPEG-2 TS Content)**

When MPEG-2 TS Scheduled Content is encrypted by Scramble Keys then the Scramble Keys are encrypted by a Service or Program key, the following steps are performed in Content Encryption Sequence:

1. The Content and Service Key Management function sends the M-CID (Marlin Content ID), Service key and possibly a Program key, ECM attached information including Encryption Algorithm, Parental Control Information and Output Control Information to the Multicast Content Delivery Function.
2. The Multicast Content Delivery Function generates Scramble Keys and then encrypts clear content using these Scramble Keys. Then the Multicast Content Delivery Function encrypts the Scramble Keys using the Service Key or Program Key. When the Program Key is used for encryption of Scramble Keys, the Multicast Content Delivery Function encrypts the Program Key using the Service Key. An ECM that includes the encrypted Scramble Keys and provided ECM attached information is inserted into the protected content.
3. Protected scheduled content is sent to the Transport Processing Function through UNIT-17M.

#### 4.1.4 Protected File Formats

The protected file formats supported in the present specification are:

- the OMA (P)DCF file formats, including Marlin specific extensions in an OMA compatible way, as defined in section 4 of [OMARLIN],
- the Marlin IPMP file format as specified in section 2.3 of [MRL FF],
- the MPEG-2 TS file format as specified in section 4.1.5.

NOTE: This section lists three different protected file formats supported by this specification. The criteria that determine under which circumstances which one or more of these is implemented are out of the scope of the present document.

#### 4.1.5 Protection of MPEG-2 Transport Streams

If the OITF falls under the criteria in [MEDIA] for mandatory support of the unprotected MPEG-2 TS format, the OITF SHALL support the Marlin protected MPEG-2 TS format, as defined in this section and its sub-sections. Otherwise, the support of the Marlin protected MPEG-2 TS format as defined in this section and its sub-sections is OPTIONAL.

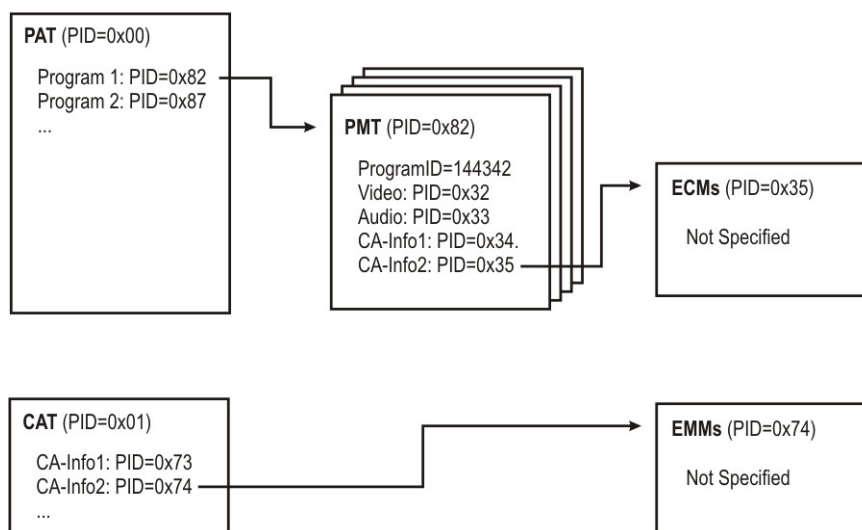
If the OITF falls under the criteria in [MEDIA] for mandatory support of the unprotected time stamped MPEG-2 TS format, the OITF SHALL support the Marlin protected time stamped MPEG-2 TS format, as defined in this section and its sub-sections. Otherwise, the support of the Marlin protected time stamped MPEG-2 TS format as defined in this section and its sub-sections is OPTIONAL.

##### 4.1.5.1 Context

Transport of conditional access messages in MPEG-2 TS is defined by DVB. CA\_descriptors (Conditional access descriptor) are used to signal the presence of conditional access information in the stream. Conditional access messages are transported in short MPEG-2 TS private section (section\_syntax\_indicator = 0). Two types of messages are considered:

- ECM messages, which are linked to descrambling, access criteria and Control Words (TEK). These messages are signalled in the CA\_descriptor in the PMT. ECM Messages should have a high repetition rate in order to allow quick programme access.

- EMM messages, which are linked to rights management. These messages are signalled in the CA\_descriptor in the CAT. These messages' repetition rate should be set at head end level in order to comply with the operator QoS requirements.



**Figure 11: Conditional Access Descriptors Signalling ECM and EMM Messages**

This specification uses Marlin to protect MPEG-2 Transport Streams and time stamped Transport Streams as specified in [MRL BBTS]. If an OITF supports Marlin protected MPEG-2 TS, the OITF SHALL implement the functions of the DRM Client as specified in [MRL BBTS]. For Marlin protected MPEG-2 TS the Content Delivery function SHALL deliver Transport Streams or Time-stamped Transport Streams that are formatted as specified in [MRL BBTS].

If an OITF supports Marlin protected MPEG-2 TS, the OITF SHALL support the Parental\_rating access\_criteria\_descriptor as specified in [IEC62455], the recording control access\_criteria\_descriptor as specified in section 4.1.5.2 and SHALL support at least the rating\_type 0 in these criteria, which maps to the parental rating system in DVB Systems [EN300468].

For the recording control, refer also to section 4.1.5.2, the OITF SHALL compare the required operation with the allowed operations (PVR and time shifting) in the recording control criteria and refuse the requested operation to the calling application (native or DAE) if the requested operation is not allowed.

For the parental rating control, the OITF SHALL compare the program's rating from the parental rating access\_criteria\_descriptor with the current parental rating criterion set in the OITF by the application (either native application or DAE) and SHALL block the consumption of programme (i.e. stop descrambling), if the parental rating system is supported by the OITF and the programme's rating does not meet the parental rating criterion (e.g. rating is at or above a certain threshold, for a rating system that is ordered from lower viewer age to higher viewer age). The OITF SHALL raise an event to the application controlling the playback or other operation, whenever a parental rating is discovered for the A/V content that does not meet the parental rating criterion that is set for the parental system in use, which has lead to blocking of the consumption of the content. The event SHALL provide the programme's rating. In case the application is a native application and if the MPEG-2 TS stream provides a Parental Control URL, as defined in section 4.1.5.4, the native application SHOULD launch the DAE with the Parental Control URL for management of parental control. In case the application is a DAE application, the event is called onParentalRatingChange and is defined in sections 7.13.5 and 7.14.5 of [DAE].

If the OITF does not support the particular parental rating system used in the program, the OITF SHALL raise an event to the application controlling the playback or other operation. The event SHALL provide the programme's rating. In case the application is a DAE application, the event is called onParentalRatingError and is defined in sections 7.13.5 and 7.14.5 of [DAE]. The event MAY be managed via the DAE application (see section 4.5 of [DAE] for more information). In case the application is a native application, the event is managed through an OITF vendor dependent user interface. In both cases, consumption MAY be unblocked by setting a new parental rating threshold. This threshold setting is usually restricted to privileged users, e.g. parents and a successful PIN input by a user may be used to control the parental rating threshold setting. The OITF SHOULD continue monitoring the MPEG-2 TS, taking into account parental rating criteria changes in ECM streams or new settings for the parental rating threshold in the OITF, and SHALL unblock consumption (i.e. re-start descrambling) if the current programme's rating becomes lower than the current parental rating threshold.

When no valid rights are available for the MPEG-2 TS, the OITF SHALL block the consumption of the programme (i.e. stop descrambling) and SHALL raise an event to the application controlling the playback or other operation. In case the application is a DAE application, the event is called onDRMRightsError and is defined in sections 7.13.6 and 7.14.6 of [DAE]. The OITF SHOULD continue monitoring the MPEG-2 TS, taking into account criteria changes in ECM streams or rights changes in OITF and SHALL unblock consumption (i.e. re-starting descrambling) if there are valid rights for the requested operation.

For the avoidance of doubt, the OITF SHALL support the presence of descriptors (for a general description of descriptors, see [MPEG2TS] which are not defined in this specification) but SHALL ignore these descriptors. In particular, to allow DVB-SimulCrypt with other CA systems as defined in [DVB-SC] and gateway-centric approach, the presence of following descriptors SHALL be supported: CA descriptor for other CA systems than Marlin and than CA systems supported in a CSPG, scrambling descriptor [EN300468], and copyright descriptor [MPEG2TS].

#### 4.1.5.2 Recording Control Access Criteria

This section defines an access\_criteria\_descriptor that MAY be present in the IEC62455 ECM as defined in [MRL BBTS].

recording control information access_criteria_descriptor	Tag	Length (in bits)	Type
recording_control_information_byte	0x010	8	bslbf

**Table 1: Recording Control access\_criteria\_descriptor**

Bit #	7	6	5	4	3	2	1	0
Assignment	rsvd	rsvd	rsvd	rsvd	rsvd	rsvd	DNTS	DNR

**Table 2: Bit Assignments of recording\_control\_information\_byte**

The DNR (Do Not Record) bit signals that a BBTS is not allowed to be stored for PVR function. The OITF SHALL NOT store for PVR function the TS packets of a BBTS that are received after receipt of a BBTS packet carrying an IEC62455 ECM that includes a recording control access\_criteria\_descriptor in which the DNR bit is set to 1.

The OITF MAY store for PVR function the TS packets of a BBTS that are received after receipt of a BBTS packet carrying an IEC62455 ECM that does not include a recording control access\_criteria\_descriptor or does include a recording control access criteria in which the DNR bit is set to 0.

The DNTS (Do Not Time Shift) bit signals that a BBTS is not allowed to be stored for time shifting. The OITF SHALL NOT store for time shifting the TS packets of a BBTS that are received after receipt of a BBTS packet carrying an IEC62455 ECM that includes a recording control access\_criteria\_descriptor in which the DNTS bit is set to 1.

The OITF MAY store for time shifting the TS packets of a BBTS that are received after receipt of a BBTS packet carrying an IEC62455 ECM that does not include a recording control access\_criteria\_descriptor or does include a recording control access criteria in which the DNTS bit is set to 0.

The time shifting period SHALL not exceed 90 minutes in case the DNR bit is set to 1 and the DNTS bit is set to 0.

The combination of DNR equals 0 (PVR allowed) and DNTS equals 1 (time shift not allowed) SHOULD NOT be set.

For an overview of the combinations, see Table 3.

DNR	DNTS	Description
0	0	Time shifting allowed for infinite period; PVR allowed
0	1	SHOULD NOT occur
1	0	Time shift limited to 90 minutes; PVR NOT allowed
1	1	Time shift NOT allowed; PVR NOT allowed

**Table 3: DNR and DNTS Combinations**

For this version of the specification, the rsvd (reserved for future use) bits SHALL be set to 0.

### 4.1.5.3 PMT Table

When creating transport streams that are formatted as specified in [MRL BBTS], the Content Delivery SHALL include a BBTS CA\_descriptor [MRL BBTS] in each PMT pointing to a stream protected by Marlin and SHALL include the serviceBaseCID, see [MRL BBTS], into the BBTS CA\_descriptor. The socID [MRL BBTS] used by the Content Delivery SHALL be “marlin” (without the double quotes).

In case DVB-SimulCrypt is used with other CA systems as defined in [DVB-SC] and/or with the gateway-centric approach then the content\_key\_index field in the IEC62455 ECM as defined in [MRL BBTS] SHALL match the scrambling\_mode of the other CA system. If the scrambling algorithm is AES then the next\_initial\_vector field in the IEC62455 ECM SHALL be set to 0 as specified in [ATIS-IDSA].

### 4.1.5.4 CAT Table

When creating transport streams that are formatted as specified in [MRL BBTS], the Content Delivery function MAY include a BBTS CA\_descriptor [MRL BBTS] in the CAT for streams protected by Marlin, in order to provide Marlin Rights URLs. If several Marlin Rights URL sets are provided for different service operators, the Content Delivery SHALL include several BBTS CA\_descriptor and each BBTS CA\_descriptor SHALL include a different serviceBaseCID.

The Rights Issuer URL section, defined in [MRL BBTS] MAY contain a Parental Control URL, as defined in this section. Use of the Parental Control URL is described in section 4.1.5.1.

The coding of the Parental Control URL parameter in the TLV format is the following:

Syntax	Mnemonic	No. of bits
Parental_Control_URL () { Parental_Control_URL_tag = 0x05 Parental_Control_URL_length for (i=0; i<N; i++){ Parental_Control_URL_data_byte } }	uimsbf uimsbf bslbf	8 8 8

**Table 4: Parental\_Control\_URL Parameter Syntax**

**Parental\_Control\_URL\_tag** This specification has defined the value of 0x05 for the Parental Control URL parameter.

**Parental\_Control\_URL\_length** Specifies the length of the Parental\_Control\_URL\_data\_byte in bytes (N).

**Parental\_Control\_URL\_data\_byte** The Parental Control URL for this content.

NOTE: The syntax of Table 4 and similar tables in subsequent sections follows conventions outlined in [MPEG2TS] (e.g. mnemonics, use of C-language like loop descriptors).

Before accessing the Rights Issuer URL specified in [MRL BBTS], the OITF, or the DAE application that receives an “onDRMRightsError” event as defined in sections 7.13.6 and 7.14.6 of [DAE], SHALL obtain user consent to access the web page. When a service receives an HTTP request to the Rights Issuer URL, the service SHOULD respond with an HTML page and not with a Marlin Action Token or with a Marlin License. This HTML SHALL comply with CE-HTML. After user interaction via the HTML pages, the service MAY return a Marlin Action Token or Marlin License.

Before accessing the Parental Control URL specified in this section, OITF SHALL obtain user consent to access the web page. When receiving an HTTP request to the Parental Control URL, the service SHOULD respond with an HTML page. This HTML SHALL comply with CE-HTML.

### 4.1.5.5 System Renewability Messages

In the scope of this specification, DTCP and HDCP System Renewability Messages (SRM) can be transported in a Marlin protected MPEG-2 TS. The signalling and transport of SRM in Marlin protected MPEG-2 TS SHALL comply with [DVB-SRM] specification.

If an OITF supports HDCP output and receives Marlin protected MPEG-2-TS format, the OITF SHALL detect the presence of HDCP System Renewability Messages and install them, as defined in [HDCP].

If an OITF supports DTCP output and receives Marlin protected MPEG2-TS format, the OITF SHALL detect the presence of DTCP System Renewability Messages and install them, as defined in [DTCP].

## 4.1.6 Operation of Marlin Technologies

This section specifies the operation of Marlin technologies to support certain type of use cases.

### 4.1.6.1 Status of Marlin License Support

A usage rule which uses status information (such as count) is supported in the Marlin License (e.g. burn usage rule by allowing Export action to a certain target system). When the Marlin License requires status management in the client, the corresponding Marlin License SHOULD also have a 'not after' condition specified in the absolute validity period. The value specified by 'not after' SHOULD be no later than 1 month from the issuance of the Marlin License. For example, when the Marlin License issued on 24 November 2008 00:00 allows 3 times burn to Blu-ray, this Marlin License should only be valid until 24 December 2008 00:00.

### 4.1.6.2 Subscription Support

A CSP function that implements this specification MUST support BNS Extended Topology for Subscription Nodes as defined in [MRL BNSP]. It means that CSP MUST support following Marlin Protocols for Subscription Node which are originally defined as OPTIONAL functions in [MRL BNSP]:

- Marlin License Acquisition to bind Marlin License to Subscription Node
- Marlin Deregistration from a domain represented by Subscription Node where a corresponding Subscription Link SHALL have the following properties:
  - LinkFrom: Personality Node or User Node
  - LinkTo: Subscription Node

The CSP MUST signal this support of the BNS Extended Topology by using the mechanism defined in [MRL BNSP] section 6.2.

## 4.1.7 DRM Data

### 4.1.7.1 DRMSystemID

DRMSystemID, used to signal the type of DRM, is defined in [META]. DRMSystemID is used in metadata structures, defined in [META], in APIs defined in [DAE] and in protocols defined in [PROT]. For Marlin, since the DVB CA\_System\_ID is assigned as 0x4AF4, the value for the DRMSystemID SHALL be set to the following value: "urn:dvb:casystemid:19188".

### 4.1.7.2 Metadata – DRM Control Information

A DRM Control Information structure to hold DRM dependant control parameters is defined in [META] as an extended element included in Content Access Descriptor, defined in [DAE] and extension of PurchaseItem element of BCG and SD&S metadata, defined in [META].

For Marlin protected content, the element of DRMControlInformation SHALL be mapped as specified in the following table:



Element / Attribute Name	Element / Attribute Mapping for Marlin
DRMControlInformation	
DRMSystemID	SHALL be set to the value defined for the Marlin System ID, in section 4.1.7.1.
DRMContentID	SHALL be set Marlin Content ID. In case of scheduled content over IP, the content ID is derived from the socID; together with serviceBaseCID as defined in [MRL BBTS].
RightsIssuerURL	SHOULD be set to the RightsIssuerURL present in Marlin protected content formats, defined in section 4.1.4 and 4.1.5.
SilentRightsURL	SHOULD be set to the SilentRightsURL present in Marlin protected content formats, defined in section 4.1.4 and 4.1.5. When accessing to this SilentRightsURL, Marlin Action Token or MIPPVControlMessage MAY be returned.
PreviewRightsURL	SHOULD be set to the PreviewRightsURL present in Marlin protected content formats, defined in section 4.1.4 and 4.1.5.
DoNotRecord	SHOULD be set to the same value as the DNR (Do Not Record) bit in recording control access criteria defined in section 4.1.5.2.
DoNotTimeShift	SHOULD be set to the same value as the DNTS (Do Not Time Shift) bit in recording control access criteria defined in section 4.1.5.2.
DRMGenericData	Placeholder element for which currently no mapping is defined.
DRMPrivateData	DRMPrivateData SHALL be an instance of a MarlinPrivateDataType structure, see B.1.
contentType	SHALL be set to the mime type of the DRMPrivateData. For Marlin, it SHALL therefore be set to MIME type of a Marlin License, see [MRL BNSP] or to the MIME type of a Marlin Token, see [MRL BNSP].

**Table 5: DRMControlInformation Mapping for Marlin**

Both MarlinPrivateDataType and HexBinaryPrivateDataType extend DRMPrivateDataType, defined in [META]; and so the element DRMPrivateData can be substituted by either MarlinPrivateData or HexBinaryPrivateData as defined below:

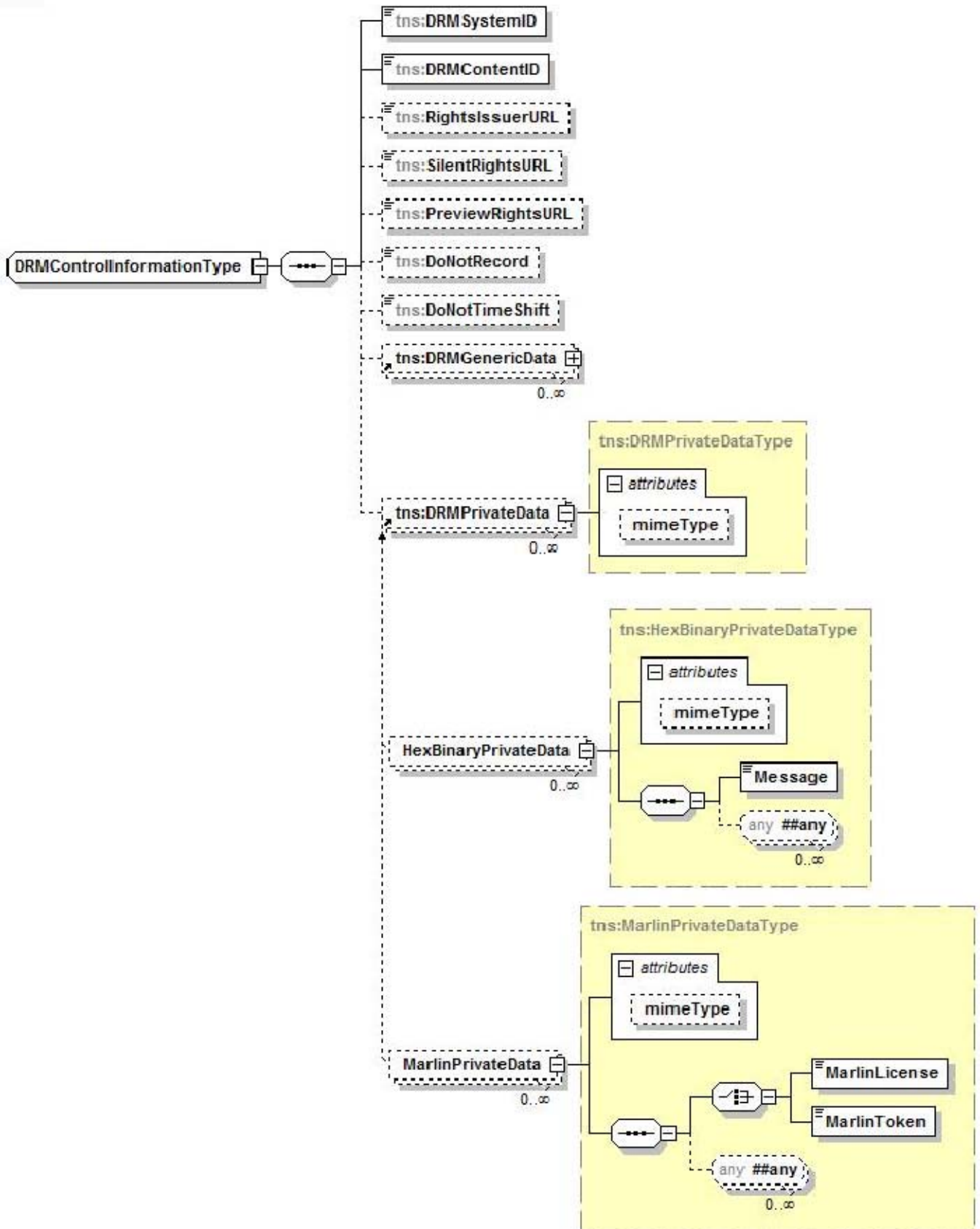


Figure 12: Outline of DRMControllInformationType with MarlinPrivateData

The XML schema for the MarlinPrivateDataType is defined in section B.1.

Element / Attribute Name	Element / Attribute Description
MarlinPrivateData	
MarlinLicense	A Base64 encoded XML Document containing an instance of a Marlin License, typically used for channel preview.
MarlinToken	A Base64 encoded XML Document containing an instance of a Marlin Token, to be used for triggering Marlin Protocol.

**Table 6: MarlinPrivateData Structure**

### 4.1.7.3 DAE Marlin Messages

The CSP SHALL support receiving the following messages via the sendDRMMMessage API defined in [DAE] section 7.6.1.

- Marlin Action Token, format and mime type defined in [MRL BNSP].
- MIPPVControlMessage, format and mime type defined in section 4.1.7.3.1
- Marlin License, format and mime type defined in [MRL BNSP].

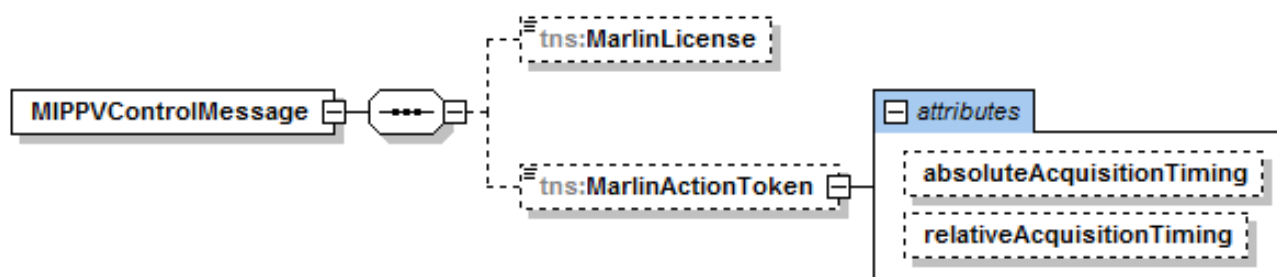
For these messages, the DRMSystemID SHALL be set to the value defined for the Marlin System ID in section 4.1.7.1.

#### 4.1.7.3.1 MIPPVControlMessage Format

This section describes the usage of MIPPVControlMessage, which is used for pay per view case, and defines the message structure of MIPPVControlMessage. MIPPVControlMessage is used in a pay per view use case where a large number of users try to acquire Marlin License just before a pay per view program begins. To avoid such simultaneous accesses to CSP-T (DRM Server), a service can apply MIPPVControlMessage which includes common Marlin License (i.e. common for OITFs), Marlin Action Token, and Marlin License acquisition timing information. These three data items are used as follows in a typical pay per view case:

1. When an OITF Function (e.g. DAE application) receives a MIPPVControlMessage, the OITF Function (e.g. DAE application) passes the MIPPVControlMessage to CSP. CSP uses common Marlin License embedded in MIPPVControlMessage to play a pay per view program until it gets the Marlin License that is valid only for that OITF. Since a common Marlin License is valid for any OITF, the common Marlin License expires during the pay per view program.
2. By following the timing information in MIPPVControlMessage, the client executes the Marlin Action Token in MIPPVControlMessage, and then it acquires the Marlin License for the OITF.
3. After acquisition of the Marlin License for the OITF, the OITF can play the pay per view program even after the expiration of the common Marlin License.

The MIPPVControlMessage includes Marlin License, which is common among clients, Marlin Action Token, which is used to acquire the unique Marlin License, and timing information, which indicates the timing to initiate Marlin License Acquisition protocols.



**Figure 13: Outline of MIPPVControlMessage**

The XML schema for the MIPPVControlMessage is defined in section B.2.

Each element has the following semantics:

Element / Attribute Name	Element / Attribute Description
MIPPVControlMessage	
MarlinLicense	A Base64 encoded XML Document containing an instance of a Marlin License.
MarlinActionToken	A Base64 encoded XML Document containing an instance of a Marlin Action Token.
absoluteAcquisitionTiming	License acquisition timing in absolute time
relativeAcquisitionTiming	License acquisition timing in relative time from the start of the content

**Table 7: MIPPVControlMessage Format**

MIME type of MIPPVControlMessage is defined as follows:

application/vnd.oipf.mippvcontrolmessage+xml

## 4.2 Gateway-Centric Approach

This section specifies the functionality for the OIPF Gateway-Centric Approach to Content and Service Protection. It elaborates on the CSPG functional entity and UNIS-CSP-G, HNI-CSP, HNI-AGC reference points introduced in the Functional Architecture described in [ARCH].

### 4.2.1 Capabilities

DAE SHALL signal which CA\_System\_ID values [MPEG2TS] and optionally the type of CSP Gateway are supported in the OITF including those available via Gateway-Centric Approach as defined in section 9 of [DAE] document.

The list of supported CA\_System\_ID values and optionally the type of CSP Gateway SHALL also be retrieved by the Service Platform provider using one of the following methods:

- The OITF remote management interface [PROT]
- As part of the Service Provider Discovery SUBSCRIBE message [PROT]

### 4.2.2 CSPG-DAE Interface

When a DAE application uses the DRM Agent API and event, sendDRMMessage and onDRMMessageResult, defined in [DAE] section 7.6.1, to handle a DRM Message (see section 7.6.1 in [DAE]) for a given CA\_System\_ID that is supported by a CSPG, the OITF SHALL forward these messages to the appropriate function, CSP or CSPG.

When protected content is used (played, time-shifted, recorded) from a DAE application, the OITF SHALL forward events (no rights or parental control locking) from the CSPG to the DAE application via the A/V or video/broadcast

object. The DRM events onDRMRightsError, onParentalRatingChange and onParentalRatingError are defined in [DAE] sections 7.13.5, 7.13.6, 7.14.5 and 7.14.6. The DRM events (No rights or Parental Control) SHALL include the CA\_System\_ID information.

### 4.2.3 CI+ based Gateway

All normative statements in this section and its sub-sections apply only in case the CI+ based Gateway-Centric Approach is supported.

NOTE: The criteria that determine under which circumstances the CI+ based Gateway-Centric Approach is implemented are out of the scope of the present document.

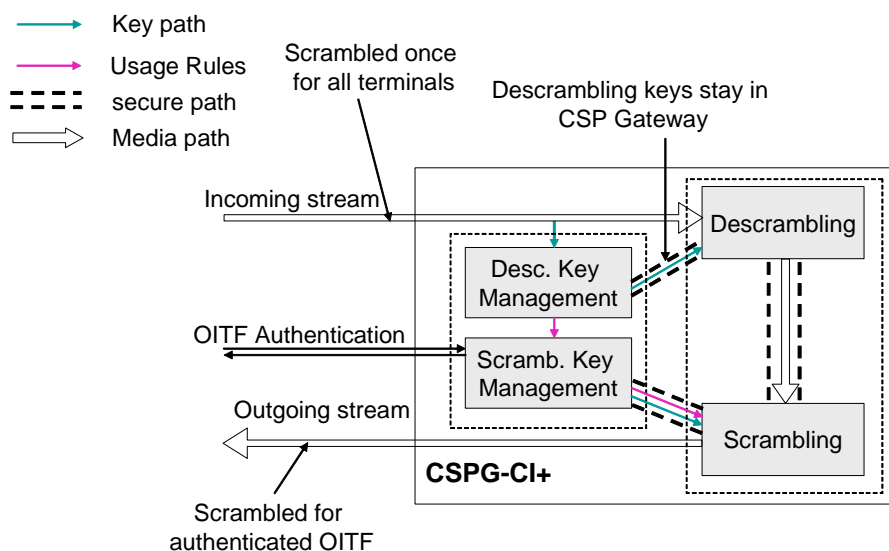
#### 4.2.3.1 Overview

The CSPG-CI+ is an optional entity handling security for the OITF. It SHALL make any specific content protection solution transparent to the OITF. This is achieved by the use of a standard secure channel between the OITF and the CSPG-CI+. The CSPG-CI+ acts as a bridge between a specific protection solution and one standard secure channel. Once the OITF and the CSPG-CI+ are mutually authenticated, the OITF is seamlessly able to receive any content that was initially secured by the different content protection solutions that the CSPG-CI+ handles. The incoming stream to the CSPG-CI+ is associated with the generic media format label "PF", as defined in Volume 2 [MEDIA].

The protected content stream is sent from the OITF to the CSPG-CI+ and then sent back to the OITF protected in such a way that only authenticated OITF can gain access to it. Incoming and outgoing streams format are based on MPEG-2 Transport Stream. Protected file formats based on MP4 file format (i.e. OMA (P)DCF and Marlin IPMP) are not supported.

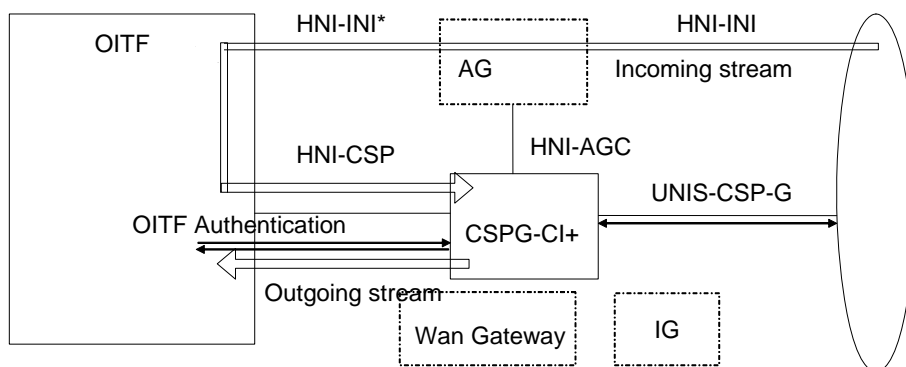
The definition of the interfaces is based on the DVB CI specification ([DVB-CI]) and the CI+ specification ([CI+]).

Figure 14 presents an overview of the functions and interfaces of the CSPG-CI+.



**Figure 14: CSPG-CI+ Overview**

In order to provide seamless behaviour to the end user (e.g. for service selection operation), the incoming stream in Figure 14 must be delivered through the UNIT-17 reference point as for the Terminal-Centric Approach. Figure 15 describes CSPG-CI+ in the home network context and maps interfaces from Figure 14 to Home Network interfaces defined in [ARCH].



**Figure 15: CSPG-CI+ Context**

The OITF and CSPG-CI+ SHALL comply with CI+ specifications ([CI+]).

### 4.2.3.2 CSPG-CI+ Connectivity

The physical interface is based on a PCMCIA slot as specified by the DVB-CI specification ([DVB-CI]) and the CI+ specification ([CI+]).

### 4.2.3.3 CSPG-CI+ Discovery

The CSPG-CI+ discovery SHALL be performed at OITF start-up and CSPG-CI+ initialization. The setup of the session to the [CI+] Specific Application Support (SAS) resource and the connection to the Open IPTV Forum private application are described in section 4.2.3.4.1.1. A successful connection means that a CSPG-CI+ has been discovered.

### 4.2.3.4 Residential Network Interfaces

#### 4.2.3.4.1 HNI-CSP

HNI-CSP is an interface to exchange control information and media between the CSPG-CI+ and the OITF.

##### 4.2.3.4.1.1 Control Channel

OITF controls the CSPG-CI+ using resources defined in [DVB-CI] as well as resources as defined in section 11 of [CI+].

OITF and CSPG-CI+ SHALL use the SAS resource, defined in [CI+] section 11.4, to handle messages as specified in this section.

The CSPG-CI+ SHALL create one session to the SAS resource as soon as it has completed its Application Information phase of initialization. The OITF SHALL send a *SAS\_connect\_rqst()* APDU [CI+] to the CSPG-CI+ with the specific Open IPTV Forum private\_host\_application\_ID defined in Table 8. The CSPG-CI+ SHALL acknowledge the connection by sending back a *SAS\_connect\_cnf()* APDU [CI+].

private_host_application_ID	Value (64bits)
OIPF_APPLICATION_ID	0x0108113101190000

**Table 8: Open IPTV Forum private\_host\_application\_ID**

Then any further exchanges between the OITF and the CSPG-CI+ are completed through the use of the *SAS\_async\_msg()* APDU. Syntax of this APDU is reminded in Table 9.

Syntax	No. of Bits	Mnemonic
<i>SAS_async_msg()</i> {		
<i>SAS_async_msg_tag</i>	24	uimsbf
<i>length_field()</i>		
<i>message_nb</i>	8	uimsbf
<i>message_length</i>	16	uimsbf
for ( <i>i</i> =0; <i>i</i> < <i>message_length</i> ; <i>i</i> ++) {		
<i>message_byte</i>	8	uimsbf
}		
}		

**Table 9: SAS\_async\_msg() APDU syntax**

## 4.2.3.4.1.1.1 Specific messages

The OITF and CSPG-CI+ SHALL support the messages listed in Table 11. For each of the messages the message\_byte payload takes the generic syntax given in Table 10. The message data may be broken into a number of records containing the same or different types of data identified by the datatype\_id.

Syntax	No. of Bits	Mnemonic
message_byte() {		
command_id	8	uimsbf
ca_system_id	16	uimsbf
transaction_id	32	uimsbf
send_datatype_nbr	8	uimsbf
for (i=0; i<send_datatype_nbr; i++) {		
datatype_id	8	uimsbf
datatype_length	16	uimsbf
data_type()	8 * datatype_length	bslbf
}		
}		

**Table 10: Generic message\_byte() syntax**

<b>command_id</b>	8-bit value that identifies the message. The values are defined in Table 11.
<b>ca_system_id</b>	16-bit integer that identifies the CA system being queried.
<b>transaction_id</b>	A 32-bit value, generated by the OITF, provided in a message to the CSPG-CI+ that will be returned in any corresponding reply message from the CSPG-CI+. The transaction_id allows the OITF to match the CSPG-CI+'s replies with the corresponding requests. The OITF SHOULD increment the value, modulo $2^{32}$ , with every message it sends. The transaction_id should be ignored in messages sent spontaneously (events) by the CSPG-CI+ (i.e. rights_info, parental_control_info, system_info).
<b>send_datatype_nbr</b>	8-bit integer that gives the number of data type items included in the message.
<b>datatype_id</b>	8-bit integer that identifies the type of the data contained in the data type loop. The values are defined in Table 12.
<b>datatype_length</b>	16-bit integer that gives the length of the data_type() field in bytes.
<b>data_type</b>	Data type payload. The data type loop shall only contain the specified data type, but may contain multiple records of the same type, the number of records may be determined by computation of the datatype_length field.

Message	command_id value (hexadecimal)	Direction	
		OITF	CSPG-CI+
send_msg	0x01		→
reply_msg	0x02		←
parental_control_info	0x03		←
rights_info	0x04		←
system_info	0x05		←
(reserved)	0x06-0x7F		
(user defined)	0x80-0xFF		

**Table 11: OIPF specific messages and command\_id values**

Data type	datatype_id value (hexadecimal)
oipf_ca_vendor_specific_information	0x01
oipf_country_code	0x02
oipf_parental_control_url	0x03
oipf_rating_type	0x04
oipf_rating_value	0x05
oipf_rights_issuer_url	0x06
oipf_access_status	0x07
oipf_status	0x08
(reserved)	0x09-0x7F
(user defined)	0x80-0xFF

**Table 12: OIPF specific datatype\_id values**

#### 4.2.3.4.1.1.2 Mapping of messages to DAE API or Events

The OITF SHALL map the specific messages listed in Table 11 to DAE API or Events as described in Table 13:

Message	DAE API or Event
send_msg	sendDRMMessage
reply_msg	onDRMMessageResult
parental_control_info	onParentalRatingChange, onParentalRatingError
rights_info	onDRMRightsError
system_info	onDRMSystemMessage

**Table 13: Mapping to DAE API or Events**

The DRMSystemID attribute in DAE API or Events are mapped to the ca\_system\_id field in the SAS\_async\_msg APDU. The ca\_system\_id field is filled by extracting the numeric value from the DRMSystemID string, such that "urn:dvb:casystemid:" is removed and the remaining number is converted from a string to a 16 bit integer. The DRMSystemId is build by prefixing the 16 bit integer converted to a decimal number string with "urn:dvb:casystemid:" as described in [META].

Private data are array of bytes encoded for DAE API or Events attributes in a string using a hexadecimal representation, as defined for xs:hexBinary type used in XML schemas. In CI+ SAS\_async\_msg fields, the private data is encoded in bytes.

Precise mapping of DAE API or Events and attributes are described in the following sections.

#### 4.2.3.4.1.1.3 send\_msg

A native application or DAE application SHOULD use the *send\_msg* message to provide DRM specific messages to the CSPG-CI+.

When requested by either a native or DAE application, the OITF SHALL send the *send\_msg* message to the CSPG-CI+ to exchange DRM messages. Examples of usage are:

- Service Provider handles the purchase of content at the server side and then uses the *send\_msg* message via a DAE application to ask the CSPG-CI+ to retrieve the associated license.
- Service provider sends the *send\_msg* message via a DAE application to the CSPG-CI+ to force the CSPG-CI+ to purchase a specific program.

The data types for the *send\_msg* message are listed in the following table.

Syntax	Occurrence number
oipf_ca_vendor_specific_information	1

**Table 14: send\_msg message data types**



**oipf\_ca\_vendor\_specific\_information** Vendor specific information. The maximum length is 65000 bytes.

When a DAE application calls the sendDRMMMessage API with msgType set to the MIME type "application/vnd.oipf.cspg-hexbinary" and a DRMSYSTEMID set to a ca system id supported by the CSPG-CI+, the OITF SHALL send a *send\_msg* message to the CSPG-CI+.

The prototype of the sendDRMMMessage API defined in [DAE] is recalled here:

*String sendDRMMMessage(String msgType, String msg, String DRMSYSTEMID)*

The OITF SHALL map the attributes of the called DAE API as follows:

- the DRMSYSTEMID attribute is mapped to the ca\_system\_id field as described in section 4.2.3.4.1.1.2.
- the private data in msg attribute encoded in a string using a hexadecimal representation, as defined for xs:hexBinary type used in XML schemas is decoded to bytes before passing it to *send\_msg* message in the oipf\_ca\_vendor\_specific\_information field as described in section 4.2.3.4.1.1.2.

#### 4.2.3.4.1.1.4 reply\_msg

The CSPG-CI+ SHALL send the *reply\_msg* message to the OITF to provide the status of the *send\_msg* message.

The data types for the *reply\_msg* message are listed in the following table.

Syntax	Occurrence number
oipf_status	1
oipf_ca_vendor_specific_information	0..1

**Table 15: reply\_msg message data types**

#### **oipf\_status**

If equal to 0, the *send\_msg* message has been successfully handled by the CSPG-CI+ and a oipf\_ca\_vendor\_specific\_information may be available.  
If equal to 1, the *send\_msg* message failed because an unspecified error occurred.

If equal to 2, the *send\_msg* message failed because the CSPG-CI+ was unable to complete the necessary computations in the time allotted.

If equal to 3, the *send\_msg* message failed because oipf\_ca\_vendor\_specific\_information has a wrong format.

If equal to 4, the *send\_msg* message failed because user consent is needed for that action.

If equal to 5, the *send\_msg* message failed because the specified CA system in ca\_system\_id is unknown.

Unspecified status values SHOULD be considered as, message failed because an unspecified error occurs.

**oipf\_ca\_vendor\_specific\_information** Vendor specific information. The maximum length is 65000 bytes.

NOTE: A service provider should not provide a DRM Message in metadata (BCG, SD&S, CAD) and expect a response in oipf\_ca\_vendor\_specific\_information of *reply\_msg* message, if these metadata are handled by a native application. The native application sending the DRM message to the CSPG-CI+ will not know how to handle a response.

When receiving a *reply\_msg* message with a transaction\_id mapping to a send\_msg message issued from a DAE application call to sendDRMMMessage, the OITF SHALL issue an onDRMMMessageResult event to the DAE application

The prototype of the onDRMMMessageResult event defined in [DAE] is recalled here:

*function onDRMMMessageResult( String msgID, String resultMsg, Integer resultCode )*

The OITF SHALL set the attributes of the issued DAE event as follows:

- the msgID attribute set to the value returned to the called sendDRMMMessage.

- the resultCode attribute is mapped to oipf\_status field as follows:

oipf_status field	Description	resultCode attribute	Description
0	Successful	0	Successful
1	Unspecified error	1	Unknown error
2	Out of time	2	Cannot process request
3	Wrong format	6	Wrong format
4	User Consent Needed	4	User Consent Needed
5	Unknown DRM system	5	Unknown DRM system

- the resultMsg attribute set to the private data in oipf\_ca\_vendor\_specific\_information encoded in a string as described in section 4.2.3.4.1.1.2.

#### 4.2.3.4.1.1.5 parental\_control\_info

The CSPG-CI+ SHALL send a *parental\_control\_info* message to advise the OITF whenever the selected program's rating changes. If the new rating does not meet the parental rating criterion (e.g. rating is at or above a certain threshold, for a rating system that is ordered from lower viewer age to higher viewer age), the program is not descrambled anymore. If the new rating meets the parental rating criterion (e.g. rating is under a certain threshold, for a rating system that is ordered from lower viewer age to higher viewer age), the program is descrambled again.

The data types for the *parental\_control\_info* message are listed in the following table.

Syntax	Occurrence number
oipf_access_status	1
oipf_rating_type	1
oipf_rating_value	1
oipf_country_code	0..n
oipf_parental_control_url	0..1

**Table 16: parental\_control\_info message data types**

<b>oipf_access_status</b>	If equal to 0, the program is no longer being descrambled, access conditions to the program are no longer being met. A oipf_parental_control_url may be provided. If equal to 1, the program is descrambled again.
<b>oipf_rating_type</b>	Rating_type as defined in the parental_rating access_criteria_descriptor in [IEC62455].
<b>oipf_rating_value</b>	1-byte rating_value as defined in the parental_rating access_criteria_descriptor in [IEC62455].
<b>oipf_country_code</b>	2-byte optional country_codes as defined in the parental_rating access_criteria_descriptor in [IEC62455].
<b>oipf_parental_control_url</b>	Optional url for connecting to the service provider, for unlocking the parental control.

The OITF SHALL support at least the parental rating system identified by the oipf\_rating\_type 0, which maps to the parental rating system in DVB Systems [EN300468].

If an oipf\_parental\_control\_url is provided and the event is raised to a native application, the native application SHOULD launch the DAE with the oipf\_parental\_control\_url that might allow to unlock parental control in the CSPG-CI+.

When the *parental\_control\_info* message is received and a DAE application is launched, the OITF SHALL issue the relevant event to the DAE application:

- onParentalRatingChange event, if the parental rating system specified by the *oipf\_rating\_type* is supported by the OITF.
- onParentalRatingError event, if the parental rating system specified by the *oipf\_rating\_type* is not supported by the OITF.

The prototype of the onParentalRatingChange and onParentalRatingError events defined in [DAE] are recalled here:

```
function onParentalRatingChange( String contentID, ParentalRating rating, String DRMSystemID,
Boolean blocked )
```

```
function onParentalRatingError( String contentID, ParentalRating rating, String DRMSystemID)
```

The OITF SHALL set the attributes of the issued event as follows:

- the contentId attribute is set to null or undefined.
- the rating attribute (ParentalRating object) is initialized as follows:
  - o If the *oipf\_rating\_type* is supported by the OITF, the *oipf\_rating\_type* field is mapped into the scheme property of the ParentalRating object. If the *oipf\_rating\_type* is not supported by the OITF, the scheme is set to null or undefined.
  - o The *oipf\_rating\_value* field is mapped into the value property of the ParentalRating object. If the *oipf\_rating\_type* is supported by the OITF, the name property of the ParentalRating object is filled with the string representation of the parental rating value. If the *oipf\_rating\_type* is not supported by the OITF, the name property is set to null or undefined.
  - o The *oipf\_country\_code* field is mapped into the region property of the ParentalRating object
- the DRMSystemID attribute is mapped to the *ca\_system\_id* field as defined in section 4.2.3.4.1.1.2.
- The blocked attribute is mapped to *oipf\_access\_status* as follows

<i>oipf_access_status</i> field	Description	Blocked attribute	Description
0	program not descrambled	True	Content blocked
1	Program descrambled	False	Content not blocked

A DAE application SHOULD use a proprietary method using *sendDRMMMessage* to unlock parental control.

If the program is no longer being descrambled (*oipf\_access\_status*=0), the OITF SHALL blank the video decoder output. The native or DAE application SHOULD not stop playing the program, as the program may become descrambled again later (access criteria change, parental unlocking etc).

If the program being played is descrambled again (*oipf\_access\_status*=1), the OITF SHALL display the video again.

#### 4.2.3.4.1.1.6 rights\_info

The CSPG-CI+ SHALL send a *rights\_info* message to advise the OITF that access conditions or rights changed and that the CSPG-CI+ is no longer able or is able again to descramble all requested elementary streams. Once this message is received and if a DAE application is launched, the OITF SHALL send the relevant event onDRMRightsError, as defined in [DAE] sections 7.13.6 and 7.14.7, to the DAE application.

If the program is descrambled again, the OITF SHOULD display the program again. If the program is no longer being descrambled, the OITF MAY decide to stop the program and SHOULD use the `oipf_rights_issuer_url`, which may provide for the CSPG-CI+ information to let it retrieve missing rights.

The data types for the *rights\_info* message are listed in the following table.

Syntax	Occurrence number
<code>oipf_access_status</code>	1
<code>oipf_rights_issuer_url</code>	0..1

**Table 17: *rights\_info* message data types**

- oipf\_access\_status** If equal to 0, the program is no longer being descrambled, access conditions to the program are no longer being met. A `oipf_rights_issuer_url` may be provided. If equal to 1, the program is descrambled again.
- oipf\_rights\_issuer\_url** Optional url for connecting to the service provider.

The prototype of the `onDRMRightsError` event defined in [DAE] is recalled here:

```
function onDRMRightsError( Integer errorState, String contentID, String DRMSystemID, String rightsIssuerURL )
```

When the *right\_info* message is received and a DAE application is launched, the OITF SHALL issue the `onDRMRightsError` event to the DAE application.

The OITF SHALL set the attributes of the issued event as follows:

- The `errorState` attribute is mapped to `oipf_access_status` field as follows:

<code>oipf_access_status</code> field	Description	<code>errorState</code> attribute	Description
0	program not descrambled	0	No license
1	Program descrambled	2	Valid license

- The `contentId` attribute is set to null or undefined.
- The `DRMSystemID` attribute is mapped to the `ca_system_id` field as defined in section 4.2.3.4.1.1.2.
- The `rightsIssuerURL` is mapped to `oipf_rights_issuer_url` if this field is present. If the `oipf_rights_issuer_url` is not present, `rightsIssuerURL` is set to null or undefined.

If the program is no longer being descrambled (`oipf_access_status=0`), the OITF SHALL blank the video decoder output. The native or DAE application SHOULD not stop playing the program, as the program may become descrambled again later (access criteria change, rights update etc).

If the program being played is descrambled again (`oipf_access_status=1`), the OITF SHALL display the video again.

#### 4.2.3.4.1.1.7 `system_info`

The CSPG-CI+ SHALL send a *system\_info* message to advise the OITF of any DRM related event, e.g. the removal of a smartcard. Once this message is received and if a DAE application is launched, the OIPF SHALL send the relevant event `onDRMSystemMessage`, as defined in [DAE] section 7.6.1, to the DAE application.

The data types for the *system\_info* message are listed in the following table.

Syntax	Occurrence number
oipf_ca_vendor_specific_information	1

**Table 18: *system\_info* message data types**

**oipf\_ca\_vendor\_specific\_information** Vendor specific information. The maximum length is 65000 bytes.

When the *system\_info* message is received and if a DAE application is launched, the OITF SHALL issue the onDRMSystemMessage event to the DAE application.

The prototype of the onDRMSystemMessage event defined in [DAE] is recalled here:

*function onDRMSystemMessage( String msg, String DRMSystemID )*

The OITF SHALL set the attributes of the issued event as follows:

- The DRMSystemID attribute is mapped to the ca\_system\_id field as defined in section 4.2.3.4.1.1.2.
- The msg attribute set to the private data in oipf\_ca\_vendor\_specific\_information encoded in a string as described in section 4.2.3.4.1.1.2.

#### 4.2.3.4.1.2 Media Channel

Media are exchanged as defined in the [CI+] specification.

For streamed content, in either Scheduled Content case or Content on Demand case, the transmission of the protected content from the OITF to the CSPG-CI+ is performed by using MPEG-2 Transport Stream.

For downloaded content, the OITF SHALL stream the content to the CSPG-CI+ at consumption time.

#### 4.2.3.4.2 UNIS-CSP-G

This reference point is used to exchange with the network. Since the CSPG-CI+ does not have network connectivity, it uses the OITF to reach the network.

##### 4.2.3.4.2.1 Low-Speed Communication Resource

The OITF SHALL support the Low-Speed Communications resource with IP extension as specified in [CI+] section 14.2.

##### 4.2.3.4.3 HNI-AGC

In case there is an Application Gateway, control flow is handled through the OITF, via HNI-INI\* and HNI-CSP control channel. The HNI-AGC reference point introduced in [ARCH] is not used.

#### 4.2.3.5 Provider Network Interfaces

The scrambler on network side SHALL have an interface with the CSP-G Server functional entity so that ECMs can be provided during content encryption. This interface is not described in the present specification.

#### 4.2.3.6 Protected Streaming and File Formats

The CSPG-CI+ supports the MPEG-2 Transport Stream format. The generically protected incoming transport stream to the CSPG-CI+ is associated with the media format label “PF”, as defined in Volume 2 [MEDIA].

The CSPG-CI+ does not support time stamped MPEG-2 Transport Stream format.

However, in the case content is received by the OITF under a time stamped MPEG-2 Transport Stream format and if the OITF falls under the criteria in [MEDIA] for mandatory support of the unprotected time stamped MPEG-2 TS format,

- the OITF MAY first use the timestamps provided through the 4 additional bytes of each time stamped MPEG-2 TS (as defined in [MEDIA]) packet to eliminate network jitter and restore the original packet arrival times before sending the content to the CSPG-CI+,
- and the OITF SHALL remove the 4 additional bytes from each time stamped MPEG-2 TS (as defined in [MEDIA]) packet before sending the content to the CSPG-CI+.

If the OITF does not fall under the criteria in [MEDIA] for mandatory support of unprotected time stamped MPEG-2 TS format, the support of the above two operations is OPTIONAL.

#### 4.2.3.6.1 Protection of MPEG-2 Transport Streams

MPEG-2 Transport Stream can be streamed or downloaded. Based on the CA\_descriptor found in the PMT table, the OITF knows if it can handle the stream or if it has to send it to the CSPG-CI+.

If the CA\_descriptor found in the PMT is a Marlin CA\_descriptor (with CA\_system\_ID value assigned for Marlin) and the Terminal-Centric Approach is supported by the OITF, then the OITF SHALL manage the content with CSP function described in section 4.1.

If the CA\_descriptor found in the PMT is a Marlin CA\_descriptor and the Terminal-Centric Approach is not supported by the OITF, then the OITF SHALL ignore it unless Marlin is supported by a CSPG-CI+ in which case the OITF SHALL provide the protected content to the relevant CSPG-CI+.

If the CA\_descriptor found in the PMT is not a Marlin CA\_descriptor, then the OITF SHALL compare the CA\_system\_ID value with the CA\_system\_ID supported by the CSPG-CI+. A CSPG-CI+ might support more than one CA\_system\_ID. If a CA\_system\_ID value matches then the OITF SHALL provide the protected content to the CSPG-CI+. In case several CSPG-CI+ gateways are connected to the OITF, the OITF SHALL provide the protected content to only one CSPG-CI+.

If there are several CA\_descriptors in the PMT, i.e. referring to different content protection systems (Marlin and/or those offered by the CSPG-CI+ gateways), and if the user is already granted with a valid right or license through one of these content protection systems, the OITF SHALL select the corresponding content protection system as a priority.

NOTE: If simulcrypting with the Terminal-Centric solution is desired, the algorithm used for content encryption in the Gateway-Centric Approach has to be the same as for the Terminal-Centric Approach.

The scrambling algorithm SHALL be signalled in the PMT at program loop level by the scrambling\_descriptor specified in [EN300468]. Within the scrambling\_descriptor, the algorithm is specified by the scrambling\_mode field. The following scrambling\_modes are referenced by the Open IPTV Forum:

scrambling_mode	Description
0x01	DVB-CSA1
0x02	DVB-CSA2
0x80	AES 128-bit key using the Cipher Block Chaining (CBC) encryption mode with the IV setting and residual termination block process as specified in [ATIS-IDSA].

**Table 19: Scrambling Modes**

#### 4.2.3.6.2 Downloaded Content Usage

Downloaded content SHALL be stored locally as it is received by the OITF not going through the CSPG-CI+.

Downloaded content SHALL be provided to the CSPG-CI+ at consumption time only. Consequently, any conversion from e.g. time stamped MPEG-2 TS as defined in [MEDIA] to TS is performed at consumption time as well.

#### 4.2.3.7 Personal Video Recorder

PVR functionality is supported by using URI (Usage Rule Information) as defined in [CI+] section 5.7.

When the OITF is asked to store content, it SHALL send the content to CSPG-CI+. The content is returned from CSPG-CI+ and recorded in accordance with the URI associated with the content.

#### 4.2.3.8 Time Shifting

Time Shifting functionality is supported by using URI (Usage Rule Information) as defined in [CI+] section 5.7.

When the OITF is asked to time shift content, it SHALL store the content returned from CSPG-CI+ before rendering in accordance with the URI associated to the content.

## 4.2.3.9 CI+ Specification Usage

### 4.2.3.9.1 Module Deployment

As the network offered in the Open IPTV Forum context is a bi-directional communication channel, the optional Registered Service Mode (RSM) in the CI+ specification [CI+] is RECOMMENDED to be supported by CSPG-CI+.

### 4.2.3.9.2 Host Service Shunning

As no DVB-CI backward compatibility is needed, the OITF SHALL make the CSPG-CI+ operate in a CI+ mode [CI+] only (thus preventing CSPG-CI+ gateways from operating with the unencrypted DVB-CI link). CI+ Protected Service Signalling defined in section 10.1 of [CI+] is not used.

## 4.2.3.10 DRM Data

### 4.2.3.10.1 DRMSystemID

DRMSystemID, used to signal the type of DRM, is defined in [META]. DRMSystemID is used in metadata structures in APIs defined in [DAE] and in protocols defined in [PROT]. For CSPG-CI+, the DVB CA\_System\_ID in DRMSystemID SHALL be the one of the specific content protection solution in the CSPG-CI+.

### 4.2.3.10.2 Metadata – DRM Control Information

A DRM Control Information structure to hold DRM dependant control parameters is defined in [META] as an extended element included in Content Access Descriptor, defined in [DAE] and extension of PurchaseItem element of BCG and SD&S metadata, defined in [META].

For specifically protected content, the element of DRMControlInformation SHALL be mapped as specified in the following table:

Element / Attribute Name	Element / Attribute Mapping for CSPG-CI+
DRMControlInformation	
DRMSystemID	SHALL be set to the value defined for the specific protection system in the CSPG-CI+, in section 4.2.3.10.1
DRMContentID	Vendor specific information.
RightsIssuerURL	SHOULD be set to the RightsIssuerURL which is provided in the <i>rights_info</i> message defined in section 4.2.3.4.1.1.6.
SilentRightsURL	MAY be set to an URL allowing retrieval of a message to be forwarded to the CSPG-CI+ in order to silently get updated rights. The MIME type or the HTTP response SHALL be "application/vnd.oipf.cspg-hexbinary" and the body of the HTTP response SHALL be a hexadecimal string as described in section 4.2.3.4.1.1.2.
PreviewRightsURL	MAY be set to an URL allowing retrieval of a message to be forwarded to the CSPG-CI+ in order to get preview rights. The MIME type or the HTTP response SHALL be "application/vnd.oipf.cspg-hexbinary" and the body of the HTTP response SHALL be a hexadecimal string as described in section 4.2.3.4.1.1.2.
DoNotRecord	Vendor specific mapping
DoNotTimeShift	Vendor specific mapping
DRMPrivateData	DRMPrivateData structure SHALL be substituted by the HexBinaryPrivateData structure.
contentType	SHALL be set to the mime type of the DRMPrivateData. For CSPG-CI+, it SHALL therefore be set to the following MIME type: "application/vnd.oipf.cspg-hexbinary"

**Table 20: DRMControlInformation Mapping for CSPG-CI+**

Both `MarlinPrivateDataType` and `HexBinaryPrivateDataType` extend `DRMPrivateDataType` which is defined in [META], and so the element `DRMPrivateData` can be substituted by either `MarlinPrivateData` or `HexBinaryPrivateData` as described in `DRMControlInformation` outline in Figure 12.

The XML schema for `HexBinaryPrivateData` is defined in section B.3.

Element / Attribute Name	Element / Attribute Description
<code>HexBinaryPrivateData</code>	
Message	A hexadecimal encoded sequence of bytes to be sent to the CSPG-CI+ using <code>send_msg</code> message

**Table 21: HexBinaryPrivateData Structure**

## 4.2.4 DTCP-IP based Gateway

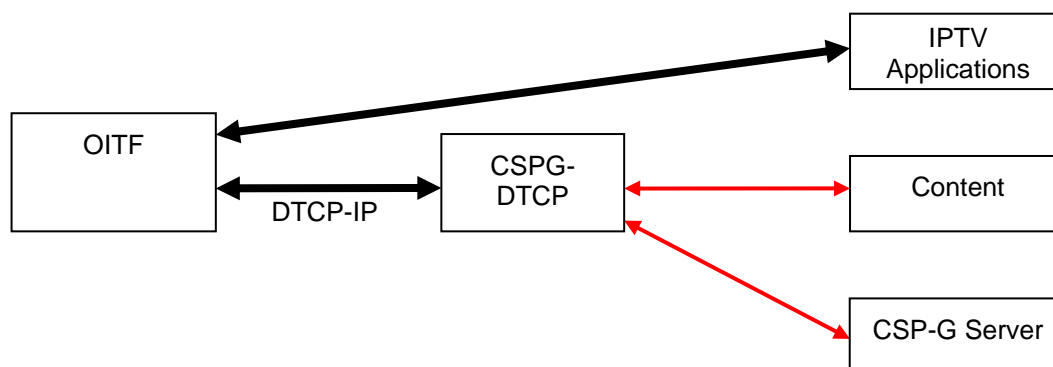
All normative statements in this section and its sub-sections apply only in case the DTCP-IP based Gateway-Centric Approach is supported.

NOTE: The criteria that determine under which circumstances the DTCP-IP based Gateway-Centric Approach is implemented are out of the scope of the present document.

### 4.2.4.1 Overview

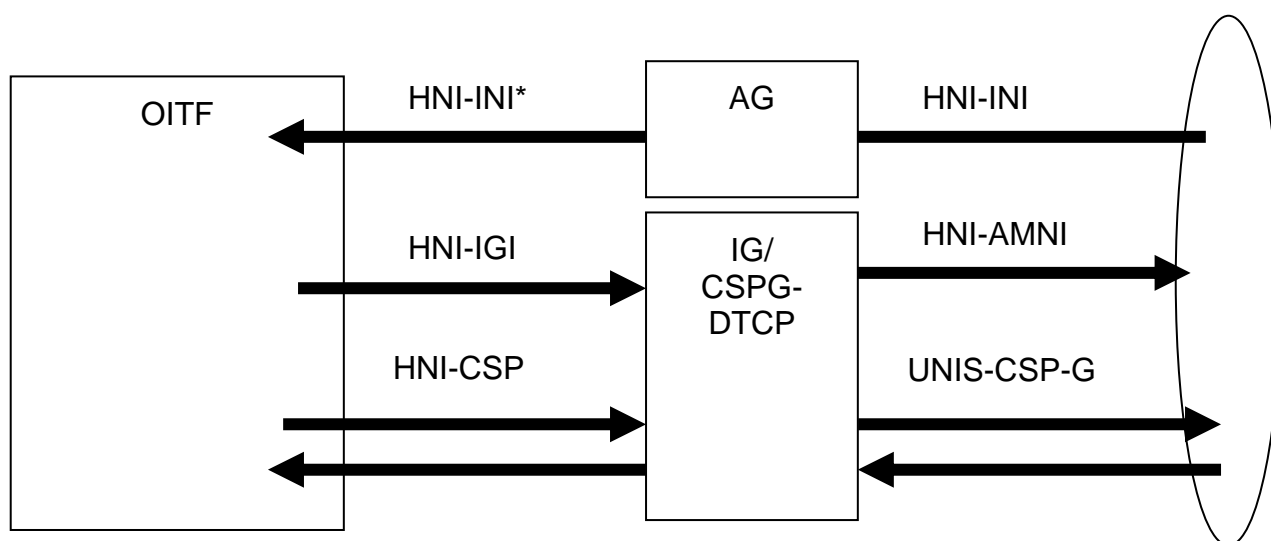
The CSP Gateway based on DTCP-IP (CSPG-DTCP) is an optional entity handling security for the OITF. The CSPG-DTCP resides in the residential network and makes any specific content protection solution transparent. This is achieved by transforming a service proprietary content protection format into standard protection formats which are sent by a secure channel. OITF and CSPG-DTCP mutually authenticate each other, and CSPG-DTCP transfers content and its usage rule information to OITF in a secure manner. The definition of this interface is based on DTCP ([DTCP]) and DTCP over IP ([DTCP-IP]).

- Browsing interactions are executed between DAE and IPTV Applications.
- OITF discovers CSPG-DTCP in a home IP network by the use of the UPnP device discovery protocol as specified in [PROT] section 10.1.1.3.
- For managed network, CSPG-DTCP is co-located with IG to share session management information between IG and CSPG-DTCP. If it supports Scheduled Content service, it is co-located with WAN Gateway to intercept IGMP messages from OITF.
- CSPG-DTCP acts as an HTTP proxy or RTSP proxy. CSPG-DTCP identifies the location of the content through an input URL from OITF.
- CSPG-DTCP transforms service specific content protection formats and usage information format to DTCP over IP content protection format and usage information format respectively.



**Figure 16: CSPG-DTCP Overview**





NOTE: HNI-AGC and HNI-AGI are not involved for the CSPG-DTCP.

**Figure 17: Overview of Involved Reference Points**

#### 4.2.4.2 CSPG-DTCP Connectivity

The CSPG-DTCP is an IP connected device, and uses the same physical interface used for other IP devices such as IG, AG or home router.

#### 4.2.4.3 HNI-CSP

The main functionalities of the HNI-CSP are to provide:

- CSPG-DTCP discovery as described in [PROT]),
- Content access through CSPG-DTCP,
- DTCP AKE, content stream and usage rule transmission

##### 4.2.4.3.1 Content Access Through CSPG-DTCP

When an OITF determines, e.g. by inspecting the information in the DRMTyp element, see [META], of the content guide, content access descriptor or SD&S, that the content is protected by a service specific protection scheme, it SHALL access the content through the CSPG-DTCP, which acts as an HTTP proxy or RTSP proxy. CSPG-DTCP receives content and SHALL transform the protection scheme to DTCP-IP. When OITF receives error code of 403 from CSPG-DTCP, the error code is interpreted as DRM rights error. Then a DAE application accesses the error handling web page as an action of onDRMRightsError event defined in [DAE], or a native application accesses the RightsIssuerURL described in BCG or SD&S metadata [META].

Refer to Appendix E for examples of session setup sequences with a CSPG-DTCP.

For HTTP streaming and download, the OITF SHALL send HTTP GET request through the HTTP proxy in CSPG-DTCP. Note that other HTTP transactions SHALL not use the HTTP proxy in CSPG-DTCP.

##### 4.2.4.3.2 DTCP AKE, Content Streaming and Usage Rule Transmission

DTCP AKE (Authentication and Key Exchange), DTCP content stream and DTCP usage rule are defined in [DTCP] and [DTCP-IP]. The usage rule is provided to the OITF from the CSPG-DTCP considering appropriate mapping, which depends on the service provider's business models. Content type of HTTP response/request SHALL be set to DTCP application media type as defined by [DTCP-IP].

#### 4.2.4.4 UNIS-CSP-G

This interface is out of scope because of applied service specific protection scheme.

#### 4.2.4.5 Protected Streaming and File Formats

The CSPG-DTCP supports either or both of the following formats protected by DTCP-IP encryption on HNI-CSP. The supported format depends on the CA system supported by the CSPG-DTCP. Media format on UNIS-CSP-G is out of scope of this specification.

- MPEG-2 TS and/or time stamped MPEG-2 TS
- MP4 File Format

If the OITF falls under the criteria in [MEDIA] for mandatory support of unprotected MPEG-2 TS, the OITF SHALL support the DTCP-IP protected MPEG-2 TS format, as defined in this section and its sub-sections. Otherwise, the support of the DTCP-IP protected MPEG-2 TS format as defined in this section and its sub-sections is OPTIONAL.

If the OITF falls under the criteria in [MEDIA] for mandatory support of unprotected time stamped MPEG-2 TS format, the OITF SHALL support the DTCP-IP protected time stamped MPEG-2 TS format, as defined in this section and its sub-sections. Otherwise, the support of the DTCP-IP protected time stamped MPEG-2 TS format as defined in this section and its sub-sections is OPTIONAL.

If the OITF falls under the criteria in [MEDIA] for mandatory support of the unprotected MP4 file format, the OITF SHALL support the DTCP-IP protected MP4 file format, as defined in this section and its sub-sections. Otherwise, the support of the DTCP-IP protected MP4 file format as defined in this section and its sub-sections is OPTIONAL.

##### 4.2.4.5.1 Protection of MPEG-2 Transport Streams

An MPEG-2 Transport Stream can be streamed or downloaded through CSPG-DTCP. CSPG-DTCP SHALL transmit the content in the DTCP PCP format. The DTCP PCP format encapsulates the MPEG-2 Transport Stream format, which is defined by [MEDIA]. For the avoidance of doubt, Transport Stream level scrambling or PES level scrambling are not used. Both `transport_scrambling_control` bits and `pes_scrambling_control` bits SHALL be set "00".

For content with parental rating control, CSPG-DTCP SHALL transmit MPEG-2 Transport Stream with CA descriptor and KSM table as specified in 4.2.4.5.1.1 and 4.2.4.5.1.2. The `access_criteria_descriptor` carries information for parental rating control.

If the OITF supports the DTCP-IP based Gateway-Centric Approach, the OITF SHALL support the parental rating `access_criteria_descriptor`, specified in [IEC62455], and SHALL support at least the `rating_type` 0 within these criteria, which maps to the parental rating system in DVB Systems [EN300468]. Other descriptors in the key stream message SHOULD be ignored.

For the parental rating control, the OITF SHALL compare the program's rating from the parental rating `access_criteria_descriptor` with the current parental rating criterion set in the OITF by the application (either native application or DAE) and SHALL block the consumption of the programme if the parental rating system is supported by the OITF and the programme's rating does not meet the parental rating criterion (e.g. rating is at or above a certain threshold, for a rating system that is ordered from lower viewer age to higher viewer age). The OITF SHALL raise an event to the application controlling the playback or other operation whenever a parental rating for the A/V content is detected that does not meet the parental rating criterion that is set for the parental system in use, and which has led to blocking of the consumption of the content. The event SHALL provide the programme's rating. In case the application is a DAE application, the event is called `onParentalRatingChange` and is defined in sections 7.13.5 and 7.14.5 of [DAE].

If the OITF does not support the particular parental rating system used in the programme, the OITF SHALL raise an event to the application controlling the playback or other operation. The event SHALL provide the programme's rating. In case the application is a DAE application, the event is called `onParentalRatingError` and is defined in sections 7.13.5 and 7.14.5 of [DAE]. The event MAY be managed via the DAE application (see section 4.5 of [DAE] for more information). In case the application is a native application, the event is managed through an OITF vendor dependent user interface. In both cases, consumption MAY be unblocked by setting a new parental rating threshold, the setting of which is usually restricted to privileged users, e.g. parents. A successful PIN input by a user MAY be used to control the parental rating threshold setting. The OITF SHOULD continue monitoring the MPEG-2 TS, taking into account parental rating criteria changes in ECM streams or new settings for the parental rating threshold in the OITF, and SHALL unblock consumption if the current program's rating becomes lower than the current parental rating threshold.

#### 4.2.4.5.1.1 CA\_descriptor

Content with parental rating control SHALL include the CA descriptor in PMT with the following restrictions:

Syntax	No. of bits	Mnemonic	Value
CA_descriptor() {			
descriptor_tag	8	uimsbf	9
descriptor_length	8	uimsbf	
CA_system_ID	16	uimsbf	0x0007
MPEG2_Reserved	3	bslbf	
CA_PID	13	uimsbf	
for (i=0; i<N; i++) {			
private_data_byte	8	uimsbf	
}			
}			

**Table 22: CA\_descriptor**

<b>descriptor_tag</b>	MPEG has defined the tag value of 9 for the CA-descriptor.
<b>descriptor_length</b>	The length of the descriptor.
<b>CA_system_ID</b>	0x0007
<b>CA_PID</b>	The PID on which the KSM table can be found
<b>MPEG2_reserved</b>	Bits reserved by [MPEG2TS].
<b>private_data_byte</b>	Not used and SHALL be ignored.

#### 4.2.4.5.1.2 Key Stream Message and KSM Table

Content with parental rating control SHALL include Key Stream Message in KSM table ([IEC62455], [DVB-CA]).

Key Stream Message is defined in section 7.2 of [IEC62455] and the following usage restrictions SHALL be applied:

- access\_criteria\_flag is set to KSM\_FLAG\_TRUE for the content with parental rating control.
- traffic\_protection\_protocol is set to KSM\_ALGO\_MPEG2\_TS\_CRYPT.
- traffic\_authentication\_flag is set to KSM\_FLAG\_FALSE (traffic authentication is not used).
- next\_traffic\_key\_flag is set to KSM\_FLAG\_FALSE.
- timestamp\_flag is set to KSM\_FLAG\_FALSE.
- programme\_flag is set to KSM\_FLAG\_FALSE.
- service\_flag is set to KSM\_FLAG\_FALSE.
- content\_key\_index MAY be set to any value defined in [IEC62455]. The OITF SHALL ignore this field.
- odd\_even\_flag MAY be set to any value defined in [IEC62455]. The OITF SHALL ignore this field.
- cipher\_mode MAY be set to any value defined in [IEC62455]. The OITF SHALL ignore this field.
- encrypted\_traffic\_key\_material\_length is set to 0.
- traffic\_key\_lifetime is set to 0.

For content with parental rating control, the access\_criteria\_descriptor loop in the Key Stream Message SHALL have at least one parental\_rating\_access\_criteria\_descriptor. The OITF SHALL ignore other access\_criteria\_descriptors.

#### **4.2.4.5.2 Protection of MP4 File Format**

MP4 file format can be downloaded through CSPG-DTCP. CSPG-DTCP SHALL transmit the content in DTCP PCP format which encapsulates MP4 file format which is defined by [MEDIA].

#### **4.2.4.6 Downloaded Content Usage**

For downloaded content, content SHALL be transformed to DTCP-IP protection by CSPG-DTCP when content is being downloaded. Content SHALL be stored and played back by OITF in a manner compliant to DTCP compliance rules [DTCP-AA].

#### **4.2.4.7 PVR Usage**

For PVR usage for scheduled content service, content SHALL be transformed to DTCP-IP protection by CSPG-DTCP when content is being streamed or multicast. Content SHALL be stored and played back by OITF in a manner compliant to DTCP compliance rules [DTCP-AA].

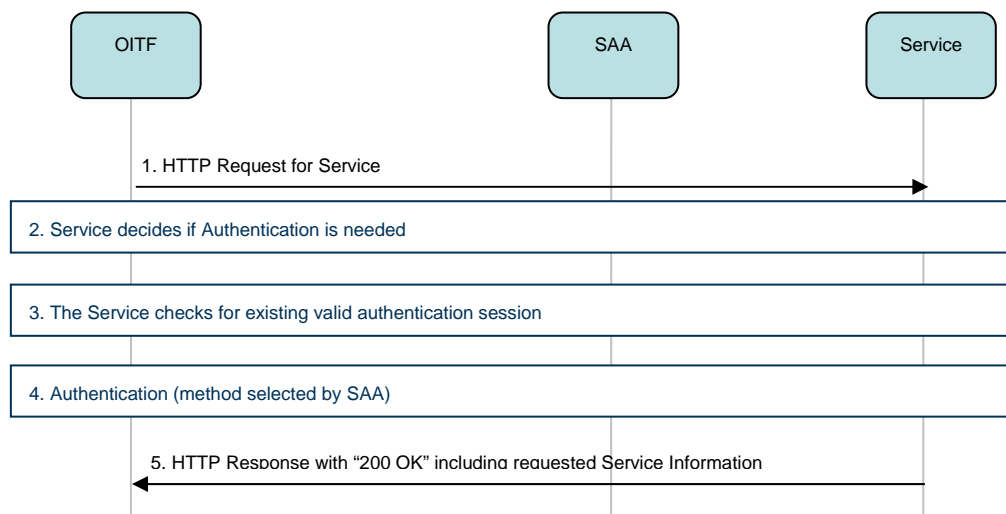
## 5 User Identification, Authentication, Authorisation and Service Access Protection

For the syntax of the messages mentioned in section 5, see Volume 4 Protocols.

### 5.1 General Principals

This section presents the general principles that govern Service Access Protection and User authentication. In this section the **requested service** represents for example Service Provider Discovery (SPD), Service Discovery (SD), or IPTV Application.

This section also applies to services on the IG requested from the OITF over the HNI-IGI interface specified in [PROT] section 5.5.1. In this case the equivalent of SAA function and Service Function are co-located on the IG.



**Figure 18: General Message Flow for Service Access Protection and User Authentication**

1. The OITF requests a service.
2. The requested service decides whether the request needs to be authenticated or not.
  - If not, the service directly serves the request, go to step 5.
  - If so, go on with step 3.
3. The requested service checks if the request is part of an existing valid authenticated service session (see section 5.6, Session Management).
  - If so, it directly serves the request, go to step 5.
  - If not, go on with step 4.
4. The requested service triggers SAA authentication. There are two cases: the SAA function is co-located with the requested service or the SAA function is standalone (see section 5.3, Service Access Protection). The SAA decides what authentication mechanisms it uses (see section 5.2, Interfaces, and section 5.4, OITF Authentication Mechanisms).
  - If the authentication is successful, go on with step 5.
  - If not, the OITF may e.g. retry step 4 or display an error message, or return an HTTP error.
5. The requested service serves the request.

The **requested service** decides what security is needed for the service delivery: Authentication needed or not, Confidentiality needed (TLS/SSL) or not.

The SAA decides what authentication mechanisms it uses and what security is needed for the performed authentication: TLS/SSL or not.

## 5.2 Interfaces

This section describes the impact of User Identification, Authentication, Authorisation and Service Access Protection on the HNI-INI and HNI-IGI interfaces.

### 5.2.1 HNI-INI

The following authentication mechanisms are supported for HTTP protocol on HNI-INI interface between OITF and Network (see section 5.4 for their specification):

- No authentication
- HTTP authentication, see 5.4.1
- Network based authentication (this requires no action on the OITF), see 5.4.2
- Web based authentication, see 5.4.3
- GBA based authentication (this requires an IG to be present in the home network), see 5.4.4

The OITF SHALL support all the mechanisms listed above.

The SAA MAY use any of the mechanisms listed above.

### 5.2.2 HNI-IGI

In this case the equivalent of SAA function and Service Function are co-located on the IG. The following authentication mechanisms are supported for HTTP protocol on HNI-IGI interface between OITF and IG:

- No authentication
- HTTP authentication, see 5.4.1
- Web based authentication, see 5.4.3

The OITF SHALL support all the mechanisms listed above.

On the HNI-IGI interface, the IG SHALL support at least one of the following authentication mechanisms:

- No authentication
- HTTP authentication, see 5.4.1

The IG MAY use any of the above listed mechanisms (No authentication, HTTP authentication or Web based authentication).

The OITF and IG SHALL support and perform IMS registration as specified in section 5.3.6 of [PROT] and described in section 5.5. They SHALL do so prior to any service access attempt in the managed case.

### 5.2.3 Common Requirements

On both HNI-INI and HNI-IGI interface, the OITF SHALL support all of the following mechanisms, redirection, and security for the HTTP protocol and HTML support:

- standard HTTP requirements: HTTP redirection, HTTP cookies
- URL parameters
- HTML forms and HTTP Post in forms
- TLS/SSL – TLS 1.2 SHOULD be supported, if not then TLS 1.1 SHOULD be supported, otherwise TLS 1.0 SHALL be supported. The OITF SHALL support TLS Renegotiation Extension as described in [RFC5746].

NOTE: The requirements above ensure the support of SAML web-based SSO, see 5.6.4

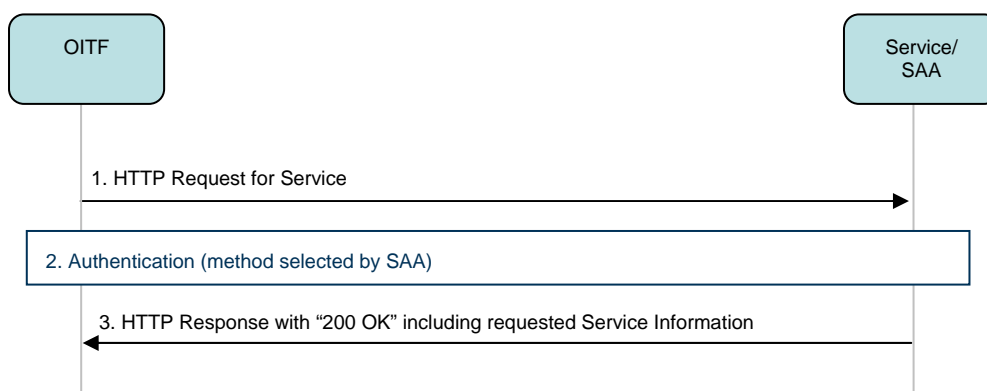
To avoid extra message exchanges, the **OITF SHALL** provide in requests, when available (see section 5.6):

- HTTP authentication header (Authorization)
- HTTP cookie header (Cookie)

## 5.3 Service Access Protection (informative)

### 5.3.1 SAA Co-located with Service (informative)

The following figure describes the sequences when the SAA function is co-located with the requested service.

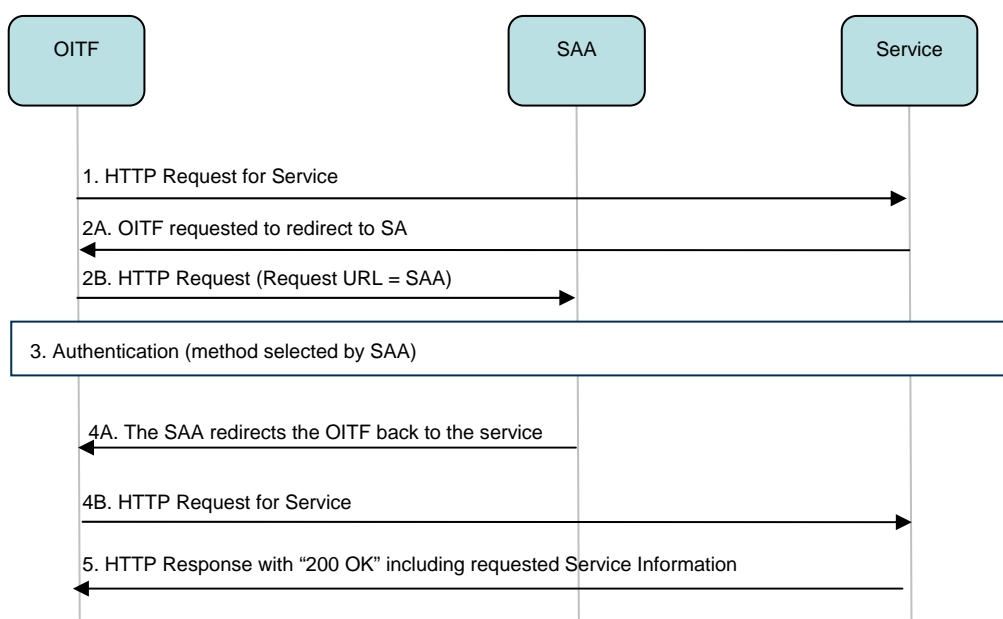


**Figure 19: SAA Co-located with Requested Service**

1. The OITF requests a service. Authentication is needed and there is no valid authenticated service session.
2. The service/SAA performs authentication.
3. The requested service serves the request.

### 5.3.2 SAA Standalone (informative)

The following figure describes the sequences when the SAA function is standalone, the OITF is redirected to the SAA for authentication.



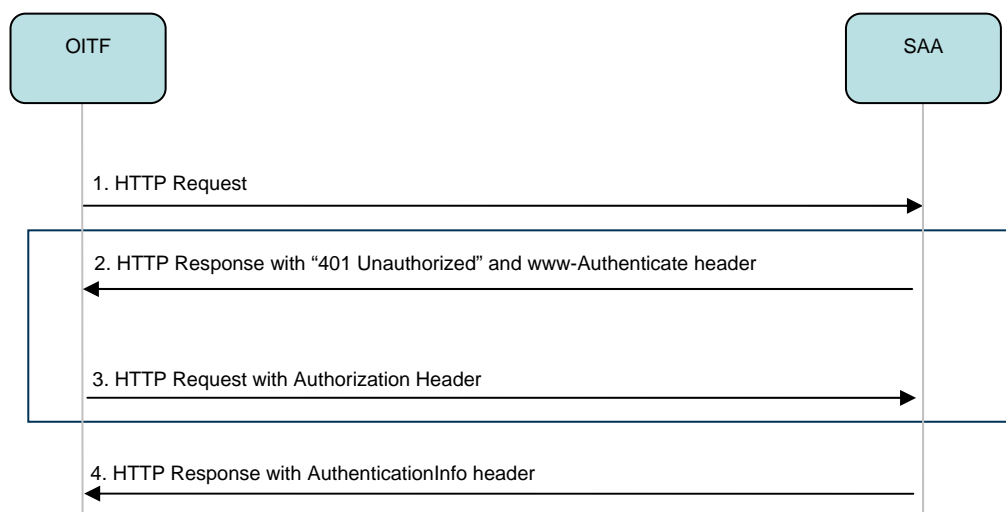
**Figure 20: Standalone SAA, Redirection Mode**

1. The OITF requests a service. Authentication is needed and there is no valid authenticated service session.
- 2A. The requested service triggers SAA authentication. The service redirects the OITF to the SAA (e.g. using HTTP redirection (Location = SAA)).
- 2B. The OITF connects to the SAA, using the redirection obtained in step 2A.
3. The SAA performs authentication.
- 4A. The SAA redirects the OITF back to the service (e.g. by using SAML HTTP-POST binding or HTTP redirection (Location = Service)).
- 4B. The OITF requests the service again, using the redirection obtained in step 4A.
5. The requested service checks that authentication succeeded and serves the request.

## 5.4 OITF Authentication Mechanisms

### 5.4.1 HTTP Basic and Digest Authentication

The OITF SHALL support HTTP basic and digest authentication as specified in [RFC2617]. A possible message flow for HTTP basic and digest authentication is described in Figure 21. When HTTP basic or digest authentication [RFC2617] is used, it is assumed that user identifier and its secret information (e.g. password) are shared between OITF and Providers Network (SAA) in advance of the described sequence.



**Figure 21: HTTP Basic and Digest Authentication**

1. The OITF requests a service co-located with the SAA function or has requested a service and has been redirected to SAA function.
2. The SAA responds with a "401 Unauthorized" status code with a WWW-Authenticate header defined in [RFC2617].
3. The OITF re-sends the request with an Authorization header as defined in [RFC2617]. The user identifier and its secret information are used as username-value and password for the generation of the Authorisation header.
4. The SAA checks the Authorisation header. If the verification succeeds, the SAA/service serves the request or redirects the OITF to the service (e.g. using HTTP redirection or SAML HTTP Post Binding). The response contains an AuthenticationInfo header. The response may contain session management information (cookie, URL parameter).

If no user and password are available at the OITF, a window may be displayed to the user for entering his credentials between step 2 and 3. This is the standard working in a DAE application. As described in general principles, this situation shall occur only if no valid authentication session or credentials are available in the OITF.

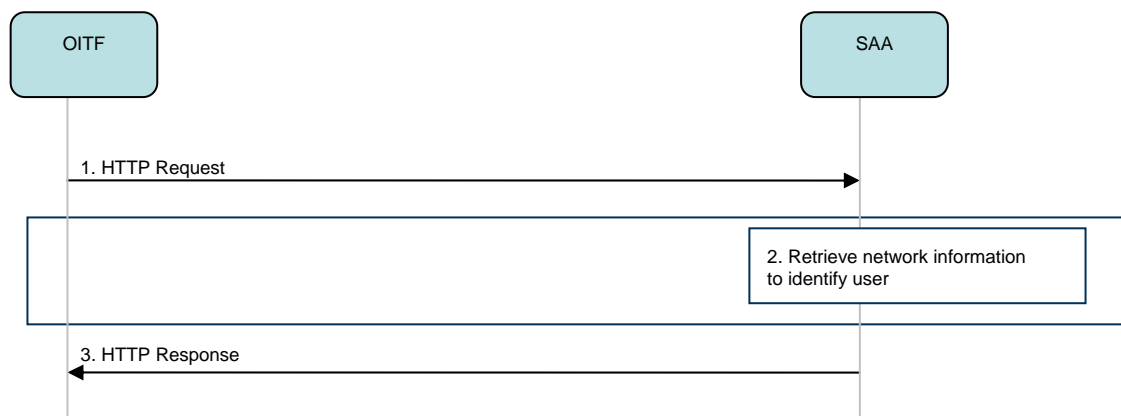
NOTE: To protect the password that is in the clear in HTTP basic authentication; the SAA may additionally require TLS/SSL as stated in the general principles.



## 5.4.2 Network Based Authentication (informative)

This section describes the message flows for network based authentication. Network based authentication is a silent authentication based on network information. This authentication is transparent to the OITF.

In the case of a managed network, the SAA can rely on (proprietary) network specific information, which information is out of scope of this specification, to authenticate an incoming request. The sequences are depicted in the following figure:



**Figure 22: Network Based Authentication**

1. The OITF requests a service co-located with the SAA function or has requested a service and has been redirected to an SAA function.
2. The SAA links the request to the user based on network information.
3. If the operation succeeds, the SAA/service serves the request or redirects the OITF to the service (e.g. using HTTP redirection or SAML HTTP Post Binding). The response may contain session management information (cookie, URL parameter).

## 5.4.3 Web Based Authentication

The calling function in the OITF SHOULD support receiving a CE-HTML response for a service HTTP request and SHOULD launch the DAE for displaying it. If the calling function does not support receiving an CE-HTML, XHTML or HTML compatible response, it SHALL signal it to the server by including its acceptable media types without “application/xhtml+xml”, “application/ce-html+xml”, and “text/html” in the request’s HTTP “accept” header explicitly, and by also not including CE-HTML/1.0 as part of the User-Agent header. If the calling function does not support receiving an CE-HTML, XHTML or HTML compatible response, the SAA SHALL return a “403 Forbidden” HTTP error.

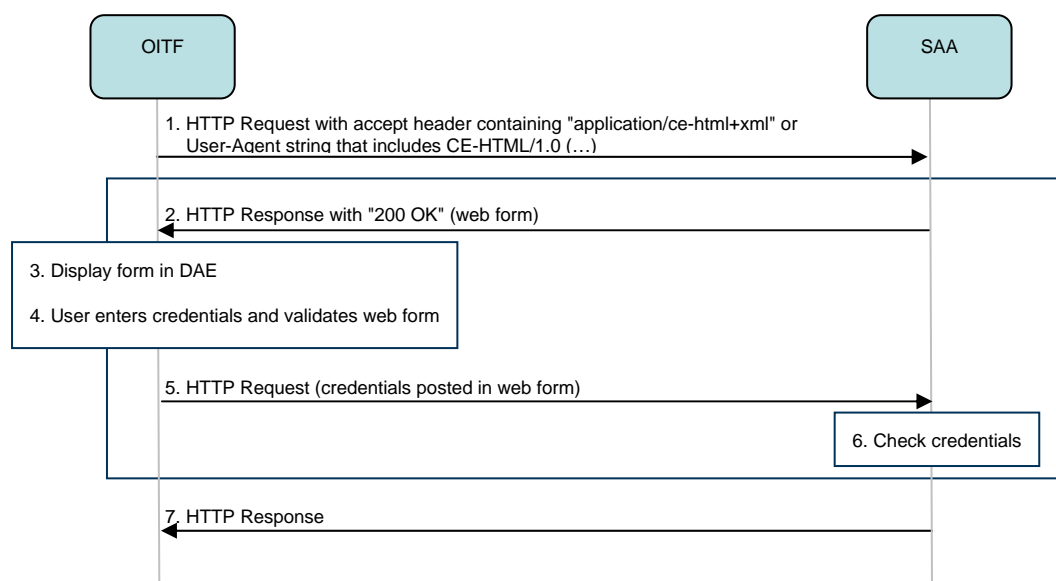
As described in general principles, this situation shall occur only if no valid authentication session is available in the OITF (e.g. no cookie available).

The DAE within the OITF SHALL support CE-HTML forms and HTTP Post in forms.

The remainder of this section describes the message flows for web based authentication. Web based authentication can be explicit or implicit/silent.

- explicit authentication: the user is prompted with a web page form to fill-in with a login and password: the result of the authentication can be persistent for later re-use (implicit/silent authentication)
- implicit/silent authentication: the user is not prompted with any form but s/he is silently authenticated based on persistent data (session management)

Web based authentication mechanisms do not add requirements to the OITF besides supporting a DAE. They are based on optionally HTML forms and HTTP Post, HTTP redirection and HTTP cookies.



**Figure 23: Web Based Authentication with Form**

1. The OITF requests a service co-located with the SAA function or has requested a service and has been redirected to SAA function.
2. If the HTTP request to the SAA has a User-Agent string that includes CE-HTML/1.0 as defined in [CEA2014A], or the "accept" HTTP header includes (explicitly or implicitly) a CE-HTML accept header ("application/ce-html+xml"), the SAA responds with a CE-HTML compatible web form for requesting user credentials. User credentials provisioning are out of scope of this specification.
3. The web form is displayed in the DAE.
4. The user enters his credentials and validates the form.
5. The form validation posts the user credentials to the SAA.
6. The SAA checks the credentials.
7. If verification is successful, the SAA/service serves the request or redirects the OITF to the service (e.g. using HTTP redirection or SAML HTTP Post Binding). The response MAY contain session management information (cookie, URL parameter).

## 5.4.4 GBA Authentication – Using IMS Gateway

This section specifies optional functionality by which an OITF can use the ISIM in an IG, if present in the home network, for user authentication to services on managed networks. This section is based on the principles described in [ARCH] Appendix B, but extends that section.

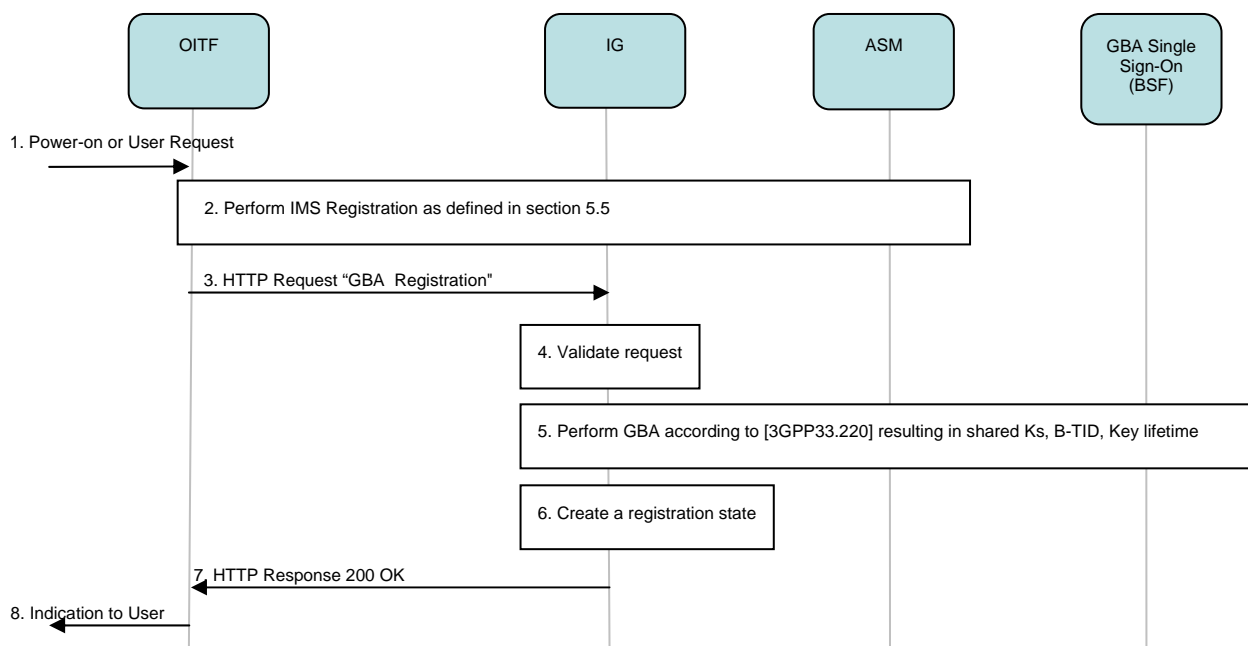
The IG SHALL signal that it supports GBA Authentication in its description during UPnP discovery as specified in [PROT] section 10.1.1.1.3.

NOTE: The criteria that determine under which circumstances the functionality by which an OITF can use the ISIM in a Gateway Function is implemented in an OITF are out of the scope of the present document.

### 5.4.4.1 Initial GBA Registration

When the OITF is powered up or when the user initiates a registration, i.e. when the OITF requests a User Registration from the IG, and if the IG supports GBA Authentication, the OITF SHALL, after the User Registration from the IG, request a GBA Registration from the IG as described in [PROT]. Receiving this request, the IG SHALL perform a GBA registration for the current IMS registered user towards the GBA Single Sign-On Function, according to [3GPP33.220]. The GBA registration is based on secrets shared between the ISIM and the network provider. The result of a successful GBA run is the establishment of a session identifier, B-TID, and a shared key, Ks. This key Ks can later be re-used to derive server side application (NAF) specific keys. These keys can also be passed on to trusted applications in the home

network, and can later be used for authentication based on the GBA authentication, but without further need for IG-provider network communication.



**Figure 24: Initial GBA Registration**

Figure 24 shows the message sequence for initial GBA registration. It contains the following steps:

1. The OITF is powered on (automatic default registration) or the user requests a personalised registration.
2. The OITF performs a user registration as defined in section 5.5.
3. The OITF sends a GBA registration request to IG as defined in [PROT] section 5.3.6.2.1, step 1.
4. The IG validates the request. The IG may require at that stage any authentication mechanism specified in section 5.2.2 and/or any mechanism and security (i.e. TLS/SSL) specified in section 5.2.3. For simplification, none of this mechanism is shown in Figure 24.
5. The IG performs GBA bootstrapping procedure according to [3GPP33.220] towards the GBA Single Sign-On function (BSF) in the provider's network. If successful, this results in establishing a shared key Ks on both ends. The GBA Single Sign-On function (BSF) also sends the lifetime of the key Ks and a session identifier B-TID to the IG.
6. The IG returns the outcome of the GBA registration process to the OITF as defined in [PROT] section 5.3.6.2.1, step 2.
7. If the result of the registration procedure is successful, a registration state is created and maintained in IG.
8. An indication is sent to the user that includes the outcome of the registration process.

#### 5.4.4.2 Re-use of GBA Authentication – Using HTTP Digest Authentication

The key Ks that was established during the GBA registration MAY be used later on for authentication between OITF functions and services (i.e., Application Servers). Each time an OITF needs to access a service offered by an AS (i.e., NAF) that requires GBA Authentication, a specific key Ks\_(ext)\_NAF SHALL be derived by the IG and the server side GBA Single Sign-On function (acting as a BSF in [3GPP24.109]). This generated key SHALL be conveyed to the OITF function in the residential network by the IG, and to the AS by the server side GBA Single Sign-On function (BSF). The key Ks\_(ext)\_NAF SHALL then be used for authentication between the OITF function and the AS, using HTTP Digest authentication as specified by [3GPP24.109].

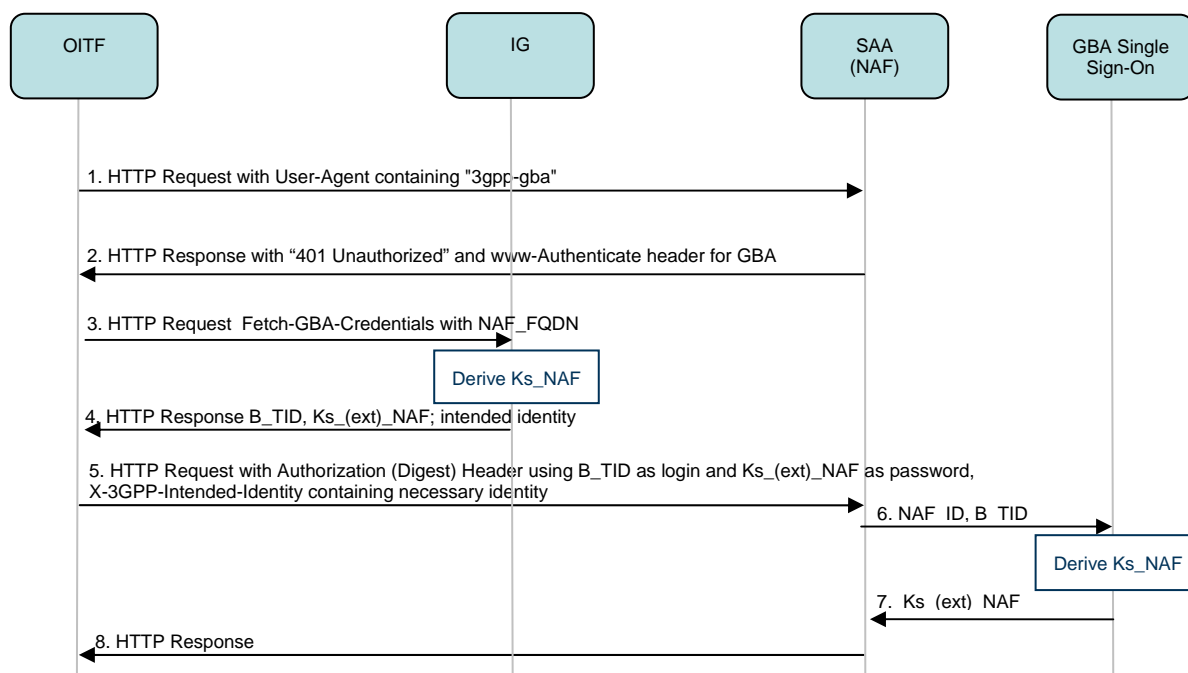
If the OITF has registered to an IG which supports GBA Authentication, the OITF SHALL act as a User Equipment in [3GPP24.109] and therefore SHALL signal in its User Agent that it supports GBA Authentication.

When a SAA (acting like a NAF in [3GPP24.109]) requests GBA Authentication, the OITF SHALL retrieve GBA Credentials for the specified SAA (NAF) from the IG as specified in [PROT], and SHALL perform HTTP Digest authentication as specified by [3GPP24.109].

When the OITF retrieves an X-HNI-IGI-Intended-Identity HTTP header from the IG, it SHALL use it as intended user identity and SHALL add an "X-3GPP-Intended-Identity" HTTP header to the outgoing HTTP requests to the SAA (NAF); as specified in [3GPP24.109]. The SAA MAY verify that the intended identity belongs to the user (i.e. the identity matches one of the user's public identities indicated in the user security setting that was retrieved from the GBA Single Sign-On function (BSF)).

NOTE: The intended identity is used to identify the user when the credentials are shared among the household.

As a pre-requisite to this procedure, the GBA registration (cf. 5.4.4.1) MUST have been successfully completed.



**Figure 25: Authentication between an OITF and an SAA Based on GBA Keys**

Figure 25 shows the message sequence for authentication between an OITF function and an SAA based on the previously established GBA key. It contains the following steps:

1. OITF function sends a request for a resource (e.g., service) to the SAA (NAF). It is assumed here that the resource requires authentication. The User-Agent string in the HTTP request contains "3gpp-gba" indicating to the SAA that it supports GBA authentication.
2. The SAA (NAF) returns a 401 Unauthorized message, the realm indicates that 3GPP bootstrapping is used and provides the NAF FQDN as defined in [3GPP24.109].
3. OITF sends a request including the NAF\_FQDN to the IG to retrieve GBA credentials, and IG generates  $Ks\_NAF$  in case of GBA\_ME or  $Ks\_ext\_NAF$  with the co-operation of the ISIM in case of GBA\_U ( $Ks\_ext\_NAF$ ). NOTE: according to [3GPP33.220], the NAF\_ID is constructed as follows:  $NAF\_ID = FQDN$  of the NAF || Ua security protocol identifier. The identifier for Ua security protocol HTTP Digest authentication according to [3GPP24.109] is (0x01,0x00,0x00, 0x00,0x02). The request format is specified in [PROT] section 5.3.6.2.2, step 1.

$Ks\_ext\_NAF$  is computed as  $Ks\_ext\_NAF = KDF(Ks, "gba-me", RAND, IMPI, NAF\_ID)$ , where KDF is the key derivation function as specified in Annex B of [3GPP33.220], and the key derivation parameters consist of the user's IMPI, the NAF\_ID and RAND.

4. IG returns  $Ks\_ext\_NAF$ , B-TID, the lifetime of the key  $Ks\_ext\_NAF$  and optionally the intended identity to OITF. The lifetime indicates the expiry time of the key  $Ks\_ext\_NAF$  and is equal to the lifetime of the key  $Ks$

(which was specified by the BSF during the GBA bootstrapping procedure). The response format is specified in [PROT] section 5.3.6.2.2, step 2.

5. The OITF function repeats the request with an Authorisation header, using B-TID as username and Ks\_(ext)\_NAF as password. If a non empty intended identity is returned from the IG, the OITF adds an X-3GPP-Intended-Identity HTTP Header containing the intended identity. If no intended identity is returned from the IG, the OITF shall not add an X-3GPP-Intended-Identity.
6. SAA (NAF) sends B-TID and its NAF\_ID to the GBA Single Sign-On function (BSF) in provider network, the GBA Single Sign-On function (BSF) retrieves Ks and calculates Ks\_(ext)\_NAF.
7. The GBA Single Sign-On function (BSF) in provider network returns Ks\_(ext)\_NAF, together with its lifetime, to SAA (NAF).

Note the key lifetime returned by the GBA Single Sign-On function (BSF) is equal to the lifetime of the corresponding Ks. But the SAA (NAF) may choose a shorter key lifetime based on local policy and/or application-specific needs.

8. If Ks\_(ext)\_NAF has expired, the SAA (NAF) shall send a suitable bootstrapping renegotiation request to the OITF, according to [3GPP33.220]. Otherwise the SAA (NAF) uses Ks\_(ext)\_NAF to authenticate the request. Upon successful authentication, the SAA (NAF)/service serves the request or redirects the OITF to the service (e.g. using HTTP redirection or SAML HTTP Post Binding). The response may contain session management information (cookie, URL parameter).

The message format for steps 3 and 4 are specified in the section 5.3.6.2 of [PROT].

#### 5.4.4.3 Binding Between GBA User Authentication and DRM Device Authentication (Informative)

GBA authenticates ISIM/IMPI, not the device. On the other hand, DRM (e.g. Marlin) relies on device authentication; the device must have a valid certificate issued by the DRM trust authority. To avoid security issues e.g. allowing a legitimate (from a DRM point of view) device that is however not in fact authorised by a user accessing services, the GBA (user) authentication and the DRM device authentication need to be securely linked together.

## 5.5 IMS Registration – OITF

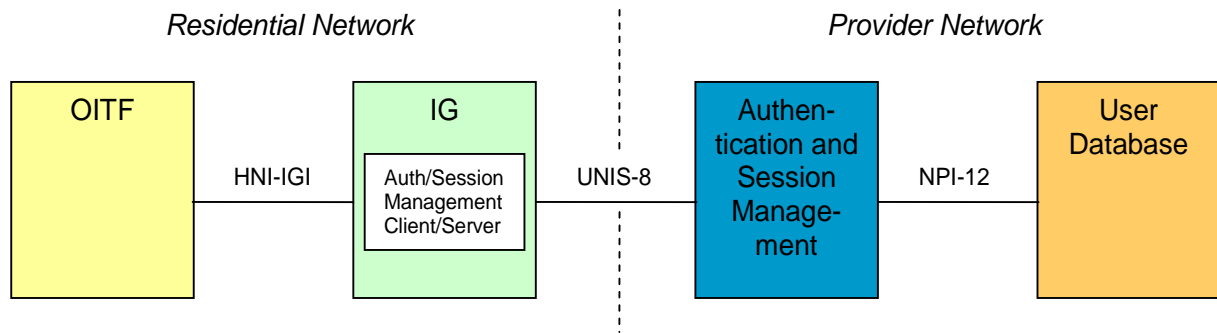
This section specifies the message flows for IMS Registration using SIP Digest<sup>7</sup> authentication or IMS AKA authentication by means of which Service Platform Providers and IMS Gateways located in Residential Networks can authenticate each other. These message flows are based on [3GPP33.203] and [3GPP24.229] (stage 3 specification).

### 5.5.1 Relevant Functional Entities and Reference Points

Figure 26 extracts the functional entities and reference points relevant for IMS Registration from the OIPF Provider and Residential Network Architectures (see figures 5-2 and 5-3 in [ARCH]):

---

<sup>7</sup> This section specifies authentication-related details of certain SIP messages. Elsewhere, for example at ETSI TISPAN, this SIP authentication method is often called “HTTP Digest” as SIP Digest [RFC3261] is identical to HTTP Digest [RFC2617] – despite the fact that the protocol in question is SIP and not HTTP. The authentication method treated in this section is referred to as “SIP Digest” since the name “HTTP Digest” might lead to the wrong impression that the protocol in question is HTTP.



**Figure 26: OIPF Functional Entities and Reference Points Involved in IMS Registration**

SIP Digest authentication, and respectively IMS AKA authentication is interlaced into the IMS Registration message exchange between the IMS Gateway (IG) and the Authentication and Session Management (ASM) functional entities. IMS Registration occurs either when the IG is powered up or when the IG receives a corresponding request from an OITF. The User Database supplies the ASM with authentication vectors needed for SIP Digest authentication, and respectively IMS AKA authentication.

## 5.5.2 Prerequisites

Prior to the first IMS Registration (and hence prior to the first SIP Digest or IMS AKA) protocol execution, the following parameters MUST be provisioned:

- to the IG<sup>8</sup>:
  - for SIP Digest:
    - one or more IP Multimedia Private Identities (IMPI),
    - one or more IP Multimedia Public Identities (IMPU), each associated to one or more IMPIs,
    - one or more passwords, each assigned to one and only one of the IMPIs provisioned to the IG,
    - a Service Platform Provider Network Domain Name.
  - for IMS AKA, an ISIM or a USIM application shall always be used for authentication, as described in [3GPP33.203]. For the purpose of this document, the ISIM is a term that indicates a collection of IMS security data and functions on a UICC.
    - The ISIM SHALL include :
      - one IMPI.
      - one or more IP Multimedia Public Identities (IMPU), associated with the IMPI
      - a SPP Network Domain Name referred as Home Network Domain Name in 3GPP specifications
      - Support for sequence number checking in the context of IMS Domain
      - An Authentication key
      - The same framework for algorithms as specified for USIM
    - There shall only be one ISIM for each IMPI.

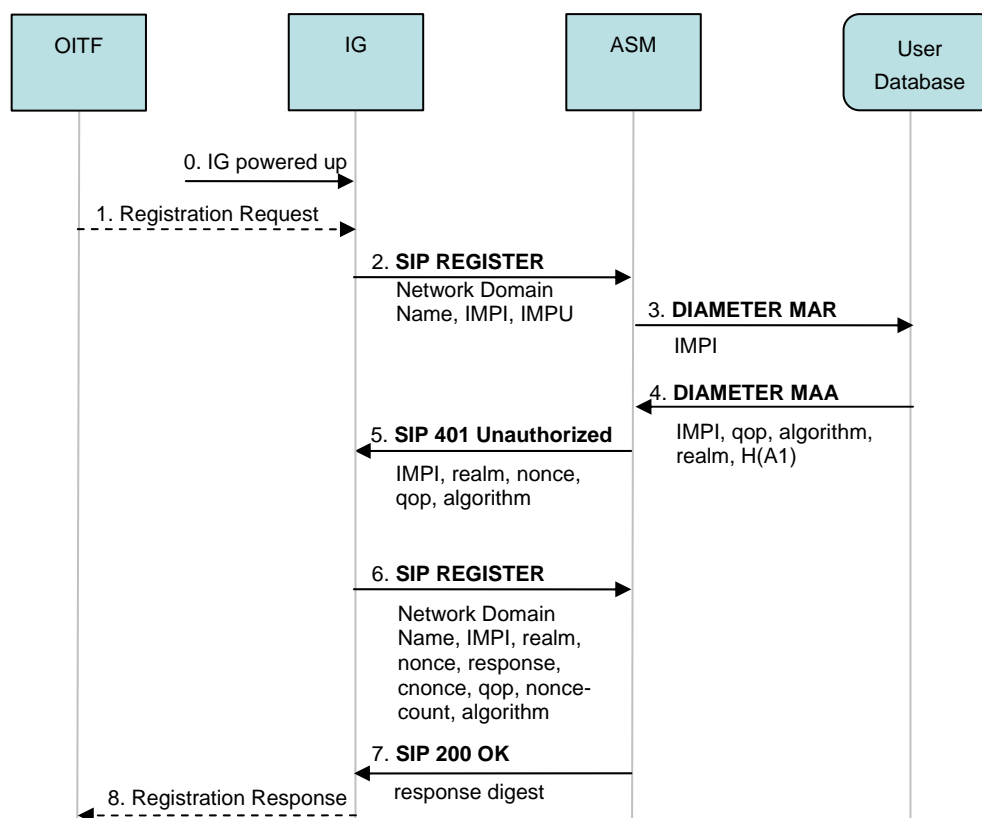
<sup>8</sup> In case of IMS AKA, these parameters are in a UICC with an ISIM or USIM application.

- and to the User Database, the IMS subscription information comprising:
  - the IMPI(s) and IMPU(s) provisioned to the IG,
  - the association of the IMPU(s) to the IMPI(s),
  - and for SIP Digest the password(s) provisioned to the IG. The User Database stores each password against the IMPI it is assigned to.
  - And for IMS AKA the Authentication Key contained and protected within the UICC in the IG. The User Database stores each Authentication Key against the IMPI it is assigned to.

Methods for provisioning these parameters to IG and User Database functional entities are out of scope of this specification.

### 5.5.3 SIP Digest Message Flows

Figure 27 shows the message flow for SIP Digest authentication, which is interlaced into IMS Registration messages:



**Figure 27: SIP Digest Message Flow Interlaced into IMS Registration**

0. The IG is powered up. This can initiate the execution of steps 2 – 7.
1. **OITF to IG: Registration Request**  
The OITF sends a request for registration to the IMS Gateway (IG), when needed (the end user explicitly logs on for personalized services).
2. **IG to ASM: SIP REGISTER**  
This request contains the SPP Network Domain Name of the IG's IMS home network, an IMPI and an IMPU. If the ASM has a valid SIP Digest authentication vector (SD-AV) for the specific IMPI, steps 3, 4 and 5 are omitted.
3. **ASM to User Database: DIAMETER MULTIMEDIA AUTH REQUEST (MAR)**  
The ASM requests a SD-AV from the User Database with respect to the IMPI received in step 2.

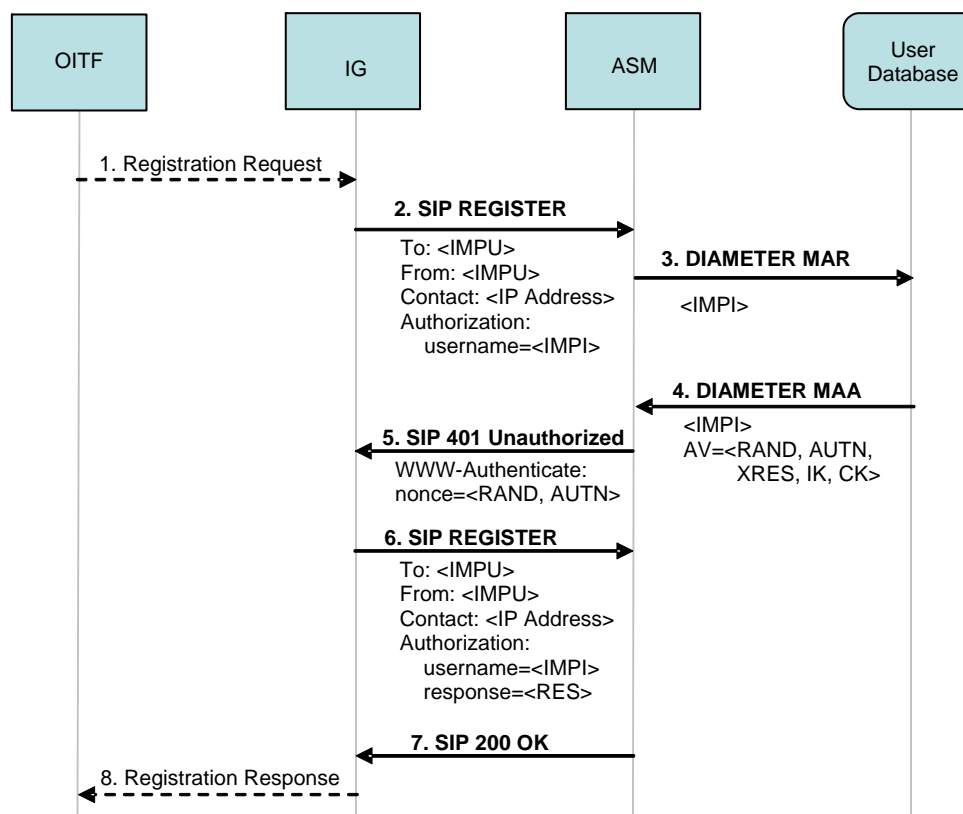
4. **User Database to ASM: DIAMETER MULTIMEDIA AUTH ANSWER (MAA)**  
 Along with the IMPI, the User Database sends a SD-AV to the ASM containing the following data: qop value (quality of protection), the authentication algorithm, realm, and a hash value H(A1) of the IMPI, realm, and password. [RFC2617] provides additional information on the values in the authentication vector for SIP Digest based authentication. Upon reception of the MAA message, the ASM stores the H(A1) value and generates the nonce value needed to challenge the IG.
5. **ASM to IG: SIP 401 Unauthorized**  
 The ASM denies the IG authentication but sends a SIP 401Unauthorized message to the IG in order to challenge the IG. This message contains the IMPI, the nonce, the authentication algorithm, and the realm and qop values.
6. **IG to ASM: SIP REGISTER**  
 After reception message 5, the IG generates a client nonce (cnonce) and calculates an authentication response value using this cnonce and other values received in step 5 (see [RFC2617]). The IG sends a new SIP REGISTER request to the ASM, this time with the authentication response along with the parameters IMPI, realm, nonce, response, cnonce, qop, nonce-count, and algorithm.
7. **ASM to IG: SIP 200 OK (successful case)**  
 After reception of the SIP REGISTER message containing the authentication response value, the ASM calculates the *expected* response value using the previously stored H(A1) and the stored nonce value together with other parameters (see [RFC2617]). If the response value received from the IG equals the expected response value, the IG has been authenticated and the IMPU is registered in the ASM. In this successful case, the ASM sends the SIP 200 OK from ASM to the IG, enabling the IG to authenticate the SPP Network. This SIP 200 OK message contains a response digest calculated using the cnonce value generated by the IG prior to sending message 6.
8. **IG to OITF: Registration Response**  
 The IG informs the OITF about the result of the registration procedure (when step 1 was executed).

The details of the messages 2 – 7 are specified in [3GPP24.229].

## 5.5.4 IMS AKA Message Flows

To support IMS AKA, a UICC with an ISIM or USIM application must be integrated into the IMS Gateway (IG). From the IMS point of view, the IG thereby takes the role of an IMS Subscriber. The UICC stores a long-term secret key K which is shared between the ISIM or USIM application and a User Database belonging to the network operator that provides the ISIM or the USIM. Figure 28 shows the high-level message flows for user identification and authentication based on the IMS AKA procedure





**Figure 28: User Identification and Authentication based on the IMS AKA procedure**

0. The IG is powered up. This can initiate the execution of steps 2 .7
1. **OITF to IG: Registration Request**  
The OITF sends a request for registration to the IMS Gateway (IG), when needed (the end user explicitly logs on for personalized services)
2. **IG to ASM: SIP REGISTER**  
This request contains the SPP Network Domain Name of the IG's IMS home network, the IMPI and the IMPU. All this data is read from the ISIM.
3. **ASM to User Database: DIAMETER MULTIMEDIA AUTH REQUEST (MAR)**  
ASM requests authentication data from the User Database with respect to the IMPI received in step 2.
4. **User Database to ASM: DIAMETER MULTIMEDIA AUTH ANSWER (MAA)**  
The User Database sends an Authentication Vectors (AV) to the ASM containing the following data: random challenge RAND, answer XRES expected by the IG in step 6, network authentication token AUTN, integrity key IK, and ciphering key CK. The authentication token AUTN contains a message authentication code (MAC) enabling the IG to authenticate the SPP Network (see step 8).
5. **ASM to IG: SIP 401 Unauthorized**  
At this point in time, the ASM denies the IG authentication. Instead, it sends a SIP Unauthorized message with a WWW-Authenticate header to the IG. This header contains RAND and AUTN. After reception of this message, the IG verifies the message authentication code contained in AUTN thereby authenticating its SPP Network.
6. **IG to ASM: SIP REGISTER**  
ISIM computes the value RES on input of its version of the secret key K stored on the UICC of the IG. The IG sends a new SIP REGISTER request to the ASM, this time with RES as response to the challenge the ASM initiated in step 5.
7. **ASM to IG: SIP 200 OK**  
If RES = XRES (successful case), ASM considers the IG as authenticated, and binds IMPU to the IP address <IP address>.

## 8. IG to OITF: Registration Response

The IG informs the OITF about the result of the registration procedure (when step 1 was executed).

In case of success, the ISIM of the IG is able, based on its knowledge of the secret key K and the authentication token AUTN, to calculate the same values of the integrity key IK and the ciphering key CK as those that the ASM received in step 4 from the User Database. The IG and the ASM use IK and CK to establish IPsec Security Associations for protecting SIP signaling messages over the IG – ASM reference point

The details of the messages 2 -7 are specified in [3GPP24.229].

## 5.6 Session Management

User authentication does not need to be performed with each request. In order to avoid re-authentication at each request, a Service (and/or SSA) can rely on authentication session management. The following authentication session management can be used: cookies, URL parameters and HTTP authentication session, if HTTP or GBA authentication has been used.

### 5.6.1 Cookie Session

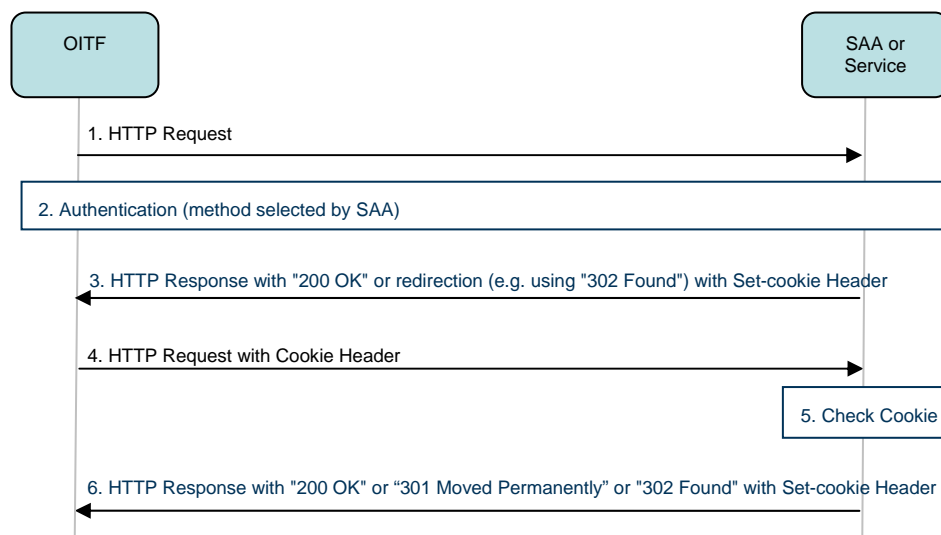
The OITF SHALL support HTTP session management using cookies as described in [RFC2109]. The cookie is opaque data to the OITF.

Persistent cookies SHALL be stored in non-volatile memory (Flash, HDD, etc.) in the OITF.

All OITF applications using HTTP (not only DAE) SHALL be able to create, read and delete **persistent** cookies with respect to domain restriction as specified in [RFC2109]. Persistent cookies SHOULD be shared between all components in an OITF.

User SHALL have the possibility to delete **persistent** cookies in OITF.

The following figure shows an example of sequences based using cookie session:



**Figure 29: Session Management Using Cookie**

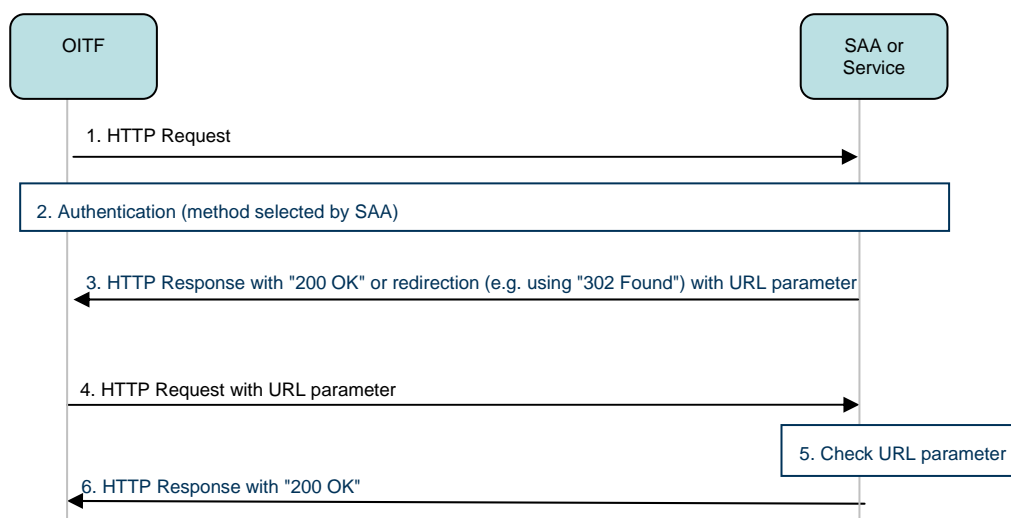
1. The OITF requests a service with no valid cookie.
2. The service triggers the SAA authentication and the SAA performs the wanted authentication.
3. The service or SAA sets a cookie using Set-Cookie response header as specified in [RFC2109].
4. The OITF requests a service. Applicable cookies are provided in each HTTP request as specified in [RFC2109] (domain-match, port-match, path-match, Max\_Age-match, etc.).
5. The service checks the cookie. Cookie checking is out of scope of this specification.

- The service optionally refreshes the cookie and sets it again using Set-Cookie response header as specified in [RFC2109].

Steps 4 to 6 are performed for each new HTTP request according to cookie matching.

## 5.6.2 URL Parameters (informative)

An alternative to cookies for passing session data is the use of hidden input fields in forms or URL parameters in requests passed to the server. These mechanisms are transparent to the OITF. Below is an example message flow using URL parameters. Note that the use of hidden input fields can also be achieved with HTTP POST. The mechanism of using HTTP POST is not described in this section.



**Figure 30: Session Management Using URL Parameters**

- The OITF requests a service with no valid authentication session.
- The service triggers the SAA authentication and the SAA performs the wanted authentication.
- The service or SAA redirects to the service with a new URL parameter for session data.
- The OITF requests a service with the URL parameter.
- The service checks the session data in the URL parameter. Session data is opaque data and out of scope of this specification.
- The service serves the request.

NOTE: URL parameters are often used to pass session information from an HTTP session to a session using another protocol (e.g. RTSP).

NOTE: A web server (service or SAA) can maintain an HTTP session using this technique. But the server is responsible for modifying every link URL, so that the session data is posted in a form or appended to the request.

NOTE: Passing information through URL parameters is highly insecure.

## 5.6.3 HTTP Authentication Session

When using HTTP authentication, a server can rely on HTTP authentication session as specified in [RFC2617].

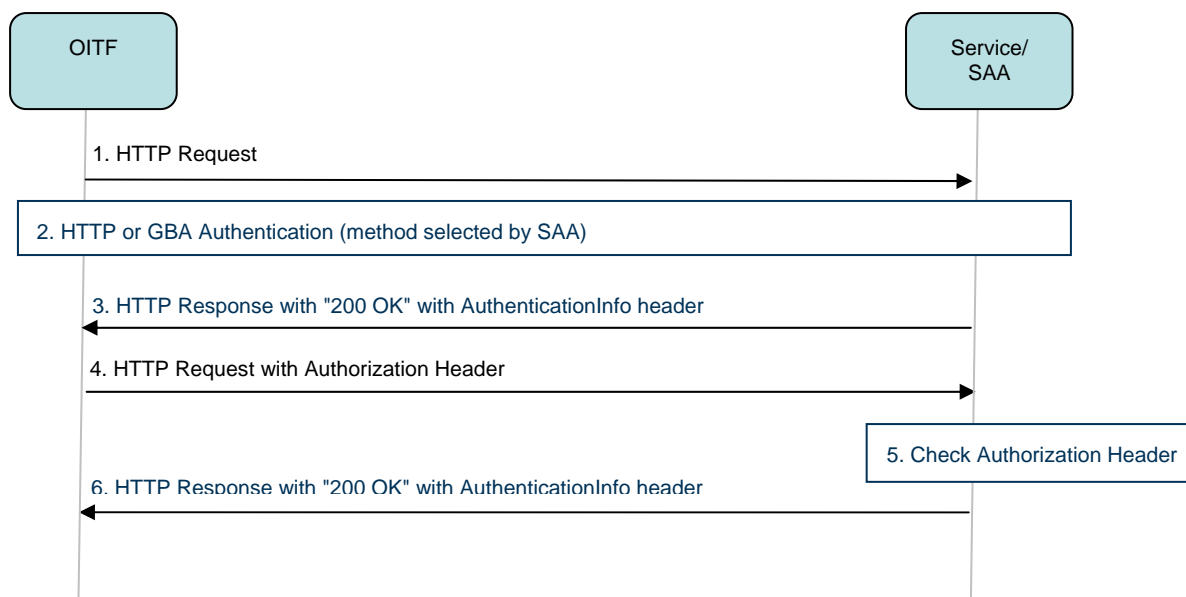
The User MAY be prompted to allow OITF to store HTTP authentication parameters, i.e. username and password, in non-volatile memory.

All OITF applications using HTTP (not only DAE) SHOULD have access to HTTP authentication parameters, i.e. username and password.

All OITF applications using HTTP (not only DAE) SHOULD share the current HTTP authentication session (e.g. B-TID, Ks\_NAF, nonce, cnonce, nonce-count and opaque values).

If username and password can be stored, the user SHALL have the possibility to change stored username and passwords in OITF for a given protection space as specified in [RFC2617].

The following figure shows an example of sequences based on HTTP authentication session:



**Figure 31: HTTP Authentication Session**

1. The OITF requests a service with no valid HTTP authentication session.
2. The service/SAA performs HTTP or GBA authentication.
3. The service/SAA serves the request including an AuthenticationInfo header as specified in [RFC2617].
4. The OITF requests again a service. Appropriate HTTP Authorisation headers are provided in each HTTP request within the protection space (specified by domain) as specified in [RFC2617].
5. The Service/SAA checks the Authorisation header.
6. The Service/SAA serves the request including an AuthenticationInfo header.

Step 4 to 6 can be performed for each new HTTP request within the protection space.

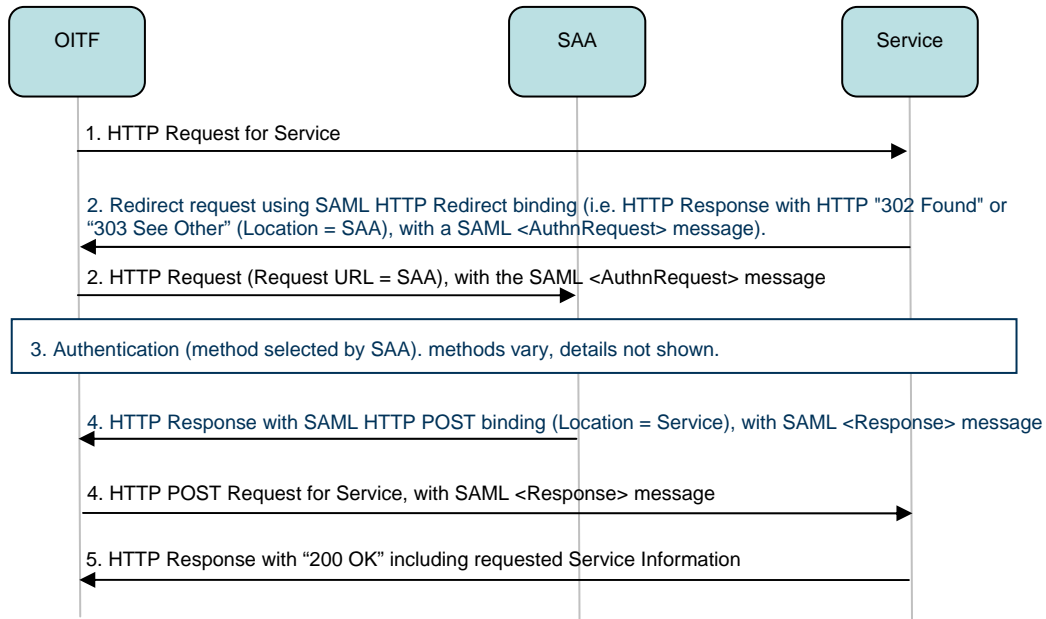
## 5.6.4 SAML Web-based SSO Authentication

This section specifies the functionality and possible message flows for basic SAML web-based Single Sign-On authentication.

SAML Web-based Single Sign-On authentication SHALL adhere to section 4.1 of [SAMLPROF], whereby the SAML HTTP POST binding of a SAML <Response> message from the SAA SHALL use MIME-type “application/ce-html+xml” as defined in [CEA2014A]. A standard CEA-2014-A compatible browser is able to handle the SAML HTTP redirect and POST bindings defined in this section, without requiring any extensions to CEA-2014-A. This profile of SAML therefore does not add requirements to the OITF besides supporting DAE functionality.

The remainder of this section describes sequences of how SAML Web-based Single Sign-On authentication is handled between the different relevant entities, i.e. the service, the SAA, and the OITF.

The sequences assume that the SAA and service provider share a logical identification of the user in advance of the described sequence. The user is known to the SAA. The SAA maintains knowledge of the user’s authentication credentials.



**Figure 32: SAML Web-based SSO Authentication**

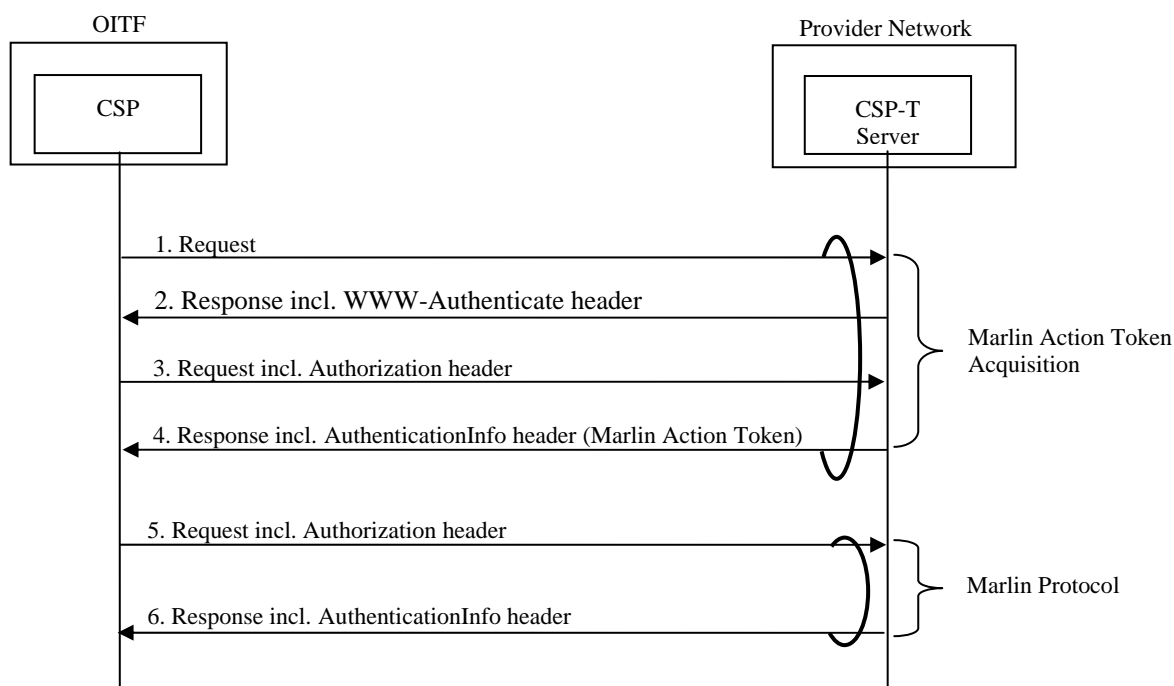
1. The OITF requests a service. Authentication is needed and there is no valid authenticated service session.
2. The requested service triggers SAA authentication by issuing a redirect request using SAML HTTP Redirect binding, i.e. an HTTP Response with HTTP "302 Found" or "303 See Other" (Location = SAA) , with a SAML <AuthnRequest> message (as defined in section 3.4.1 of [SAMLCORE]).
3. The SAA authenticates the user. Various methods exist for this. Valid methods include the authentication methods as defined in sections 5.4.1 through 5.4.4 of this document.
4. The SAA responds with a SAML HTTP POST binding of a SAML <Response> message (as defined in section 3.3.3 of [SAMLCORE]). Since the browser of the OITF is CE-HTML compliant, the SAA response message must use MIME-type "application/ce-html+xml" as defined in [CEA2014A]. The CE-HTML browser will load the CE-HTML page with the SAML POST binding, after which it issues an HTTP POST request to the target service with the SAML <Response> message as payload.
5. The requested service checks the SAML <Response> message to see if authentication succeeded. If succeeded, the service serves the request.

## Appendix A. Link of User Authentication and DRM Device Authentication (informative)

This section describes the generic mechanism to link user authentication result with device authentication in OITF. Although the device authentication mechanism is provided by Marlin, the user authentication mechanism varies depending on the system environment.

The mechanism described in this section uses HTTP Digest Authentication [RFC2617] and assumes that user identifier and its secret information (e.g. password, Ks\_NAF) are shared between OITF and Providers Network in advance of the sequences between CSP and CSP-T Server.

The sequence below explains how the user authentication and device authentication are securely correlated with each other by Marlin Action Token Acquisition and Marlin Protocol.



**Figure 33: User Authentication for CSP, CSP-T Server communication**

1. The CSP requests a Marlin Action Token to the CSP-T Server.
2. When the CSP-T Server receives the request from CSP for the Marlin Action Token, the CSP-T Server responds with a "401 Unauthorized" status code with a WWW-Authenticate header defined in [RFC2617].
3. When the CSP receives the response, the CSP sends the request which includes an Authorisation header defined in [RFC2617]. The user identifier and its secret information are used as username and password for generation of the Authorisation header.
4. When the CSP-T Server receives the Authorisation header,
  - The CSP-T Server verifies the Authorisation header.
  - When the verification succeeds, the CSP-T Server generates user information to be included into the Business Token, and stores the combination of user identifier from the Authorisation header and user information to be included into the Business Token.
  - The CSP-T Server then sends Marlin Action Token which includes the Business Token with AuthenticationInfo header defined in [RFC2617] to the CSP as the response.
5. Given the Marlin Action Token, the CSP sends a (Marlin Protocol) request to CSP-T Server which includes Authorisation header calculated from its username and password, and the Business Token.

6. When the CSP-T Server receives the Authorisation header in the (Marlin Protocol) request, which includes the Business Token,
  - The CSP-T Server verifies the Authorisation header.
  - When the verification succeeds, the CSP-T Server checks the combination of user identifier and Business Token in the request with its stored combination.
  - If the check succeeds, the CSP-T Server sends a (Marlin Protocol) response and correlates user identifier and its secret information (i.e. user authentication) with device identifier (i.e. device authentication).

## Appendix B. XML Schemas

This appendix contains XML schemas relating to messages described in previous sections.

### B.1 XML Schema for MarlinPrivateDataType Structure

This is the XML schema for MarlinPrivateDataType Structure (see section 4.1.7.2):

```
<?xml version="1.0" encoding="UTF-8"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
  elementFormDefault="qualified" attributeFormDefault="unqualified">
  <!-- schema filename is csp-MarlinPrivateData.xsd -->
  <xs:include schemaLocation="csp-DRMPrivateDataType.xsd"/>
  <xs:complexType name="MarlinPrivateDataType">
    <xs:complexContent>
      <xs:extension base="DRMPrivateDataType">
        <xs:sequence>
          <xs:choice>
            <xs:element name="MarlinLicense" type="xs:base64Binary"/>
            <xs:element name="MarlinToken" type="xs:base64Binary"/>
          </xs:choice>
          <xs:any namespace="##any" processContents="lax" minOccurs="0"
            maxOccurs="unbounded"/>
        </xs:sequence>
      </xs:extension>
    </xs:complexContent>
  </xs:complexType>
</xs:schema>
```

The DRMPrivateDataType structure is defined in the included file “csp-DRMPrivateDataType.xsd” as

```
<?xml version="1.0" encoding="UTF-8"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
  elementFormDefault="qualified" attributeFormDefault="unqualified">

  <xs:complexType name="DRMPrivateDataType" abstract="true">
    <xs:attribute name="mimeType" type="xs:string" use="optional"/>
    <!-- NOTE: DRMPrivateDataType is an abstract type that can be extended and
      replaced by a specific instance type to carry messages for a particular
      DRM system.
      Derived types of <DRMPrivateData> should include an <any>
      construct to be prepared for future extensibility, as is done for
      example for <MarlinPrivateData> -->
  </xs:complexType>
</xs:schema>
```



## B.2 XML Schema for MIPPVControlMessage Format

This is the XML schema for MIPPVControlMessage (see section 4.1.7.3.1):

```
<?xml version="1.0" encoding="UTF-8"?>
<schema targetNamespace="urn:oipf:csp:MIPPVControlMessage:2008"
xmlns:tns="urn:oipf:csp:MIPPVControlMessage:2008"
xmlns="http://www.w3.org/2001/XMLSchema" elementFormDefault="qualified"
attributeFormDefault="unqualified">
<!-- schema filename is csp-MIPPVControlMessage.xsd -->
  <element name="MIPPVControlMessage">
    <complexType>
      <sequence>
        <element name="MarlinLicense" type="base64Binary" minOccurs="0"/>
        <element name="MarlinActionToken" minOccurs="0">
          <complexType>
            <simpleContent>
              <extension base="base64Binary">
                <attribute name="absoluteAcquisitionTiming" type="dateTime"
use="optional"/>
                <attribute name="relativeAcquisitionTiming" type="duration"
use="optional"/>
              </extension>
            </simpleContent>
          </complexType>
        </element>
      </sequence>
    </complexType>
  </element>
</schema>
```

## B.3 XML Schema for HexBinaryPrivateDataType Structure

This is the XML schema for HexBinaryPrivateDataType Structure (see 4.2.3.10.2):

```
<?xml version="1.0" encoding="UTF-8"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
elementFormDefault="qualified" attributeFormDefault="unqualified">
<!-- schema filename is csp-HexBinaryPrivateDataType.xsd -->
<xs:include schemaLocation="csp-DRMPrivateDataType.xsd"/>
<xs:complexType name="HexBinaryPrivateDataType">
  <xs:complexContent>
    <xs:extension base="DRMPrivateDataType">
      <xs:sequence>
        <xs:element name="Message" type="xs:hexBinary"/>
        <xs:any namespace="##any" processContents="lax" minOccurs="0"
maxOccurs="unbounded"/>
      </xs:sequence>
    </xs:extension>
  </xs:complexContent>
</xs:complexType>
</xs:schema>
```

The DRMPrivateDataType structure is defined in the included file csp-DRMPrivateDataType.xsd and is shown in section B.1.

## Appendix C. DRM Messages used in DAE (informative)

The following table summarizes the DRM messages and their MIME-types used in sendDRMMMessageAPI defined in [DAE].

Mime-type	Description
application/vnd.marlin.drm.actiontoken+xml	Marlin Action Token defined by [MRL BNSP]
application/vnd.oipf.mippvcontrolmessage+xml	MIPPVControl Message as described in section 4.1.7.3.1
application/vnd.oipf.cspg-hexbinary	CSPG-CI+ message as described in section 4.2.3.4.1.1.2, "Mapping of messages to DAE API or Events"

**Table 23: DRM Messages used in DAE**

## Appendix D. CSPG-CI+ Usage Examples (informative)

CI+ Host is the function in the OITF responsible for managing the dialog with the CSPG-CI+. CSPG-CI+ is referred to as CI+ CAM in [CI+] specifications. It is an internal function in OITF, not identified in [ARCH]. It is shown on the following sequence diagrams to help understanding of interaction with other identified functions.

Management of content protection using CSPG-CI+ has no impact on the protocols used for service discovery, Scheduled Content or COD session establishment and management, as defined in [PROT]. CSPG-CI+ protected services can be scheduled content services on managed networks or COD streaming or download services on managed and unmanaged networks. Following sequence diagrams are only examples of services.

### D.1 CSPG-CI+ Initial Power-on (informative)

During initial power-on, the CSPG-CI+ and the OITF mutually authenticate each other using the CI+ authentication mechanism. Figure 34 is an overview of the mechanism. For further detail, please refer to [CI+] section 6.

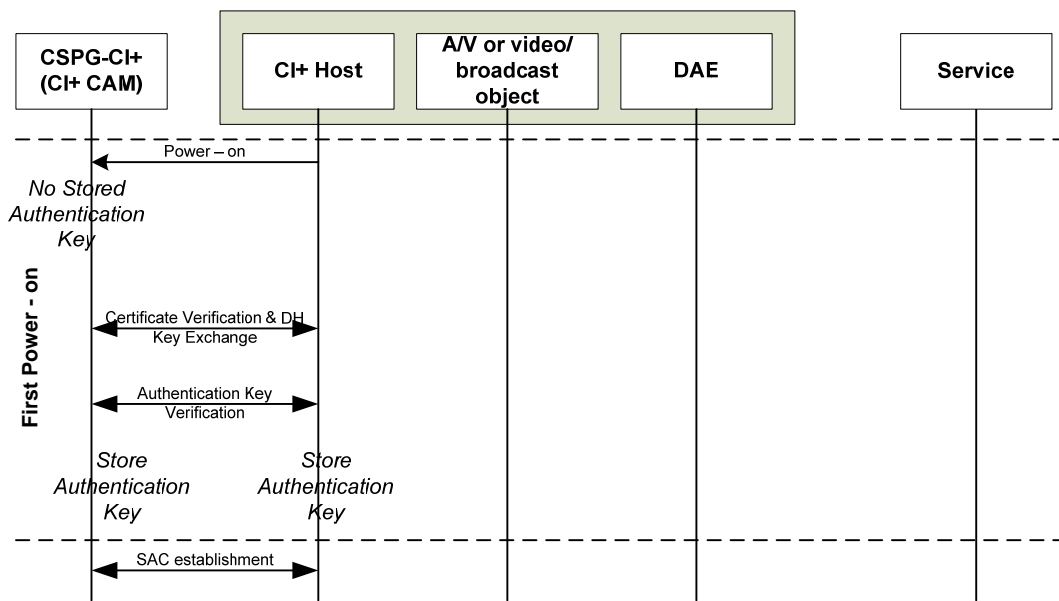


Figure 34: CSPG-CI+ First Power-on

### D.2 CSPG-CI+ Normal Power-on (informative)

During initialization, if the CSPG-CI+ has stored authentication information, it only verifies that this authentication information is shared with the OITF. Figure 35 is an overview of the mechanism. For further detail, please refer to [CI+] section 6.

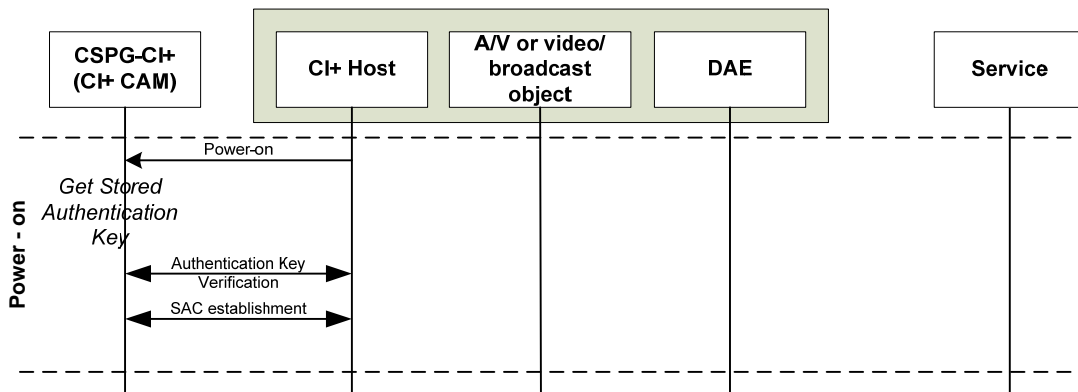


Figure 35: CSPG-CI+ Normal Power-on

### D.3 Live Session Example (informative)

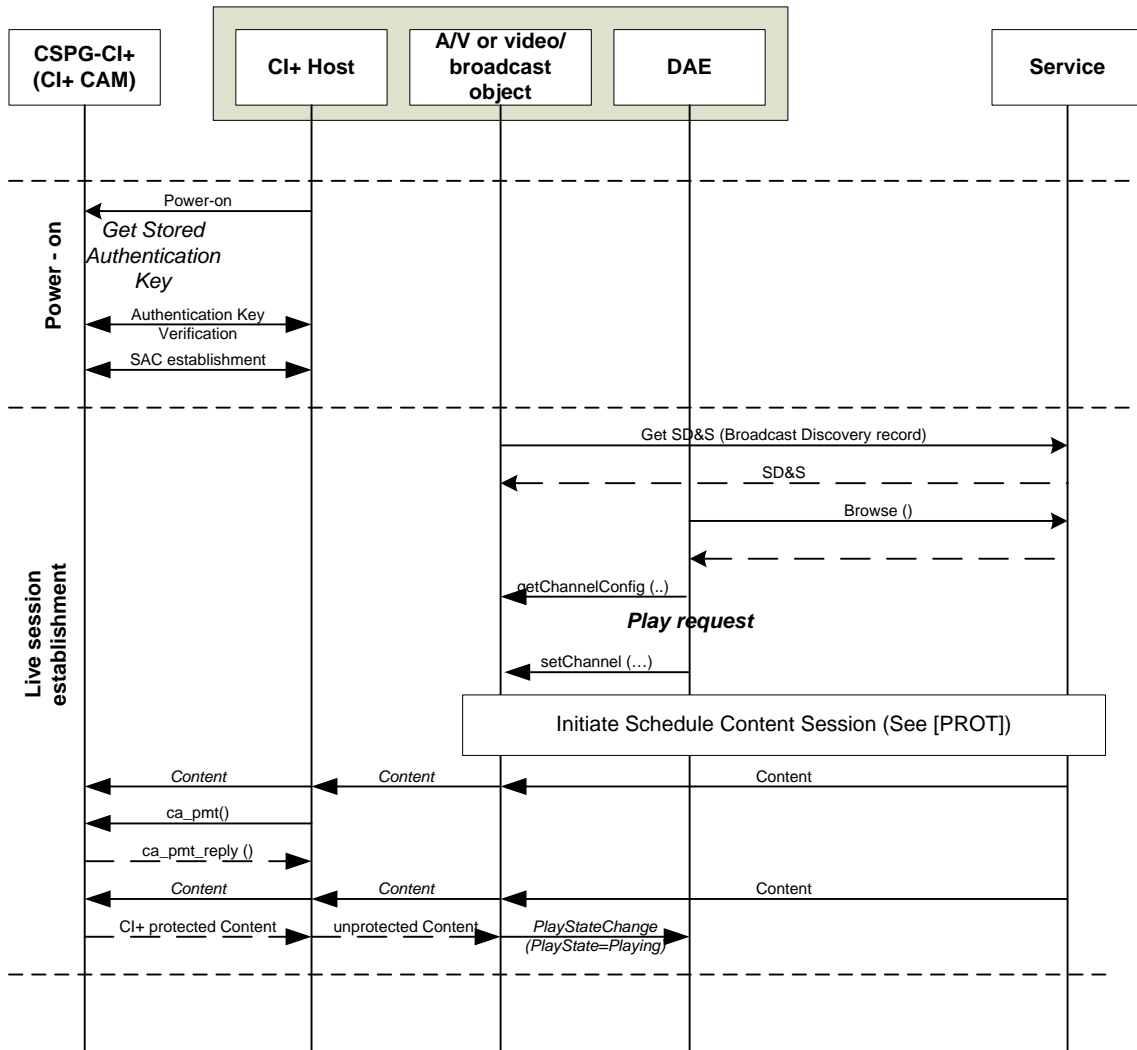


Figure 36: CSPG-CI+ Live Session Example

## D.4 Parental Control Management Example (informative)

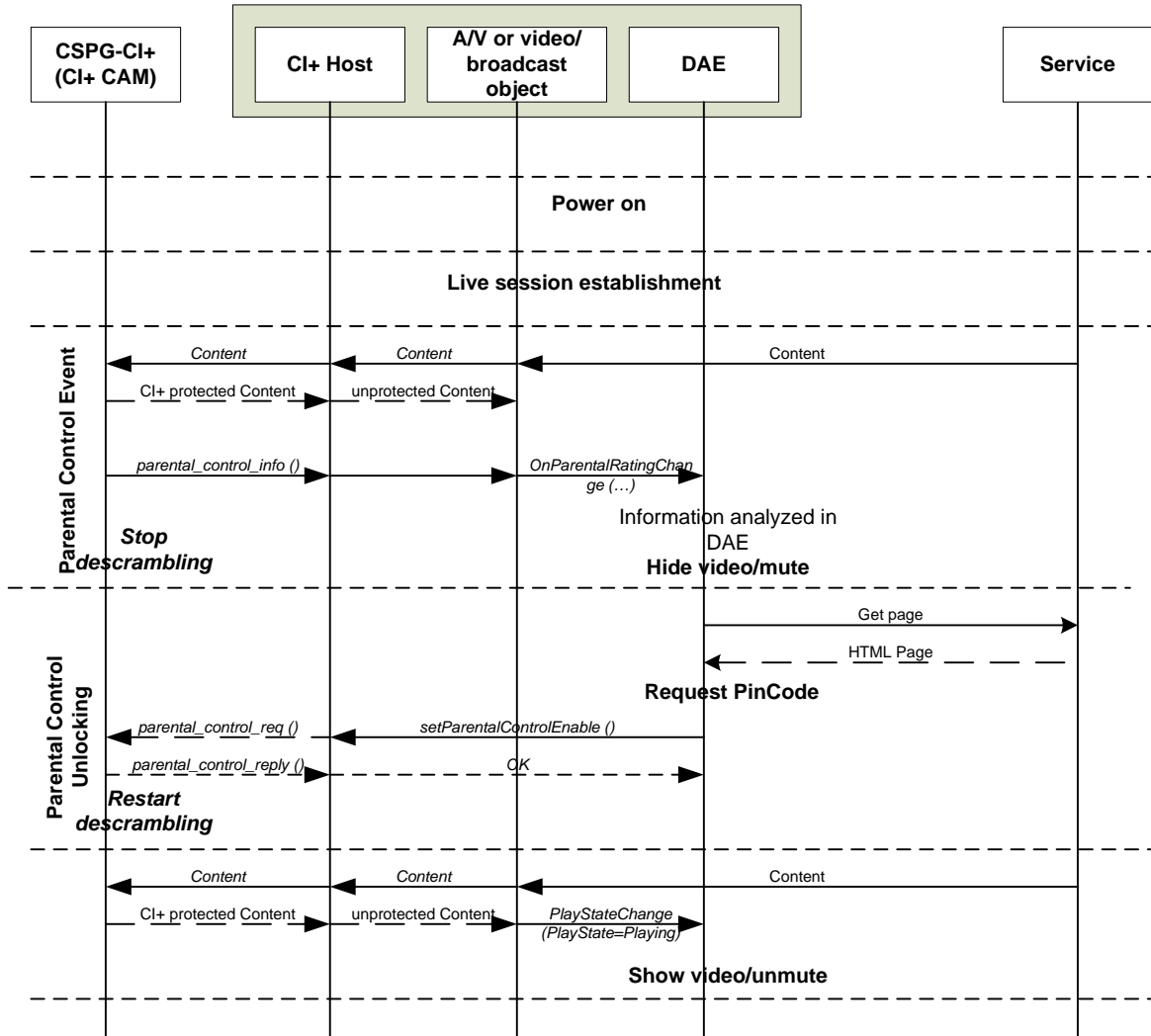


Figure 37: Parental Control Management Example

## D.5 No Rights Event and Purchase Example (informative)

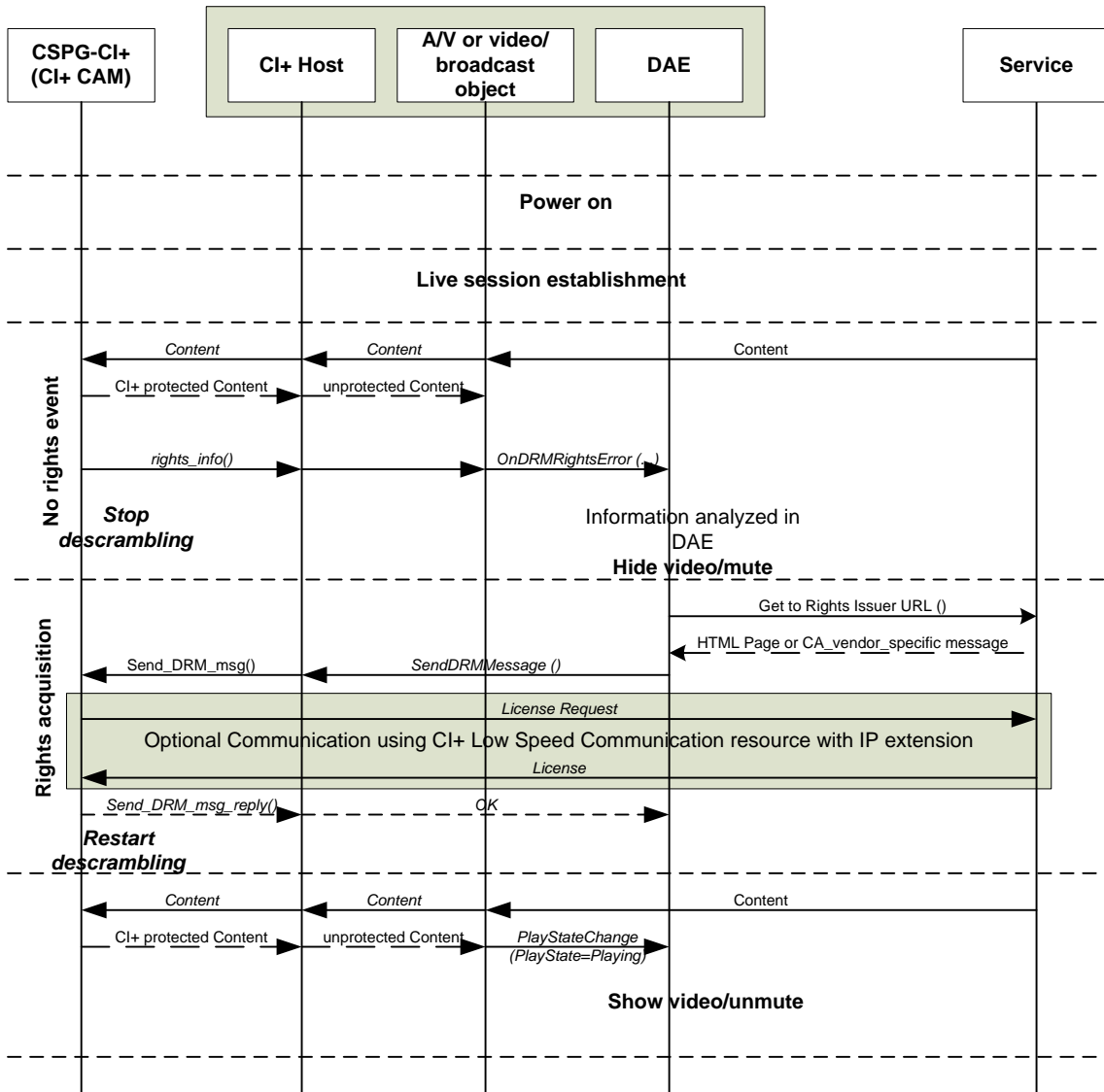


Figure 38: No Rights Event and Purchase Example

## D.6 VOD Session Example (informative)

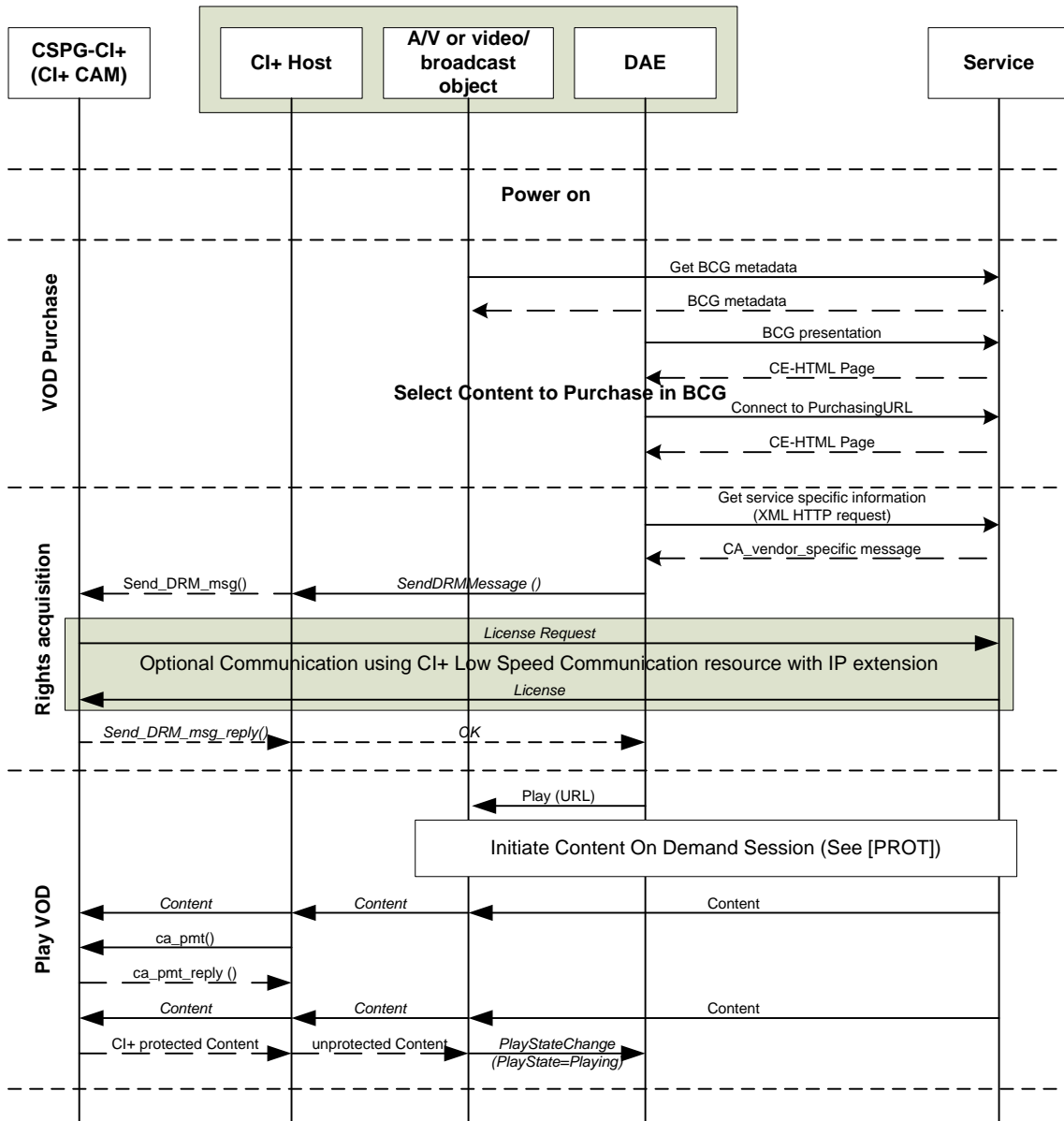


Figure 39: VOD Session Example

## **Appendix E. CSPG-DTCP Session Setup Sequence Examples (informative)**

This appendix describes session setup sequences with CSPG-DTCP for following use cases:

- Scheduled Content service (Managed Model),
- COD streaming (Managed Model),
- COD streaming (Unmanaged Model), and
- HTTP streaming and download.

Note that SIP messages over HNI-IGI (between OITF and IG) are delivered over HTTP as specified in [PROT].



## E.1 Scheduled Content Service (Managed Model) (informative)

Figure 40 describes session setup sequence for Scheduled Content service:

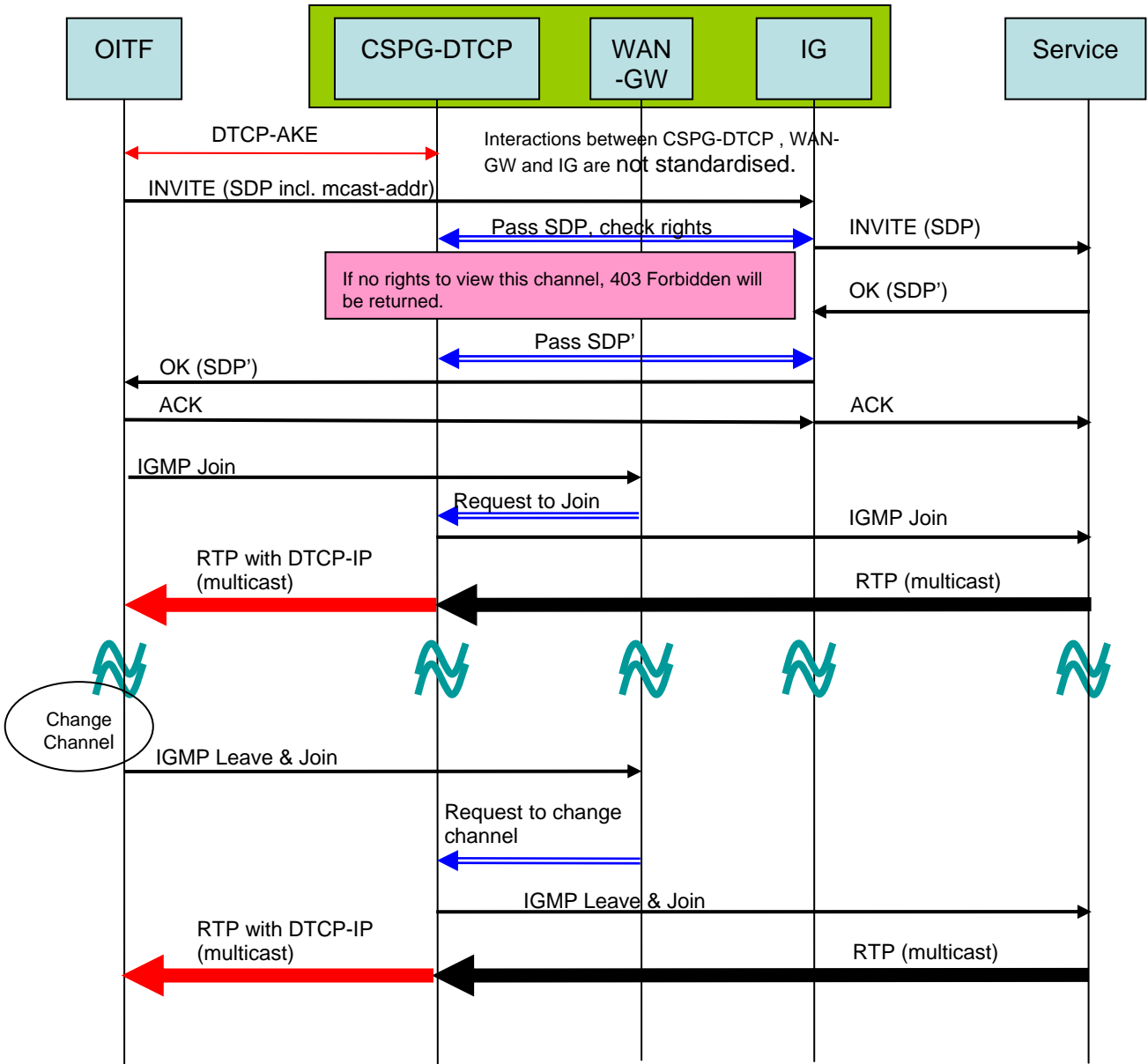
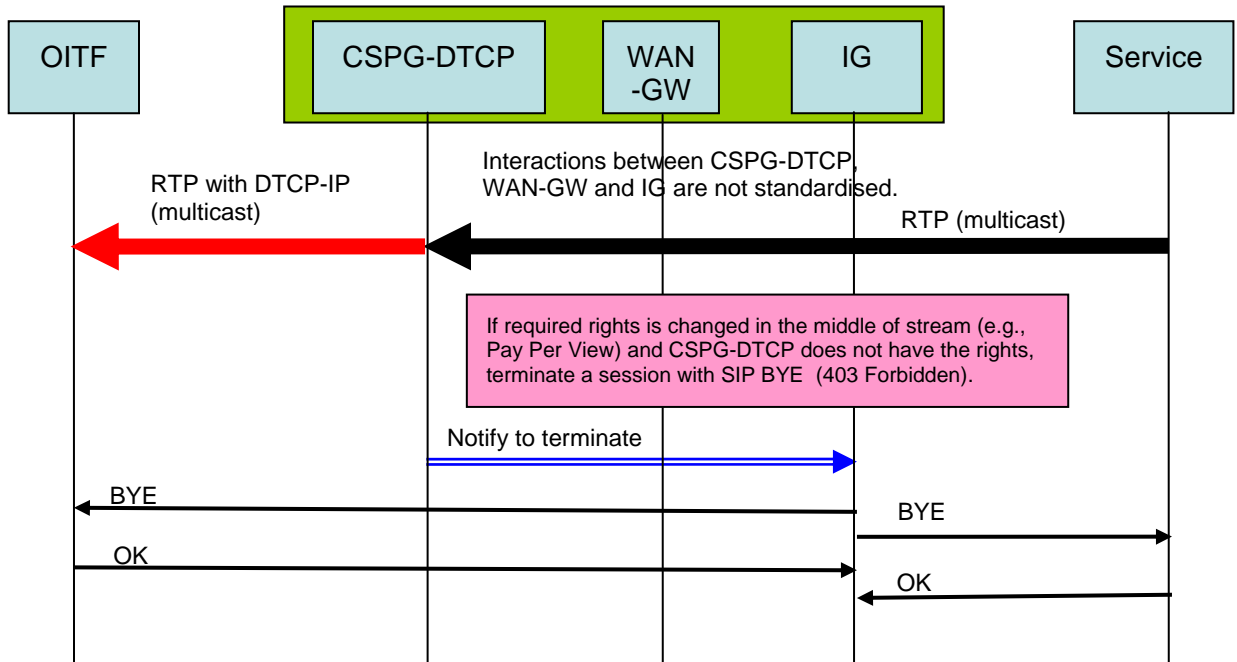


Figure 40: Session Setup Sequence for Scheduled Content Service in Managed Networks

Figure 41 describes CSPG-DTCP initiated teardown sequence for Scheduled Content service. Note that OITF and Network initiated teardown sequences are the same as defined in [PROT]:



**Figure 41: CSPG-DTCP Initiated Teardown Sequence for Scheduled Content Service**

## E.2 COD Streaming (Managed Model) (informative)

Figure 42 describes session setup sequence for COD streaming in Managed Network:

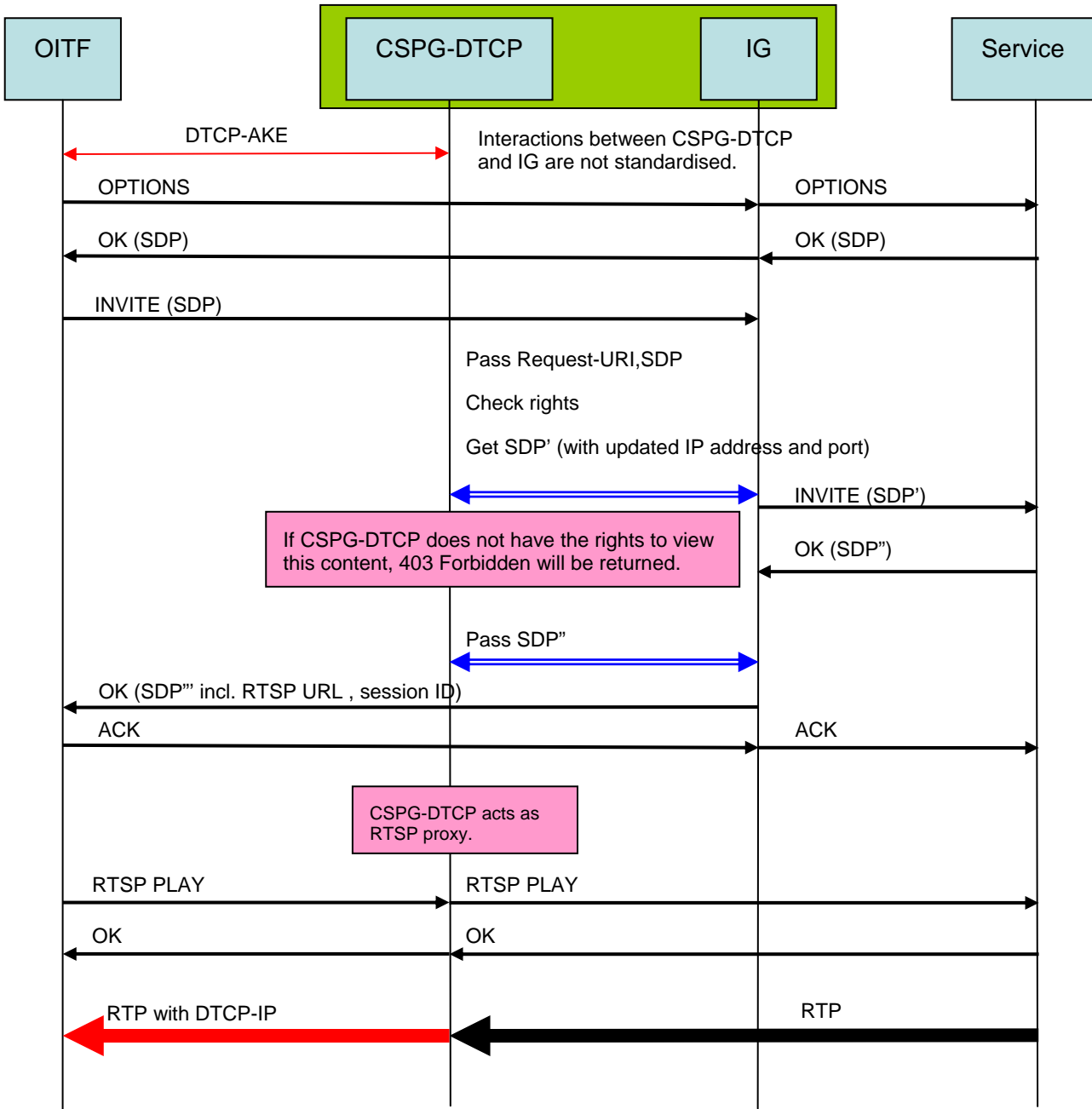


Figure 42: Session Setup Sequence for COD Streaming in Managed Networks

### E.3 CoD Streaming (Unmanaged Model) (informative)

Figure 43 describes session setup sequence for COD streaming in Unmanaged Networks:

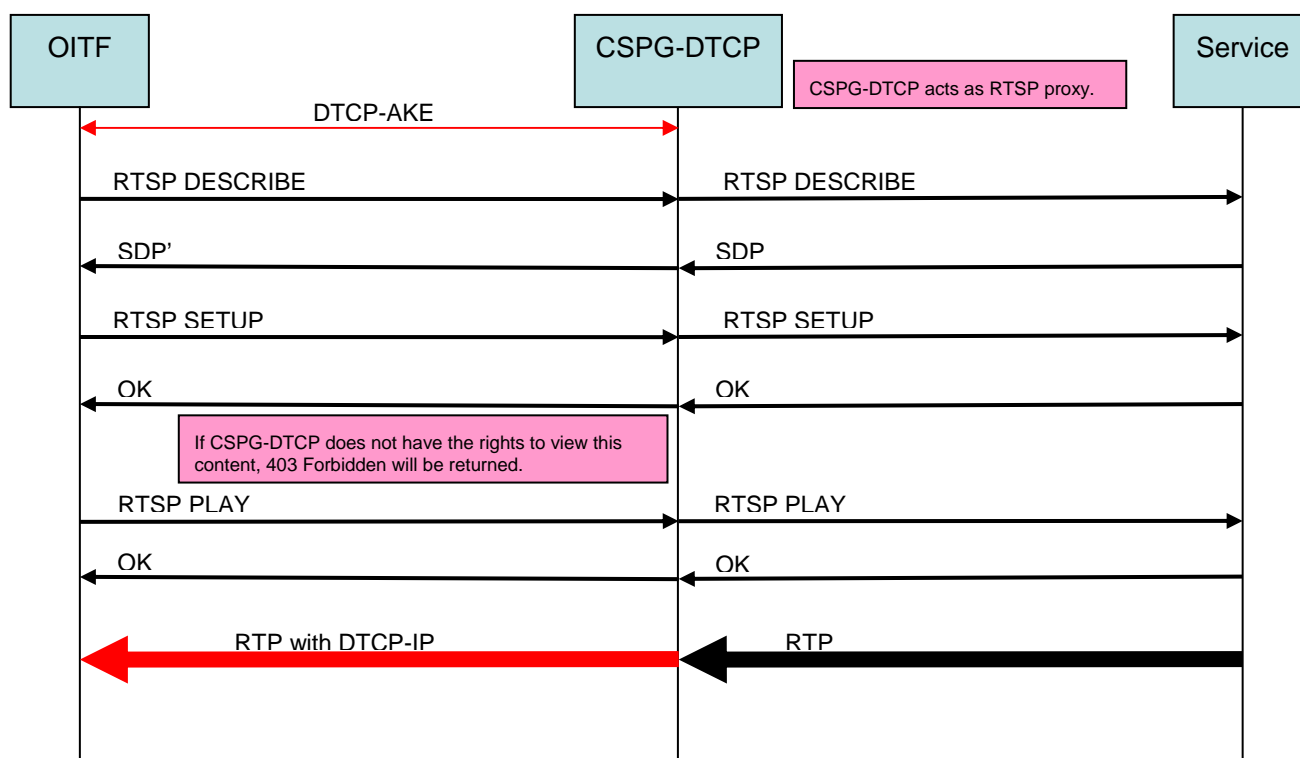


Figure 43: Session Setup Sequence for COD Streaming in Unmanaged Networks

### E.4 HTTP Streaming and Download (informative)

Figure 44 describes session setup sequence for HTTP streaming and download:

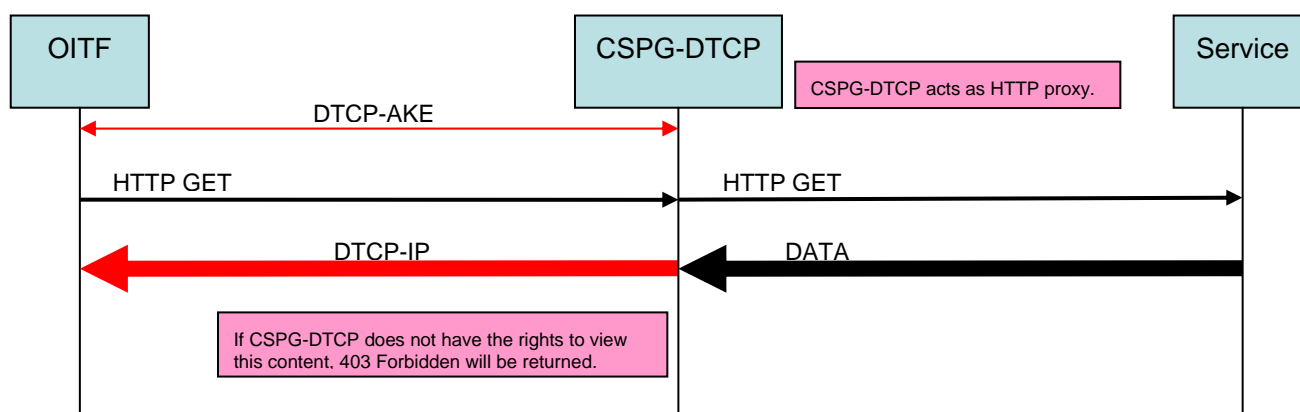


Figure 44: Session Setup Sequence for HTTP Streaming and Download